Using a web browser, navigate to the COI Disclosure Form.
https://radapps.duke.edu/coi_form

*Login:*
Enter your NetID and password to log in to the system.
The COI Form Application uses Shibboleth with Multifactor Authentication (MFA). If you are unsure of your Net ID/password, or need assistance with MFA, please contact the appropriate helpdesk.
DHTS: 919-684-2243
OIT: 919-684-2200
The COI Annual Disclosure Welcome page displays your current disclosure status and identifies your next step in the disclosure process. Follow the instructions on the screen to start entering your disclosure.

You have training slides to review before entering the form.

Duke COI/COC Policy

You should familiarize yourself with the Duke COI/COC policy:

- https://dosl.duke.edu/sites/default/files/atoms/files/Policy_FacultyCOI_COCinResearch.pdf

If you are a recipient of NIH or NSF funding, you should also review their COI policies to better understand individual as well as institutional responsibilities:

- https://www.nsf.gov/policies/conflicts.jsp

1 of 5

Previous  Continue
The Navigation Menu:

The Navigation Menu serves as a point of reference during the disclosure process. It tracks the status of each section of the disclosure form. Once you have completed all sections of the form, you will be prompted to submit your form.

There are two ways to navigate to the various sections of the wizard.

- Click the section names on the Navigation Menu
- Click the Continue and Back buttons as they are made available

Links to Logout and the Need Assistance webpage are available in the top right corner of the page.

Notice: If you submitted a form last year, you will see a link to that form in the bottom right hand corner of the screen. Just click on the link to view last year’s submitted form.

Demographics: On the Demographics page, certain fields will already be pre-populated. You will be required to fill in the remaining fields before submitting.
Notice: The Print Preview link, at the top of the page, allows you to look at your form in a printable format. Also notice that fields shaded in **RED** are required. You will not be able to submit your form without completing required fields.

Assessment:

The Assessment page displays information related to COI reporting. Your answer to the question will determine the rest of the sections and questions you will receive. Upon completion of the Assessment section, the Continue button will direct you to your next required section.
**Outside Interests:**

Based on your previous responses, you may or may not receive Section C – Outside interests - to list the details of your outside interests. A standard list of entities is provided for you. You should select an entity from the standard list whenever possible. Once you find your entity in the entity search box, continue to answer questions about that entity. If you do not see your entity in the list, type the full name and complete the required fields.

**Notice:** If you had relationships with entities last year, and the answers on your form show that you may have a relationship this year, the COI Forms application will pre-populate your COI form with the names of the entities that you listed last year.

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### Special Instructions

Outside professional interests/activities are non-Duke activities within a faculty member or employee’s area of professional and/or academic expertise, whether paid or unpaid, and whether the company/organization/entity is for profit, not-for-profit, or non-profit. You/Your = refers to you or any immediate family member (as applicable). Current refers to the following time period – did have in calendar year 2019 or anticipate having in calendar year 2020. Please disclose your current outside professional interests/activities below.

#### C.1

Please identify the name of the entity/organization of your outside interest/activity in the space below:  
Your entity may appear as you type; select if it does. Otherwise, just type its full name and proceed.

<table>
<thead>
<tr>
<th>Entity Profit Type:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ For-Profit</td>
<td></td>
</tr>
<tr>
<td>☐ Not-For-Profit</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Entity Location Type:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ US</td>
<td></td>
</tr>
<tr>
<td>☐ Global</td>
<td></td>
</tr>
<tr>
<td>☐ Unknown</td>
<td></td>
</tr>
</tbody>
</table>
To delete an entity, use the trashcan icon located in the navigation menu beside the entity name. A button to delete entities is also located at the bottom of the Outside Interests dropdown.

Notice: Customers can navigate between their companies by clicking on the name in the sub-navigation menu.
Outside Interests cont’d:

After adding an entity and answering questions about your relationship, you will be asked if you need to add another entity. To add additional entities, you can either click the ‘Add’ link in the navigation menu, or the application will ask you if you need to add another entity before continuing on to the next section.

Other Matters:

The Other Matters page displays additional questions that must be answered. Once you complete this page, the Continue button will take you to the Submit section.

- D1 Have you hired any immediate or extended family member in the past year?
  - Yes  No

- D2 Do you make or influence personnel actions for, or supervise any immediate or extended family member? Explain this.
  - Yes  No

- D3 Enter the full name of the person and describe the situation, including the scope of the supervisory relationship, and the year it began.

- D4 Have you complied with Duke’s nepotism policy by filing a waiver request with the Vice President of Human Resources (Campus employee), or SOM HR Office (SOM employee)?
  - Yes  No

- D5 Have you or will you engage in any government relations activities either representing Duke or using Duke’s resources? Explain this.
  - Yes  No

- D6 Please review the Duke’s University Code of Conduct.
  (Click yes to certify that you have read the Duke Code of Conduct.)
  - Yes
Submit Form:
For each completed section, you will see green checkmarks signifying that all sections of the form are complete.

Next you will receive verification questions to ensure that you are finished disclosing information. After completing all questions, a button to submit your form will appear. Click this button to submit your COI form.

Once you click submit, you will see a popup window. Click “OK” to attest that all the information you entered is true. Then you will get a status page reporting that the form has been submitted.
At this point, your form has been submitted.

You have submitted your 2020 - 2021 Conflict of Interest Disclosure.

Receipt Number: 228741

If you wish to return to the starting page, you can print your submission and/or re-file your form.

Thank you.

Returning to the starting page by clicking the provided link will route you back to the page that shows your submission status and your recent form activity. From this screen, you can log out, view other options, view your submission, or make changes to your form if needed.
I have already submitted a 2020 - 2021 form, but I need to change something on it.

Work on my form.

Other actions related to my COI disclosure

I want to...
- View my management plans/cautionary memos
- View a copy of my previous disclosure
- Review Training Slides
- View COI Policy
- Enter my sponsored travel