

**New York Stock Exchange- Thursday December 31,2020**

| <b>Name</b>                            | <b>Symbol</b> | <b>Last</b> |
|--|---------------|-------------|
| 1847 Goedecker                         | GOED          | 7.94        |
| 22nd Century                           | XXII          | 2.18        |
| 3D Systems                             | DDD           | 10.62       |
| 3M                                     | MMM           | 173.9       |
| 500.Com                                | WBAI          | 8.52        |
| 8x8                                    | EGHT          | 34.67       |
| A10 Network                            | ATEN          | 9.78        |
| AAR                                    | AIR           | 36.61       |
| Aarons                                 | AAN           | 18.95       |
| ABB ADR                                | ABB           | 27.94       |
| Abbott Labs                            | ABT           | 108.51      |
| AbbVie                                 | ABBV          | 106.53      |
| Abercrombie&Fitch                      | ANF           | 20.88       |
| Aberdeen Asia-Pacific                  | FAX           | 4.4         |
| Aberdeen Australia                     | IAF           | 5.31        |
| Aberdeen Emerging Markets Equity       | AEF           | 8.16        |
| Aberdeen Global                        | FCO           | 7.9         |
| Aberdeen Global Premier Properties     | AWP           | 5.32        |
| Aberdeen Income Credit Strategies Fund | ACP           | 10.74       |
| Aberdeen Japan Equity Fund Inc         | JEQ           | 9.47        |
| ABM Industries                         | ABM           | 38          |
| Acadia                                 | AKR           | 13.97       |
| Accel Entertainment                    | ACEL          | 10.24       |
| Accenture                              | ACN           | 258.51      |
| Acco Brands                            | ACCO          | 8.47        |
| Acme United                            | ACU           | 30.25       |
| Acorn                                  | ATV           | 20.85       |
| Actinium Pharmaceuticals               | ATNM          | 8.01        |
| Acuity Brands                          | AYI           | 120.68      |
| Acushnet Holdings                      | GOLF          | 40.63       |
| Adams Diversified Equity Closed        | ADX           | 17.23       |
| Adams Natural Resources Closed Fund    | PEO           | 11.39       |
| Adams Resources&Energy                 | AE            | 24.1        |
| Adc Thera                              | ADCT          | 32.26       |
| Adecoagro SA                           | AGRO          | 6.71        |
| Adient                                 | ADNT          | 35.24       |
| ADS                                    | WMS           | 84.26       |
| ADT                                    | ADT           | 7.83        |
| Adtalem Education                      | ATGE          | 33.2        |
| Advance Auto Parts                     | AAP           | 156.66      |
| Advanced Disposal                      | ADSW          | 30.3        |
| AdvanSix                               | ASIX          | 20.65       |
| Advent Claymore Convertible Securit    | AVK           | 16.62       |
| Aea Bridges                            | IMPX_u        | 10.84       |
| AEABridges Impact                      | IMPX          | 10.14       |

|                                  |         |        |
|----------------------------------|---------|--------|
| Aecom Technology                 | ACM     | 49.89  |
| Aegon ADR                        | AEG     | 3.92   |
| Aegon NV                         | AEB     | 25.31  |
| Aegon NV                         | AEB     | 25.31  |
| Aenza                            | AENZ    | 2.31   |
| AerCap Holdings NV               | AER     | 46.04  |
| AeroCentury                      | ACY     | 11.79  |
| Aerojet Rocketdyne               | AJRD    | 52.89  |
| Affiliated Managers              | AMG     | 101.14 |
| Affiliated Managers Group        | MGR     | 27.8   |
| Aflac                            | AFL     | 44.25  |
| AG Mortgage Invest Trust Pa Pref | MITT_pa | 19.71  |
| AG Mortgage Invest Trust Pb Pref | MITT_pb | 19.8   |
| AG Mortgage Investment           | MITT    | 3      |
| AG Mortgage Investment Trust     | MITT_pc | 19.38  |
| AGCO                             | AGCO    | 103.37 |
| Ageagle Aerial Systems           | UAVS    | 5.87   |
| AgeX Therapeutics                | AGE     | 1.55   |
| Agilent Technologies             | A       | 117.47 |
| Agnico Eagle Mines               | AEM     | 70.73  |
| Agree Realty                     | ADC     | 65.5   |
| AH Belo                          | AHC     | 1.47   |
| AIG                              | AIG     | 37.74  |
| AIM ImmunoTech                   | AIM     | 1.8    |
| Air Industries Group             | AIRI    | 1.21   |
| Air Lease                        | AL      | 44.54  |
| Air Lease                        | AL_pa   | 26.7   |
| Air Products                     | APD     | 271.9  |
| Ajax                             | AJAX_u  | 12.61  |
| Ajax I                           | AJAX    | 11.78  |
| Alabama Power Pref A             | ALP_pq  | 28.19  |
| Alamo                            | ALG     | 138.95 |
| Alamos Gold                      | AGI     | 8.71   |
| Alaska Air                       | ALK     | 52.25  |
| Albany                           | AIN     | 73.69  |
| Albemarle                        | ALB     | 149.1  |
| Albertsons                       | ACI     | 17.59  |
| Alcoa                            | AA      | 23.4   |
| Alcon                            | ALC     | 66.37  |
| Alexander&Baldwin                | ALEX    | 16.99  |
| Alexanders                       | ALX     | 276.01 |
| Alexandria RE                    | ARE     | 175.67 |
| Alexco Resource                  | AXU     | 3.19   |
| Algonquin Power                  | AQN     | 16.46  |
| Algonquin Power & Utilities      | AQNB    | 28.1   |
| Algonquin Power & Utilities      | AQNA    | 28.22  |
| Alibaba ADR                      | BABA    | 231.75 |

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|-------------------------------------|---------|--------|
| Alleghany                           | Y       | 602.42 |
| Allegheny Technologies              | ATI     | 16.75  |
| Allegion PLC                        | ALLE    | 115.79 |
| Allete                              | ALE     | 61.59  |
| Alliance Data Systems               | ADS     | 74.86  |
| AllianceBernstein Global Hig Closed | AWF     | 11.77  |
| AllianceBernstein Holding LP        | AB      | 33.78  |
| AllianceBernstein National Muniome  | AFB     | 14.25  |
| AllianzGI Convert Income II Closed  | NCZ     | 5.05   |
| Allianzgi Convertible &             | NCZ_pa  | 26.3   |
| Allianzgi Convertible &             | NCV_pa  | 26.55  |
| AllianzGI Convertible &ome Closed   | NCV     | 5.71   |
| AllianzGI Diversifiedome Convertibl | ACV     | 32.62  |
| AllianzGI Equity Convertible Closed | NIE     | 28.1   |
| AllianzGI NFJ Div Interest Premium  | NFJ     | 13.39  |
| Allison Transmission                | ALSN    | 43.28  |
| Allstate                            | ALL     | 108.65 |
| Allstate                            | ALL_pb  | 27.58  |
| Ally Financial Inc                  | ALLY    | 35.76  |
| Almaden Minerals                    | AAU     | 0.46   |
| Alpha Pro Tech                      | APT     | 10.92  |
| Alpine Global Dynamic Div Closed    | AGD     | 10.4   |
| Alpine Income                       | PINE    | 14.93  |
| Alpine Total Dynamic Div Closed     | AOD     | 8.82   |
| Altera Infrastructure LP Pref A     | ALIN_pa | 21.63  |
| Altera Infrastructure LP Pref B     | ALIN_pb | 22.09  |
| Altera Infrastructure LP Pref E     | ALIN_pe | 22.1   |
| Alteryx                             | AYX     | 121.31 |
| Altice USA                          | ATUS    | 37.69  |
| Altimar Acquisition                 | ATAC_u  | 12.25  |
| Altisource Asset Management         | AAMC    | 23.5   |
| Altria                              | MO      | 40.34  |
| Aluminum China ADR                  | ACH     | 8.68   |
| Alussa Energy                       | ALUS_u  | 10.89  |
| Alussa Energy Acquisition           | ALUS    | 10.25  |
| Ambac                               | AMBC    | 15.17  |
| Ambev SA                            | ABEV    | 3.03   |
| Ambow Education                     | AMBO    | 2.16   |
| AMC Entertainment                   | AMC     | 2.17   |
| AMCON Distributing                  | DIT     | 118.3  |
| Amcor PLC                           | AMCR    | 11.66  |
| Ameren                              | AEE     | 77.08  |
| Ameresco                            | AMRC    | 52.3   |
| America Movil ADR                   | AMX     | 14.63  |
| America Movil ADR A                 | AMOV    | 14.53  |
| American Assets                     | AAT     | 28.3   |
| American Axle&Manufacturing         | AXL     | 8.4    |

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|--------------------------------|--------|--------|
| American Campus                | ACC    | 42.29  |
| American Eagle Outfitters      | AEO    | 20.16  |
| American Equity Inv. Life      | AEL    | 27.7   |
| American Express               | AXP    | 120.25 |
| American Financial             | AFG    | 87.38  |
| American Financial Group       | AFGC   | 27.5   |
| American Financial Group       | AFGH   | 25.37  |
| American Financial Group       | AFGD   | 28.62  |
| American Financial Group       | AFGB   | 28.44  |
| American Financial Group       | AFGD   | 28.62  |
| American Financial Group       | AFGB   | 28.44  |
| American Financial Group AFGE  | AFGE   | 27.68  |
| American Homes 4 Rent          | AMH_ph | 26.8   |
| American Homes 4 Rent          | AMH_pf | 25.88  |
| American Homes 4 Rent          | AMH_pg | 26.32  |
| American Homes 4 Rent          | AMH_pe | 25.61  |
| American International Group   | AIG_t  | 0.1    |
| American Realty Investors      | ARL    | 11.38  |
| American Renal Associates      | ARA    | 11.46  |
| American Shared Hospital Srvcs | AMS    | 2.14   |
| American States Water          | AWR    | 78.5   |
| American Tower                 | AMT    | 221.64 |
| American Vanguard              | AVD    | 15.64  |
| American Water Works           | AWK    | 150.97 |
| American Well                  | AMWL   | 25.19  |
| Americas Silver                | USAS   | 3.22   |
| Americas Tech                  | ATA_u  | 10.28  |
| Americold Realty               | COLD   | 36.66  |
| Amerihome                      | AHM    | 0      |
| Ameriprise Financial           | AMP    | 193.72 |
| AmerisourceBergen              | ABC    | 96.68  |
| Ametek                         | AME    | 119.83 |
| AMH 4 Rent                     | AMH    | 29.94  |
| AMH 4 Rent Pref D              | AMH_pd | 25.42  |
| AMN Healthcare Services        | AMN    | 68.14  |
| Amneal Pharma A                | AMRX   | 4.54   |
| Ampco-Pittsburgh               | AP     | 5.54   |
| Amphenol                       | APH    | 129.89 |
| Ampio Pharm                    | AMPE   | 1.6    |
| Amplify Energy                 | AMPY   | 1.31   |
| AMREP                          | AXR    | 8.56   |
| AMTD International             | HKIB   | 6.6    |
| Anaplan                        | PLAN   | 72.08  |
| Andina B                       | AKOb   | 14.95  |
| AngloGold Ashanti ADR          | AU     | 22.43  |
| Anheuser Busch ADR             | BUD    | 69.67  |
| Annaly                         | NLY_pi | 25.41  |

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|-------------------------------------|--------|--------|
| Annaly Capital Management           | NLY    | 8.38   |
| Annaly Capital Management Pd Pref   | NLY_pd | 24.99  |
| Annaly Capital Management Pref      | NLY_pf | 25.18  |
| Annaly Capital Pref G               | NLY_pg | 24.59  |
| Annovis Bio                         | ANVS   | 7.5    |
| Antero Midstream                    | AM     | 7.72   |
| Antero Resources Corp               | AR     | 5.46   |
| Anthem                              | ANTM   | 318.36 |
| Anworth Mortgage Asset              | ANH    | 2.67   |
| Anworth Mortgage Asset Corp Pa Pref | ANH_pa | 25.16  |
| Anworth Mortgage Asset Corp Pb Pref | ANH_pb | 24.2   |
| Anworth Mortgage Asset Corp Pc Pref | ANH_pc | 24.51  |
| AO Smith                            | AOS    | 54.51  |
| Aon                                 | AON    | 208.87 |
| Apartment                           | AIRC   | 38.2   |
| Apartment Invest                    | AIV    | 5.22   |
| Api Group Corp                      | APG    | 18.28  |
| Apollo Commercial RE Finance        | ARI    | 11.12  |
| Apollo Global Management            | APO_pb | 27.37  |
| Apollo Global Management A          | APO    | 48.59  |
| Apollo Global Management Pref       | APO_pa | 26.66  |
| Apollo Senior Floating Rate Closed  | AFT    | 14.39  |
| Apollo Strategic                    | APSG_u | 10.96  |
| Apollo Strategic Growth Capital     | APSG   | 10.4   |
| Apollo Tactical Income Closed Fund  | AIF    | 14.44  |
| Apple Hospitality REIT              | APLE   | 12.98  |
| Applied Industrial Technologies     | AIT    | 78.03  |
| AptarGroup                          | ATR    | 136.71 |
| Aptiv                               | APTV   | 130.85 |
| Aqua America                        | WTRG   | 46.59  |
| Aramark Holdings                    | ARMK   | 38.33  |
| Arbor                               | ABR    | 14.16  |
| Arbor Realty Trust Inc Pa Pref      | ABR_pa | 25.47  |
| Arbor Realty Trust Inc Pb Pref      | ABR_pb | 25.3   |
| Arbor Realty Trust Inc Pc Pref      | ABR_pc | 25.87  |
| ARC Document Solutions              | ARC    | 1.45   |
| ArcelorMittal ADR                   | MT     | 22.99  |
| Arch Resources                      | ARCH   | 43.64  |
| Archer-Daniels-Midland              | ADM    | 50.17  |
| Archrock                            | AROC   | 8.7    |
| Arconic                             | ARNC   | 30.08  |
| Arcos Dorados                       | ARCO   | 5.01   |
| Arcosa                              | ACA    | 54.99  |
| Arcus Biosciences                   | RCUS   | 25.94  |
| Ardagh Group                        | ARD    | 17.29  |
| Ardent Health Partners              | ARDT   | 0.02   |
| Ardent Health Partners              | ARDT   | 0.02   |

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|------------------------------------|---------|--------|
| Ardmore Shpng                      | ASC     | 3.29   |
| Ares Commercial RE                 | ACRE    | 11.85  |
| Ares Dynamic Credit Allocation Inc | ARDC    | 14.26  |
| Ares Management                    | ARES    | 47.51  |
| Ares Management Pref A             | ARES_pa | 25.98  |
| Argan                              | AGX     | 44.31  |
| Argo Group Int                     | ARGO    | 43.89  |
| Arista Networks                    | ANET    | 289.13 |
| Arlington Asset                    | AAIC_pb | 21.09  |
| Arlington Asset Investment         | AAIC    | 3.8    |
| Arlington Asset Investment Co ELKS | AIC     | 24.21  |
| Arlington Asset Investment ELKS    | AIW     | 24.95  |
| Arlington Asset Pref C             | AAIC_pc | 20.75  |
| Arlington Asset Pref C             | AAIC_pc | 20.75  |
| Arlo Technologies                  | ARLO    | 7.74   |
| Armada Hflr Pr                     | AHH     | 11.1   |
| Armada Hoffler Properties          | AHH_pa  | 25.7   |
| Armata Pharma                      | ARMP    | 2.88   |
| ARMOUR Residential                 | ARR     | 10.75  |
| ARMOUR Residential REIT            | ARR_pc  | 24.55  |
| Armstrong Flooring                 | AFI     | 3.79   |
| Armstrong World Industries         | AWI     | 73.51  |
| Arrow Electronics                  | ARW     | 97.48  |
| Arthur J Gallagher                 | AJG     | 122.26 |
| Artisan Partners AM                | APAM    | 50.29  |
| ASA Gold                           | ASA     | 21.9   |
| Asana                              | ASAN    | 29.39  |
| Asbury Automotive                  | ABG     | 146.85 |
| Ascendant Digital                  | ACND_u  | 11     |
| Ascendant Digital Acquisition      | ACND    | 10.26  |
| Ascendant Digital Acquisition      | ACND    | 10.26  |
| ASE Industrial ADR                 | ASX     | 5.84   |
| ASGN                               | ASGN    | 83     |
| Ashford Hospitality                | AHT     | 2.53   |
| Ashford Hospitality Pref           | AHT_ph  | 12.85  |
| Ashford Hospitality Pref G         | AHT_pg  | 12.95  |
| Ashford Hospitality Trust          | AHT_pi  | 13     |
| Ashford Hospitality Trust          | AHT_pf  | 13.09  |
| Ashford Hospitality Trust Pd Pref  | AHT_pd  | 15.36  |
| Ashford Inc                        | AINC    | 8.1    |
| Ashland Global                     | ASH     | 79.15  |
| Aspen Aerogels Inc                 | ASPN    | 16.38  |
| Aspen Insurance Pref Fixed         | AHL_pc  | 26.33  |
| Aspen Insurance Pref Perpetual     | AHL_pd  | 26.68  |
| Aspirational Consumer Lifestyle    | ASPL_u  | 10.5   |
| Aspirational Consumer Lifestyle    | ASPL    | 10.13  |
| Aspire                             | ASPE    | 0      |

|                                    |         |        |
|------------------------------------|---------|--------|
| AssetMark                          | AMK     | 24.31  |
| Associated Banc Corp Pc ADR        | ASB_pc  | 25.69  |
| Associated Banc-Corp               | ASB     | 17.1   |
| Associated Banc-Corp Pref D        | ASB_pd  | 25.99  |
| Associated Capital Group Inc       | AC      | 36.08  |
| Assurant                           | AIZ     | 134.98 |
| Assurant                           | AIZN    | 27.03  |
| Assurant                           | AIZN    | 27.03  |
| Assurant D Pref                    | AIZP    | 127.35 |
| Assured Guaranty                   | AGO     | 31.18  |
| Assured Guaranty Muni Hold Pb Pref | AGO_pb  | 26.2   |
| Assured Guaranty Muni Hold Pe ELKS | AGO_pe  | 25.82  |
| At Home Group                      | HOME    | 15.3   |
| AT&T                               | T       | 28.41  |
| AT&T                               | TBB     | 27.5   |
| AT&T                               | TBB     | 27.5   |
| AT&T ELKS                          | TBC     | 27.98  |
| Atento SA                          | ATTO    | 13.69  |
| Athene Holding                     | ATH     | 43.06  |
| Atkore Intl                        | ATKR    | 41.5   |
| Atlantic Avenue                    | ASAQ_u  | 10.69  |
| Atlantic Avenue Acquisition        | ASAQ    | 10.08  |
| Atlantic Power                     | AT      | 2.1    |
| Atlas                              | ATCO_pi | 25.08  |
| Atlas Crest                        | ACIC_u  | 10.74  |
| Atlas Crest Investment             | ACIC    | 10.2   |
| Atmos Energy                       | ATO     | 93.83  |
| Aurora Cannabis                    | ACB     | 8.41   |
| Autohome ADR                       | ATHM    | 99.46  |
| Autoliv                            | ALV     | 93.35  |
| AutoNation                         | AN      | 70.25  |
| AutoZone                           | AZO     | 1.18K  |
| Auxilio                            | CTEK    | 1.45   |
| Avalara                            | AVLR    | 166.11 |
| Avalon                             | AWX     | 2.59   |
| AvalonBay                          | AVB     | 158.14 |
| Avangrid Inc                       | AGR     | 45.07  |
| Avanos Medical                     | AVNS    | 45.89  |
| Avanti                             | AVAN_u  | 10.98  |
| Avanti Acquisition                 | AVAN    | 10.22  |
| Avantor                            | AVTR    | 28.01  |
| Avaya                              | AVYA    | 19.08  |
| Avery Dennison                     | AVY     | 154.47 |
| Avianca Hldgs ADR Rep 8 Prf        | AVH     | 0.88   |
| Avient Corp                        | AVNT    | 40.88  |
| Avino Silver Gold                  | ASM     | 1.31   |
| Avista                             | AVA     | 39.92  |

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|-------------------------------------|---------|-------|
| Axa Equitable                       | EQH     | 25.53 |
| Axalta Coating Systems              | AXTA    | 28.8  |
| Axis Capital                        | AXS     | 50.34 |
| AXIS Capital Holdings Ltd Pref E    | AXS_pe  | 26    |
| Axos Financial                      | AX      | 37.59 |
| Axos Financial                      | AXO     | 25.55 |
| Azek Company                        | AZEK    | 38.73 |
| Azul                                | AZUL    | 22.96 |
| Azure Power Global                  | AZRE    | 40.64 |
| AZZ                                 | AZZ     | 47.29 |
| B Riley                             | BMRG_u  | 11.69 |
| B Riley Principal A                 | ALTG    | 9.76  |
| B&G Foods                           | BGS     | 27.24 |
| B2Gold                              | BTG     | 5.58  |
| Babcock & Wilcox Enterprises        | BW      | 3.55  |
| Babson Capital Corporate Investors  | MCI     | 13.2  |
| Babson Capital Global Short Dura HY | BGH     | 15.04 |
| Babson Capital Participation Invest | MPV     | 11.88 |
| Badger Meter                        | BMI     | 94.91 |
| Bain Capital Specialty Finance      | BCSF    | 11.89 |
| Baker Hughes A                      | BKR     | 20.91 |
| Ball                                | BLL     | 92.45 |
| Ballantyne Strong                   | BTN     | 1.99  |
| Bally's                             | BALY    | 50.59 |
| Banc of California                  | BANC    | 14.82 |
| Banc of California Inc Pd ADR       | BANC_pd | 25.41 |
| Banco Bilbao ADR                    | BBVA    | 4.94  |
| Banco Bradesco                      | BBD     | 5.23  |
| Banco Bradesco S/A ADR              | BBDO    | 4.61  |
| Banco De Chile                      | BCH     | 20.38 |
| Banco Santander Brasil ADR          | BSBR    | 8.6   |
| Banco Santander Pref 6              | SAN_pb  | 25.01 |
| BanColombia                         | CIB     | 39.66 |
| BancorpSouth Bank                   | BXS_pa  | 26.46 |
| Bancorpsouth Bank                   | BXS     | 27.32 |
| Bancroft                            | BCV     | 29.96 |
| Bancroft Fund Pref                  | BCV_pa  | 25.92 |
| Bank of America                     | BAC     | 30.09 |
| Bank of America 15 December 2066    | MER_pk  | 27    |
| Bank of America Corp Pe ADR         | BAC_pe  | 25.39 |
| Bank of America Corp Pg ADR         | BML_pg  | 22.4  |
| Bank of America Corp Ph ADR         | BML_ph  | 22.45 |
| Bank of America Corp Pj ADR         | BML_pj  | 25.25 |
| Bank of America Corp Pl ADR         | BML_pl  | 25.05 |
| Bank of America Corp Pl Pref        | BAC_pl  | 1.52K |
| Bank of America Pm                  | BAC_pm  | 27.31 |
| Bank of Hawaii                      | BOH     | 76.99 |



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|----------------------------------|--------|---------|
| Bank of Montreal                 | BMO    | 76.23   |
| Bank of N.T. Butterfield Son     | NTB    | 31.46   |
| Bank of New York Mellon Corp ADR | BK_pc  | 25.02   |
| Bank of Nova Scotia              | BNS    | 54.2    |
| Bank of NY Mellon                | BK     | 42.01   |
| BankUnited                       | BKU    | 34.58   |
| Bar Harbor Bankshares            | BHB    | 22.24   |
| Barclays ADR                     | BCS    | 7.96    |
| Barings BDC                      | BBDC   | 9.19    |
| Barnes                           | B      | 50.31   |
| Barnes & Noble Education Inc     | BNED   | 4.76    |
| Barnwell Industries              | BRN    | 1.32    |
| Barrick Gold                     | GOLD   | 22.85   |
| Bausch Health                    | BHC    | 20.48   |
| Baxter                           | BAX    | 78.98   |
| Baytex Energy Corp               | BTE    | 0.52    |
| BBVA Banco Frances ADR           | BBAR   | 3.23    |
| BCE Inc.                         | BCE    | 42.77   |
| Beazer Homes USA                 | BZH    | 15.22   |
| Becton Dickinson                 | BDX    | 248.36  |
| Belden                           | BDC    | 41.94   |
| Bellring                         | BRBR   | 24.1    |
| Benchmark Electronics            | BHE    | 27.1    |
| Berkshire Hathaway               | BRKa   | 345.50K |
| Berkshire Hathaway B             | BRKb   | 230.2   |
| Berkshire Hills Bancorp          | BHLB   | 17.19   |
| Berry Global                     | BERY   | 56.31   |
| BEST                             | BEST   | 2.1     |
| Best Buy                         | BBY    | 100.2   |
| BG Staffing Inc                  | BGSF   | 13.46   |
| BHP Billiton ADR                 | BBL    | 52.87   |
| BHP Billiton Ltd ADR             | BHP    | 65.26   |
| Big Lots                         | BIG    | 42.99   |
| Biglari                          | BH     | 111.92  |
| Biglari A                        | BHa    | 590     |
| Bill Com                         | BILL   | 136.02  |
| Bioceres Crop                    | BIOX   | 6.04    |
| Biohaven Pharma                  | BHVN   | 86.59   |
| Biomx                            | PHGE   | 6.56    |
| Biomx Inc                        | PHGE_u | 6.31    |
| Bio-Rad Labs                     | BIO    | 576.83  |
| Bio-Rad Labs B                   | BIOb   | 574.15  |
| BioTime                          | LCTX   | 1.76    |
| Birks Group Inc                  | BGI    | 0.85    |
| Bitauto                          | BITA   | 15.94   |
| BJs Wholesale Club               | BJ     | 37.62   |
| BK Tech                          | BKTI   | 3.03    |

|                                     |      |        |
|-------------------------------------|------|--------|
| Black Hills                         | BKH  | 60.85  |
| Black Knight                        | BKI  | 88.29  |
| Black Stone Minerals                | BSM  | 6.67   |
| BlackBerry                          | BB   | 6.7    |
| BlackRock                           | BLK  | 714.34 |
| BlackRock 2022 Global               | BGIO | 9.03   |
| BlackRock California Muniome Closed | BFZ  | 14.09  |
| BlackRock Core Bond Closed Fund     | BHK  | 16.27  |
| BlackRock Corporate High Yield Fund | HYT  | 11.37  |
| BlackRock Credit Allocationome Tr   | BTZ  | 14.77  |
| BlackRock Debt Strategies Closed    | DSU  | 10.33  |
| BlackRock Energy Resources Closed   | BGR  | 7.12   |
| BlackRock Enhanced Cap Incom Closed | CII  | 17.51  |
| BlackRock Enhanced Equity Div Trust | BDJ  | 8.43   |
| BlackRock Enhanced Governmen Closed | EGF  | 13.27  |
| BlackRock Floating Rateome CI       | BGT  | 11.84  |
| BlackRock Floating Rateome Closed   | FRA  | 12.05  |
| BlackRock Florida Muni 2020 Closed  | BFO  | 14.25  |
| BlackRock Global Opportunities Eq   | BOE  | 10.84  |
| BlackRock Health Sciences Trust     | BME  | 47.83  |
| BlackRock Health Sciences Trust II  | BMEZ | 29.15  |
| BlackRock II                        | BLE  | 15.36  |
| BlackRock Income Closed Fund        | BKT  | 6.07   |
| BlackRock Intl Growth Closed        | BGY  | 5.91   |
| BlackRock Invest Qualit Muni Closed | BKN  | 17.22  |
| BlackRock Limited Durationome Trust | BLW  | 15.77  |
| BlackRock Long Term Muni Advantage  | BTA  | 12.72  |
| BlackRock Maryl                     | BZM  | 15.39  |
| BlackRock Massachusetts Tax-Exempt  | MHE  | 13.39  |
| Blackrock Multi Sectorome Closed    | BIT  | 17.32  |
| BlackRock Muni 2020 Term Closed     | BKK  | 14.62  |
| BlackRock Muni Holding Quali Closed | MUS  | 13.25  |
| BlackRock Muni Intermediate Closed  | MUI  | 14.83  |
| Blackrock Muni Target Term Closed   | BTT  | 25.5   |
| BlackRock Municipal Bond Closed     | BBK  | 16.16  |
| BlackRock Municipal Income Closed   | BFK  | 15.51  |
| BlackRock MuniEnhanced Closed Fund  | MEN  | 11.93  |
| BlackRock MuniHoldings CA Q Closed  | MUC  | 14.98  |
| BlackRock MuniHoldings Closed Fund  | MHD  | 16.1   |
| BlackRock MuniHoldings Closed II    | MUH  | 15.15  |
| BlackRock MuniHoldings Inv Quality  | MFL  | 14.05  |
| BlackRock MuniHoldings NJ Quality   | MUJ  | 14.48  |
| BlackRock MuniHoldings NY Q Closed  | MHN  | 14.08  |
| BlackRock MuniHoldings Q Closed II  | MUE  | 13.57  |
| BlackRock Muniome Invest Q Closed   | BAF  | 14.91  |
| BlackRock Muniome Investment Closed | BBF  | 13.37  |
| BlackRock Muniome Quality Closed    | BYM  | 15.14  |

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|-------------------------------------|--------|-------|
| BlackRock MuniVest Fund II          | MVT    | 14.81 |
| BlackRock MuniYield California      | MCA    | 14.95 |
| BlackRock MuniYield California Fund | MYC    | 14.89 |
| BlackRock MuniYield Fund            | MYD    | 14.28 |
| Blackrock Muniyield Invest Closed   | MYF    | 14    |
| BlackRock MuniYield Invest Q Closed | MFT    | 14.35 |
| BlackRock MuniYield MI Quali Closed | MIY    | 14.62 |
| BlackRock MuniYield NJ Closed       | MYJ    | 14.47 |
| BlackRock MuniYield NY Qual Closed  | MYN    | 13.27 |
| BlackRock MuniYield Pennsylvania Q  | MPA    | 14.83 |
| BlackRock MuniYield Qual Closed III | MYI    | 14.38 |
| BlackRock MuniYield Quality Closed  | MQY    | 16.58 |
| BlackRock MuniYield Quality Fund II | MQT    | 14.12 |
| BlackRock New York II               | BFY    | 14.39 |
| BlackRock New York Muni Bond Trust  | BQH    | 15.62 |
| BlackRock New York Muniome Q Closed | BSE    | 13.58 |
| BlackRock New York Muniome Trust    | BNY    | 14.52 |
| BlackRock Resources Commodities     | BCX    | 7.75  |
| BlackRock Science                   | BSTZ   | 36.26 |
| BlackRock Science & Technology      | BST    | 53.5  |
| BlackRock Strategic Muni Closed     | BSD    | 14.31 |
| BlackRock Taxable Muni Bond Trust   | BBN    | 27.1  |
| BlackRock Utility Infrastruct Trust | BUI    | 25.04 |
| BlackRock Virginia                  | BHV    | 15.9  |
| Blackstone Group                    | BX     | 64.93 |
| Blackstone GSO Long Short Cr Closed | BGX    | 13.43 |
| Blackstone GSO Senior Floating Rate | BSL    | 14.24 |
| Blackstone GSO Strate Credit Closed | BGB    | 12.38 |
| Blackstone Mortgage                 | BXMT   | 27.35 |
| Blonder Tongue Labs                 | BDR    | 1.29  |
| Bloom Energy                        | BE     | 28.66 |
| Blue Apron                          | APRN   | 5.74  |
| Blue Ridge Bankshares               | BRBS   | 18    |
| Bluegreen Vacations                 | BXG    | 7.61  |
| Bluegreen Vacations Holding         | BVH    | 13.66 |
| BlueLinx                            | BXC    | 29.41 |
| Bluerock Resident Growth REIT Pref  | BRG_pa | 25.17 |
| Bluerock Residential Growth Pref D  | BRG_pd | 25.56 |
| Bluerock Residential Growth REIT    | BRG    | 12.48 |
| Bluerock Residential Growth REIT    | BRG_pc | 26.21 |
| Bluescape                           | BOAC_u | 11.26 |
| Bluescape Opportunities Acquisition | BOAC   | 10.25 |
| Boeing                              | BA     | 213.4 |
| Boise Cascad Llc                    | BCC    | 48.3  |
| Bonanza Creek Energy                | BCEI   | 19.2  |
| Boot Barn Holdin                    | BOOT   | 43.4  |
| Booz Allen Hamilton                 | BAH    | 86.57 |

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|---------------------------------------|--------|--------|
| Boqii                                 | BQ     | 5.19   |
| BorgWarner                            | BWA    | 38.93  |
| Borr Drilling                         | BORR   | 0.82   |
| Boston Beer                           | SAM    | 986.17 |
| Boston Properties                     | BXP    | 93.35  |
| Boston Properties Inc ADR             | BXP_pb | 25.94  |
| Boston Scientific                     | BSX    | 35.7   |
| Boston Scientific                     | BSX_pa | 109    |
| Boulder Growth and Income Closed      | BIF    | 11.09  |
| Bowl America Inc                      | BWLa   | 8.99   |
| Box Inc                               | BOX    | 17.94  |
| Boyd Gaming                           | BYD    | 43.34  |
| BP ADR                                | BP     | 20.59  |
| BP Midstream Partners                 | BPMP   | 10.58  |
| BP Prudhoe Bay Royalty Trust          | BPT    | 2.37   |
| Brady                                 | BRC    | 52.43  |
| Braemar Hotel                         | BHR    | 4.61   |
| Braemar Hotels & Resorts              | BHR_pd | 18.8   |
| Brandywine                            | BDN    | 11.75  |
| Brasilagro Adr                        | LND    | 5      |
| Braskem A                             | BAK    | 9.13   |
| BRF                                   | BRFS   | 4.18   |
| Brigham Minerals                      | MNRL   | 11.16  |
| Bright Horizons Family Solutions Inc  | BFAM   | 170.12 |
| Bright Scholar A                      | BEDU   | 5.76   |
| BrightSphere Invest ELKS              | BSA    | 25.21  |
| BrightSphere Investment Group         | BSIG   | 19.47  |
| BrightView Holdings                   | BV     | 14.97  |
| Brinker                               | EAT    | 56.5   |
| Brinks                                | BCO    | 71.84  |
| Bristol Myers Rights                  | BMY_r  | 0.62   |
| Bristol-Myers Squibb                  | BMY    | 61.24  |
| Bristow Inc                           | VTOL   | 26.74  |
| British American Tobacco ADR          | BTI    | 37.31  |
| Brixmor Property                      | BRX    | 16.46  |
| Broadmark Realty Capital              | BRMK   | 10.18  |
| Broadridge                            | BR     | 151.78 |
| Broadstone                            | BSN_u  | 10.65  |
| Broadstone Acquisition                | BSN    | 10.02  |
| Broadstone Net                        | BNL    | 19.31  |
| Brookdale Senior Living               | BKD    | 4.41   |
| Brookfield Asset Management           | BAM    | 41.66  |
| Brookfield Business                   | BBU    | 38     |
| Brookfield DTLA Office Investor Pre   | DTLA_p | 14.38  |
| Brookfield Infra                      | BIPC   | 73.05  |
| Brookfield Infrastructure Partners    | BIP    | 49.77  |
| Brookfield Infrastructure Partners LP | BIP_pa | 26.23  |

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|---|--------|--------|
| Brookfield Real Assets                          | RA     | 17.78  |
| Brookfield Renewable                            | BEPC   | 59.49  |
| Brookfield Renewable                            | BEP    | 43     |
| Brookfield Renewable Partners LP                | BEP_pa | 27.4   |
| Brown Forman                                    | BFb    | 78.76  |
| Brown Forman A                                  | BFa    | 73.17  |
| Brown&Brown                                     | BRO    | 47.09  |
| BRT   | BRT    | 15.14  |
| Brunswick                                       | BC     | 77.5   |
| Brunswick                                       | BC_pc  | 27.33  |
| Brunswick 6 625 Senior Notes due 2049           | BC_pb  | 27.02  |
| Brunswick Corp 6 500 Senior Notes Due 15 Oct 20 | BC_pa  | 27.07  |
| Buckle  | BKE    | 29.17  |
| Buenaventura Mining ADR                         | BVN    | 12.04  |
| Build-A-Bear Workshop                           | BBW    | 4.27   |
| Bunge   | BG     | 65.38  |
| Burford   | BUR    | 9.59   |
| Burlington Stores                               | BURL   | 262.33 |
| BWX Tech  | BWXT   | 60.04  |
| Byline Bancorp                                  | BY     | 15.45  |
| C3 Ai   | AI     | 140.5  |
| Cable One Inc                                   | CABO   | 2.23K  |
| Cabot Corp                                      | CBT    | 45.24  |
| Cabot Oil&Gas                                   | COG    | 16.14  |
| CACI  | CACI   | 247.72 |
| Cactus  | WHD    | 25.89  |
| Cadence Bancorp                                 | CADE   | 16.64  |
| CAE Inc.  | CAE    | 27.76  |
| Cai   | CAI    | 31.08  |
| CAI Intl Pref A                                 | CAI_pa | 25.6   |
| CAI Intl Pref B                                 | CAI_pb | 25.25  |
| Caledonia Mining                                | CMCL   | 16.18  |
| Caleres   | CAL    | 15.32  |
| Caliber Home                                    | HOMS   | 0      |
| California Resources                            | CRC    | 23.2   |
| California Water Service                        | CWT    | 53.74  |
| Calix   | CALX   | 29.69  |
| Callaway Golf                                   | ELY    | 24.18  |
| Callon Petroleum                                | CPE    | 13.23  |
| Camber Energy Inc                               | CEI    | 0.93   |
| Camden Property                                 | CPT    | 98.55  |
| Cameco  | CCJ    | 13.32  |
| Campbell Soup                                   | CPB    | 47.84  |
| Camping World Holdings                          | CWH    | 26.53  |
| Can Fite Biopharma ADR                          | CANF   | 1.75   |
| Canada Goose                                    | GOOS   | 29.89  |
| Canadian Imperial Bank                          | CM     | 85.55  |

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|-------------------------------------|---------|--------|
| Canadian National Railway           | CNI     | 110.37 |
| Canadian Natural                    | CNQ     | 24.31  |
| Canadian Pacific Railway            | CP      | 349.71 |
| Cango                               | CANG    | 7.26   |
| Cannae                              | CNNE    | 44.02  |
| Canon ADR                           | CAJ     | 19.45  |
| Cantel Medical                      | CMD     | 79.26  |
| Capital One Financial               | COF     | 98.73  |
| Capital One Financial Corp Pf ADR   | COF_pf  | 25.02  |
| Capital One Financial G             | COF_pg  | 26.48  |
| Capital One Financial H             | COF_ph  | 26.46  |
| Capital Senior Living               | CSU     | 12.19  |
| Capri Holdings                      | CPRI    | 42.1   |
| Capstar Spac                        | CPSR_u  | 10.85  |
| Capstar Special Purpose Acquisition | CPSR    | 10.16  |
| Capstead Mortgage                   | CMO     | 5.77   |
| Capstead Mortgage Corp Pref         | CMO_pe  | 24.53  |
| Cardinal Health                     | CAH     | 52.88  |
| Carlisle                            | CSL     | 155.95 |
| CarMax                              | KMX     | 94.8   |
| Carnival ADS                        | CUK     | 18.76  |
| Carnival Corp                       | CCL     | 21.68  |
| Carpenter Technology                | CRS     | 28.8   |
| Carriage Services                   | CSV     | 31.21  |
| Carrier Global                      | CARR    | 37.36  |
| Cars.com                            | CARS    | 11.37  |
| Carter's                            | CRI     | 94.71  |
| Carvana                             | CVNA    | 243.38 |
| Cascade                             | CAS_u   | 10.5   |
| Casper Sleep                        | CSPR    | 6.25   |
| Castlight Health                    | CSLT    | 1.36   |
| Catalent Inc                        | CTLT    | 104    |
| Catchmark Timber                    | CTT     | 9.27   |
| Caterpillar                         | CAT     | 181.48 |
| Cato                                | CATO    | 9.48   |
| cbdMD                               | YCBD    | 2.99   |
| cbdMD                               | YCBD_pa | 7.8    |
| CBIZ                                | CBZ     | 26.61  |
| Cboe Global                         | CBOE    | 92.36  |
| CBRE A                              | CBRE    | 62.51  |
| Cbre Acquisition Holdings           | CBAH_u  | 10.46  |
| CBRE Clarion Global Real Estateome  | IGR     | 6.89   |
| CC Neuberger Principal Holdings I   | PCPL_u  | 11.9   |
| CC Neuberger Principal Holdings I   | PCPL    | 10.96  |
| CC Neuberger Principal Holdings II  | PRPB    | 10.25  |
| CC Neuberger Principal Holdings II  | PRPB_u  | 10.68  |
| Cedar Fair LP                       | FUN     | 39.84  |

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|-------------------------------------|---------|--------|
| Cedar Realty                        | CDR     | 9.62   |
| Cedar Realty Pref B                 | CDR_pb  | 21.63  |
| Cedar Realty Pref C                 | CDR_pc  | 21.09  |
| Celanese                            | CE      | 130.82 |
| Celstica Inc.                       | CLS     | 8.15   |
| Cellcom                             | CEL     | 4.84   |
| CEL-SCI                             | CVM     | 11.8   |
| Cementos Pacasmayo                  | CPAC    | 7.46   |
| Cemex ADR                           | CX      | 5.16   |
| CEMIG PN ADR                        | CIG     | 2.88   |
| Cenovus Energy Inc                  | CVE     | 6.12   |
| Centene                             | CNC     | 59.3   |
| Center Coast MLP Infrastr Closed    | CEN     | 9.51   |
| CenterPoint Energy                  | CNP     | 21.31  |
| Centrais Electricas Brasileiras     | EBR     | 6.95   |
| Centrais Eletricas Brasileiras ADR  | EBRb    | 7.08   |
| Central Europe Russia & Turkey Fund | CEE     | 24.09  |
| Central Puerto                      | CEPU    | 2.55   |
| Central Securities                  | CET     | 32.2   |
| Centrus Energy                      | LEU     | 23.48  |
| Century Communities                 | CCS     | 44.35  |
| CenturyLink                         | LUMN    | 9.76   |
| Ceridian HCM                        | CDAY    | 106.9  |
| CF Industries                       | CF      | 39.05  |
| CGI Inc                             | GIB     | 79.81  |
| ChampionX Corp                      | CHX     | 15.29  |
| Channeladvsr Co                     | ECOM    | 16.18  |
| Charah Solutions                    | CHRA    | 2.88   |
| Chardan                             | CHAQ    | 10.2   |
| Chardan Healthcare Acquisition 2    | CHAQ_u  | 0      |
| Charles River Laboratories          | CRL     | 249.55 |
| Charles Schwab                      | SCHW    | 52.52  |
| Charles Schwab Corp Pc ADR          | SCHW_pc | 25.39  |
| Chase                               | CCF     | 101.78 |
| Chatham Lodging                     | CLDT    | 11.03  |
| Cheetah Mobile Inc                  | CMCM    | 1.85   |
| Chegg Inc                           | CHGG    | 90.85  |
| Chemed                              | CHE     | 525.71 |
| Chemours Co                         | CC      | 25.35  |
| Cheniere Energy                     | LNG     | 60.78  |
| Cheniere Energy Partners            | CQP     | 34.65  |
| Cherry Hill Mortgage                | CHMI_pa | 24.99  |
| Cherry Hill Mortgage                | CHMI    | 9.07   |
| Cherry Hill Mortgage Investment     | CHMI_pb | 22.83  |
| Chesapeake Utilities                | CPK     | 107.05 |
| Chevron                             | CVX     | 84.19  |
| Chewy                               | CHWY    | 89.27  |

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|-----------------------------|--------|--------|
| Chicago Rivet&Machine       | CVR    | 23.5   |
| Chicos FAS                  | CHS    | 1.67   |
| Chimera Invest Pref B       | CIM_pb | 22.57  |
| Chimera Investment          | CIM_pc | 21.54  |
| Chimera Investment          | CIM    | 10.12  |
| Chimera Investment          | CIM_pd | 21.8   |
| Chimera Investment Pref A   | CIM_pa | 24.14  |
| China Cord Blood            | CO     | 3.61   |
| China Distance Education    | DL     | 9.63   |
| China Eastern Airlines      | CEA    | 21.5   |
| China Fund                  | CHN    | 28.59  |
| China Green Agriculture     | CGA    | 3.49   |
| China Life Insurance ADR    | LFC    | 10.98  |
| China Mobile ADR            | CHL    | 28.36  |
| China Online ADR            | COE    | 26.73  |
| China Petrol & Chemical ADR | SNP    | 44.58  |
| China Pharma                | CPHI   | 0.46   |
| China Southern Airlines ADR | ZNH    | 29.39  |
| China Telecom               | CHA    | 27.39  |
| China Unicom Hong Kong ADR  | CHU    | 5.68   |
| China Yuchai                | CYD    | 16.18  |
| Chipotle Mexican Grill      | CMG    | 1.38K  |
| Choice Hotels               | CHH    | 106.45 |
| Chubb                       | CB     | 152.08 |
| Chunghwa Telecom            | CHT    | 38.55  |
| Church&Dwight               | CHD    | 86.46  |
| Churchill Cap V             | CCV_u  | 10.52  |
| Churchill Capital           | CCX    | 10.36  |
| Churchill Capital           | CCX_u  | 10.97  |
| Churchill Capital III       | CCXX_u | 10.34  |
| Churchill Capital IV        | CCIV   | 10.03  |
| CI Financial Corp           | CIXX   | 12.41  |
| Ciena Corp                  | CIEN   | 52.57  |
| Cigna                       | CI     | 206.15 |
| Cimarex Energy              | XEC    | 37.61  |
| Cincinnati Bell             | CBB    | 15.3   |
| Cincinnati Bell Inc ADR     | CBB_pb | 49.62  |
| Cinemark                    | CNK    | 17.74  |
| Ciner Resources             | CINR   | 12.35  |
| CIRCOR                      | CIR    | 39.14  |
| CIT                         | CIT    | 35.59  |
| CIT Group                   | CIT_pb | 26.81  |
| Citic Capital Acq           | CCAC_u | 11.38  |
| CITIC Capital Acquisition   | CCAC   | 10.67  |
| Citigroup                   | C      | 61.27  |
| Citigroup Inc Pj ADR        | C_pj   | 28.39  |
| Citigroup Inc Pk ADR        | C_pk   | 28.43  |



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|-------------------------------------|--------|-------|
| Citizens                            | CIA    | 5.85  |
| Citizens Financial Group Inc        | CFG    | 35.59 |
| City Office                         | CIO    | 9.69  |
| City Office REIT Pref A             | CIO_pa | 26.09 |
| Civeo                               | CVEO   | 13.89 |
| CKX Lands Inc                       | CKX    | 9.65  |
| Clarivate Analytics                 | CCC    | 29.78 |
| Clean Harbors                       | CLH    | 76.81 |
| Clear Channel                       | CCO    | 1.63  |
| Clearbridge Energy MLP Closed Fund  | CEM    | 16.7  |
| ClearBridge Energy MLP Oppor Closed | EMO    | 13.37 |
| ClearBridge Energy MLP Total Return | CTR    | 14.38 |
| Clearwater Paper                    | CLW    | 37.82 |
| Clearway Energy A                   | CWENa  | 29.74 |
| Clearway Energy C                   | CWEN   | 31.72 |
| Cleveland-Cliffs                    | CLF    | 14.63 |
| Clipper Realty                      | CLPR   | 7     |
| Clorox                              | CLX    | 200.7 |
| Cloudera                            | CLDR   | 13.92 |
| Cloudflare                          | NET    | 76.03 |
| Clough Global                       | GLQ    | 13.71 |
| Clough Global Allocation            | GLV    | 10.35 |
| Clough Global Opportunities         | GLO    | 11.13 |
| CMS Energy                          | CMS    | 60.03 |
| CMS Energy                          | CMSD   | 28    |
| CMS Energy                          | CMSC   | 28.43 |
| CMS Energy                          | CMSA   | 28.47 |
| CNA Financial                       | CNA    | 38.57 |
| CNFinance                           | CNF    | 3.5   |
| CNH Industrial NV                   | CNHI   | 12.84 |
| CNO Financial                       | CNO    | 22.44 |
| CNOOC ADR                           | CEO    | 91.47 |
| CNX Midstream                       | CNXM   | 9.16  |
| CNX Resources                       | CNX    | 10.79 |
| Coca Cola Femsa ADR                 | KOF    | 45.91 |
| Coca-Cola                           | KO     | 54.33 |
| Coca-Cola European                  | CCEP   | 49.08 |
| Coeur Mining                        | CDE    | 10.34 |
| Cohen & Co                          | COHN   | 16.41 |
| Cohen & Steers Closed End Op Closed | FOF    | 12.45 |
| Cohen & Steers Quality Income       | RQI    | 12.27 |
| Cohen & Steers REIT & Preferredome  | RNP    | 22.79 |
| Cohen and Steers MLP Income         | MIE    | 2.3   |
| Cohen and Steers MLP Income         | MIE    | 2.3   |
| Cohen and Steers Select Preferred   | PSF    | 28    |
| Cohen Steers                        | CNS    | 73.67 |
| Cohen Steers Infrastructure Closed  | UTF    | 26.2  |

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|--------------------------------------|---------|--------|
| Cohen Steers Limited Duration Pref   | LDP     | 26.53  |
| Cohen Steers TR Realty Closed        | RFI     | 13.16  |
| Cohn Robbins                         | CRHC_u  | 10.72  |
| Cohn Robbins Holdings                | CRHC    | 10.32  |
| Colfax                               | CFX     | 38.06  |
| Colfax                               | CFXA    | 159.67 |
| Colgate-Palmolive                    | CL      | 84.64  |
| Colonnade Acquisition                | CLA_u   | 15.1   |
| Colony Capital A                     | CLNY    | 4.84   |
| Colony Capital Pref J                | CLNY_pj | 23.51  |
| Colony Northstar Credit              | CLNC    | 7.43   |
| Colony NorthStar Pref G              | CLNY_pg | 23.8   |
| Colony NorthStar Pref H              | CLNY_ph | 23.54  |
| Colony NorthStar Pref I              | CLNY_pi | 23.45  |
| Columbia Pr                          | CXP     | 14.11  |
| Columbia Seligman Premium Technolog  | STK     | 26.88  |
| Comcast Holdings                     | CCZ     | 60.31  |
| Comerica                             | CMA     | 55.9   |
| Comfort Systems                      | FIX     | 52.67  |
| Commercial Metals                    | CMC     | 20.46  |
| Community Bank System                | CBU     | 62.04  |
| Community Health Systems             | CYH     | 7.46   |
| Community Healthcare Trust Inc       | CHCT    | 47.05  |
| Companhia Brasileira de Distribuicao | CBD     | 14.29  |
| Compania Cervecerias Unidas          | CCU     | 14.65  |
| Compass Diversified                  | CODI    | 19.38  |
| Compass Diversified Holdings         | CODI_pc | 25.41  |
| Compass Diversified Holdings         | CODI_pb | 25.41  |
| Compass Diversified Pref A           | CODI_pa | 25     |
| Compass Minerals                     | CMP     | 62.02  |
| CompX                                | CIX     | 14.39  |
| Comstock Mining                      | LODE    | 1.04   |
| Comstock Resources                   | CRK     | 4.32   |
| ConAgra Foods                        | CAG     | 35.85  |
| Concho Resources                     | CXO     | 58.19  |
| Concord Acquisition                  | CND_u   | 10.39  |
| Concord Acquisition                  | CND_u   | 10.39  |
| Concord Medical Services             | CCM     | 2.78   |
| Condor Hospitality                   | CDOR    | 3.88   |
| CONMED                               | CNMD    | 112.52 |
| ConocoPhillips                       | COP     | 39.92  |
| CONSOL Coal                          | CCR     | 4.73   |
| Consol Energy                        | CEIX    | 7.2    |
| Consolidated Edison                  | ED      | 71.48  |

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|------------------------------------|---------|--------|
| Consonance Hfw                     | CHFW_u  | 10.6   |
| Consonance Hfw                     | CHFW_u  | 10.6   |
| Constellation Brands A             | STZ     | 217.86 |
| Constellation Brands B             | STZb    | 219.33 |
| Constellium Nv                     | CSTM    | 14.07  |
| Consumers Energy Co Pref           | CMS_pb  | 109.85 |
| Container Store                    | TCS     | 9.71   |
| Contango Oil&Gas                   | MCF     | 2.32   |
| Continental Resources              | CLR     | 16.42  |
| Contura Energy                     | CTRA    | 11.45  |
| Cooper                             | COO     | 357.89 |
| Cooper Stnd                        | CPS     | 34.79  |
| Cooper Tire&Rubber                 | CTB     | 39.83  |
| CooTek Cayman                      | CTK     | 2.7    |
| Copa                               | CPA     | 77.91  |
| COPEL ADR                          | ELP     | 14.22  |
| Core Laboratories                  | CLB     | 26.67  |
| Core Molding                       | CMT     | 13.85  |
| CoreCivic                          | CXW     | 6.55   |
| CoreLogic                          | CLGX    | 76.9   |
| Coreenergy Infrass                 | CORR    | 6.82   |
| CorEnergy Infrastructure Trust ADR | CORR_pa | 17.75  |
| CorePoint Lodging                  | CPLG    | 6.65   |
| CoreSite                           | COR     | 123.63 |
| CorMedix                           | CRMD    | 7.34   |
| Cornerstone Building               | CNR     | 9.46   |
| Cornerstone Strategic Return       | CRF     | 11.4   |
| Cornerstone Strategic Value        | CLM     | 11.73  |
| Corning                            | GLW     | 35.85  |
| Corporacion America Airports       | CAAP    | 3.97   |
| Corporate Asset Backed Corp GC GIC | GYC     | 24.82  |
| Corporate Asset Backed Corp Pref   | PFH     | 26.32  |
| Corporate Asset Backed Corp Pref   | PFH     | 26.32  |
| Corporate Office Properties        | OFC     | 25.7   |
| Corteva                            | CTVA    | 38.85  |
| Cosan Ltd                          | CZZ     | 18.45  |
| Costamare                          | CMRE    | 8.21   |
| Costamare Inc Pb Pref              | CMRE_pb | 25.1   |
| Costamare Inc Pc Pref              | CMRE_pc | 25.17  |
| Costamare Inc Pd Pref              | CMRE_pd | 25.41  |
| Costamare Pref E                   | CMRE_pe | 25.8   |
| Coty Inc                           | COTY    | 7.01   |
| Cousins Properties                 | CUZ     | 33.4   |
| Covanta                            | CVA     | 12.98  |
| CPB                                | CPF     | 18.98  |
| CPI Aerostructures                 | CVU     | 3.9    |



|                                     |         |        |
|-------------------------------------|---------|--------|
| Crane                               | CR      | 77.36  |
| Crawford&Co                         | CRDa    | 7.44   |
| Crawford&Comp D                     | CRDb    | 7.38   |
| Credicorp                           | BAP     | 162.75 |
| Credit Enhanced Corts Trust For Aon | KTN     | 33.64  |
| Credit Enhanced Corts Trust For Aon | KTN     | 33.64  |
| Credit Suisse                       | CIK     | 3.18   |
| Credit Suisse ADR                   | CS      | 12.77  |
| Credit Suisse Hi-Yield Bond         | DHY     | 2.29   |
| Crescent Point Energy               | CPG     | 2.34   |
| Crestwood Equity Partners LP        | CEQP    | 19.25  |
| Crestwood Equity Partners LP        | CEQP_p  | 7.53   |
| CRH ADR                             | CRH     | 42.32  |
| CRH Medical                         | CRHM    | 2.32   |
| Cross Timbers Royalty Trust         | CRT     | 8.21   |
| Crossamerica Partners LP            | CAPL    | 17.11  |
| Crown                               | CCK     | 100.02 |
| Crown Castle                        | CCI     | 156.88 |
| CryoLife                            | CRY     | 23.25  |
| Csr Acq                             | CSRA_u  | 0      |
| CTO Realty Growth                   | CTO     | 42.25  |
| CTS Corp                            | CTS     | 34.2   |
| CubeSmart                           | CUBE    | 33.39  |
| Cubic                               | CUB     | 62.03  |
| Cullen/Frost Bankers                | CFR     | 87.74  |
| Culp                                | CULP    | 15.25  |
| Cummins                             | CMI     | 226.16 |
| Curo Group                          | CURO    | 14.57  |
| Curtiss-Wright                      | CW      | 116.64 |
| Cushing MLP Total Return Closed     | SRV     | 21.15  |
| Cushing Renaissance Fund            | SZC     | 36.33  |
| Cushman & Wakefield                 | CWK     | 14.73  |
| Customers Bancorp                   | CUBI    | 18.18  |
| Customers Bancorp                   | CUBB    | 25.76  |
| Customers Bancorp Inc Pc Pref       | CUBI_pc | 24.76  |
| Customers Bancorp Pref D            | CUBI_pd | 25.16  |
| Customers Bancorp Pref E            | CUBI_pe | 25.04  |
| Customers Bancorp Pref F            | CUBI_pf | 25.1   |
| CVR Energy                          | CVI     | 15.13  |
| CVR Partners LP                     | UAN     | 15.79  |
| CVS Health Corp                     | CVS     | 67.47  |
| Cypress Energy Partners LP          | CELP    | 2.28   |
| D8 Hldgs                            | DEH_u   | 10.69  |
| D8 Holdings                         | DEH     | 10.15  |
| Dana                                | DAN     | 19.6   |
| Danaher                             | DHR     | 220.81 |
| Danaher                             | DHR_pa  | 1.54K  |

|                                    |        |        |
|------------------------------------|--------|--------|
| Danaher                            | DHR_pb | 1.29K  |
| Danaos                             | DAC    | 20.65  |
| Daqo New Energy ADR                | DQ     | 58.11  |
| Darden Restaurants                 | DRI    | 119.24 |
| Darling Ingredients                | DAR    | 58.27  |
| Datto                              | MSP    | 26.83  |
| DaVita                             | DVA    | 116.43 |
| Daxor                              | DXR    | 12.69  |
| DCP Midstream                      | DCP    | 18.35  |
| DCP Midstream LP                   | DCP_pc | 21.82  |
| DCP Midstream Pref B               | DCP_pb | 21.79  |
| Deckers Outdoor                    | DECK   | 287.43 |
| Deere&Company                      | DE     | 268.32 |
| Delaware Colorado                  | VCF    | 14.23  |
| Delaware Enhanced Global Dividend  | DEX    | 9.68   |
| Delaware Enhanced Global Dividend  | DEX    | 9.68   |
| Delaware Florida                   | VFL    | 13.07  |
| Delaware Invests Div Income Closed | DDF    | 9.21   |
| Delaware Minnesota II              | VMM    | 13.12  |
| Delek Logistics Partners LP        | DKL    | 31.94  |
| Delek US Energy                    | DK     | 16.25  |
| Dell Tech                          | DELL   | 72.84  |
| Delta Air Lines                    | DAL    | 40.43  |
| Delta Apparel                      | DLA    | 20.32  |
| Deluxe                             | DLX    | 29.31  |
| Denbury Resources                  | DEN    | 25.61  |
| Denison Mines                      | DNN    | 0.68   |
| Designer Brands                    | DBI    | 7.64   |
| Desktop Metal                      | DM     | 17.44  |
| Despegar.com                       | DESP   | 12.73  |
| Deutsche Bank AG                   | DB     | 10.86  |
| Deutsche Municipal Income Trust    | KTF    | 11.57  |
| Deutsche Strategic Muniome Trust   | KSM    | 11.37  |
| Devon Energy                       | DVN    | 15.74  |
| DHI Group                          | DHX    | 2.26   |
| DHT Holdings Inc                   | DHT    | 5.22   |
| Diageo ADR                         | DEO    | 158.16 |
| Diamond S Shipping                 | DSSI   | 6.71   |
| Diamondrock Hospitality            | DRH    | 8.23   |
| Diamondrock Hospitality Co         | DRH_pa | 26.75  |
| Diana Shipping                     | DSX    | 1.89   |
| Diana Shipping Inc Pb Pref         | DSX_pb | 25     |
| Dick's Sporting Goods              | DKS    | 56.54  |
| Diebold                            | DBD    | 10.51  |
| Digital                            | DLR    | 137.36 |
| Digital Media Solutions            | DMS    | 11.93  |

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|-------------------------------------|--------|--------|
| Digital Realty Pref                 | DLR_pj | 26.24  |
| Digital Realty Trust                | DLR_pl | 27.42  |
| Digital Realty Trust                | DLR_pc | 25.63  |
| Digital Realty Trust                | DLR_pk | 28.16  |
| Digital Realty Trust Inc Pg Pref    | DLR_pg | 25.04  |
| Dillard's                           | DDS    | 62.65  |
| Dine Brands Global                  | DIN    | 58.87  |
| Discover                            | DFS    | 90.15  |
| Dividend & Income Fund              | DNI    | 9.79   |
| Dmy Tech                            | DMYD_u | 18.24  |
| dMY Technology Group                | DMYT   | 22.76  |
| dMY Technology Group                | DMYT_u | 26.18  |
| dMY Technology Group II             | DMYD   | 16.94  |
| DNP Select Income Closed Fund       | DNP    | 10.25  |
| Document Security                   | DSS    | 6.24   |
| Dolby Labs                          | DLB    | 97.37  |
| Dollar General                      | DG     | 208.63 |
| Dominion Energy                     | D      | 74.34  |
| Dominion Energy                     | DCUE   | 99.1   |
| Dominion Energy Pref A              | DRUA   | 25.99  |
| Domino's Pizza Inc                  | DPZ    | 381.94 |
| Domtar Corporation                  | UFS    | 31.6   |
| Donaldson                           | DCI    | 56.09  |
| Donnelley Financial Solutions       | DFIN   | 17.17  |
| DoorDash                            | DASH   | 140    |
| Dorian LPG Ltd                      | LPG    | 12.14  |
| DoubleLine Income Solutions Fund    | DSL    | 16.58  |
| Douglas Dynamics                    | PLOW   | 42.64  |
| Douglas Emmett                      | DEI    | 28.87  |
| Dover                               | DOV    | 125.3  |
| Dover Motorsports                   | DVD    | 2.21   |
| Dow                                 | DOW    | 56.05  |
| DPW                                 | DPW    | 4.45   |
| DR Horton                           | DHI    | 69.17  |
| Dr Reddys Labs                      | RDY    | 70.7   |
| Dragoneer                           | DGNR_u | 13.89  |
| Dragoneer Growth Opportunities      | DGNR   | 13.03  |
| DRDGOLD ADR                         | DRD    | 11.41  |
| Dreyfus High Yield Strateg Closed   | DHF    | 2.96   |
| Dreyfus Muni Bond Infrastr Closed   | DMB    | 14     |
| Dreyfus Municipalome                | DMF    | 8.81   |
| Dreyfus Strategic Muni Bond Closed  | DSM    | 7.81   |
| Dreyfus Strategic Municipals Closed | LEO    | 8.44   |
| Dril-Quip                           | DRQ    | 29.94  |
| Drive Shack                         | DS     | 2.48   |
| Drive Shack B                       | DS_pb  | 19.25  |
| Drive Shack C                       | DS_pc  | 18.48  |

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|-------------------------------------|---------|--------|
| Drive Shack D                       | DS_pd   | 18.2   |
| DTE Energy                          | DTE     | 119.37 |
| DTE Energy Co                       | DTY     | 26.63  |
| DTE Energy Co                       | DTW     | 27.26  |
| DTE Energy Co                       | DTJ     | 25.81  |
| DTE Energy Co                       | DTP     | 47.43  |
| DTE Energy Co                       | DTQ     | 25.17  |
| DTE Energy Co                       | DTQ     | 25.17  |
| DTF Tax Free Income Closed Fund     | DTF     | 14.93  |
| Ducommun                            | DCO     | 54.37  |
| Duff and Phelps Global Utili Closed | DPG     | 12.11  |
| Duff Phelps Select Energy MLP Close | DSE     | 4.83   |
| Duff Phelps Utility Corporate Close | DUC     | 10.01  |
| Duke                                | DRE     | 39.34  |
| Duke Energy                         | DUK     | 90.66  |
| Duke Energy                         | DUKB    | 28.76  |
| Duke Energy                         | DUKB    | 28.76  |
| Duke Energy Debenture               | DUKH    | 26.88  |
| Dun And Bradstreet                  | DNB     | 25     |
| Dunxin Financial                    | DXF     | 1.37   |
| DuPont                              | DD      | 71.1   |
| DXC Technology                      | DXC     | 25.11  |
| Dycom Industries                    | DY      | 75.42  |
| Dynagas LNG                         | DLNG    | 2.45   |
| Dynagas LNG Partners LP             | DLNG_pb | 18.55  |
| Dynagas LNG Partners LP Unit        | DLNG_pa | 22.54  |
| Dynatrace Holdings                  | DT      | 43.44  |
| Dynex Capital                       | DX      | 17.65  |
| Dynex Capital                       | DX_pc   | 24.45  |
| Dynex Capital Inc Pb Pref           | DX_pb   | 25.15  |
| E I du Pont de Nemours Pa Pref      | CTA_pa  | 88.45  |
| E I du Pont de Nemours Pb Pref      | CTA_pb  | 113.49 |
| Eagle                               | GRF     | 7.96   |
| Eagle Materials                     | EXP     | 101.97 |
| Eagle Point Cred                    | ECC     | 10.07  |
| Eagle Point Cred                    | ECC     | 10.07  |
| Eagle Point Credit                  | ECCB    | 25.29  |
| Eagle Point Credit                  | ECCB    | 25.29  |
| Eagle Point Credit Company          | ECCY    | 25.12  |
| Eagle Point Credit Company          | ECCX    | 24.91  |
| Earthstone Energy                   | ESTE    | 5.33   |
| Easterly Government Properties      | DEA     | 22.46  |
| EastGroup Properties                | EGP     | 136.74 |
| Eastman Chemical                    | EMN     | 100.67 |
| Eastman Kodak                       | KODK    | 8.31   |
| Eaton                               | ETN     | 119.68 |
| Eaton Vance                         | EV      | 67.76  |

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|-------------------------------------|--------|--------|
| Eaton Vance Enhanced Equi II Closed | EOS    | 21.6   |
| Eaton Vance Enhanced Equityo Closed | EOI    | 15.97  |
| Eaton Vance Floating Ra Plus Closed | EFF    | 15.56  |
| Eaton Vance Floating Rateome Closed | EFT    | 13.23  |
| Eaton Vance Municipal Income        | EVN    | 13.41  |
| Eaton Vance Muniome Term Closed     | ETX    | 22.79  |
| Eaton Vance National Muni Opport    | EOT    | 20.59  |
| Eaton Vance Risk Managed Diversifie | ETJ    | 10.32  |
| Eaton Vance Senior Floating Closed  | EFR    | 12.76  |
| Eaton Vance Senior Income Closed    | EVF    | 6.36   |
| Eaton Vance Short Duration Diversif | EVG    | 12.36  |
| Eaton Vance Short Duration Diversif | EVG    | 12.36  |
| Eaton Vance Tax Advantaged Bond Opt | EXD    | 9.92   |
| Eaton Vance Tax Advantaged Div      | EVT    | 23.64  |
| Eaton Vance Tax Advantaged Glb Div  | ETG    | 18.14  |
| Eaton Vance Tax Advantaged Glbl Div | ETO    | 25.17  |
| Eaton Vance Tax Manag Glb Buy Write | ETW    | 9.68   |
| Eaton Vance Tax Managed Buy Closed  | ETB    | 14.98  |
| Eaton Vance Tax Managed Buy Write   | ETV    | 15.3   |
| Eaton Vance Tax Managed Diversified | ETY    | 12.19  |
| Eaton Vance TaxManaged Global Diver | EXG    | 8.79   |
| Ecolab                              | ECL    | 216.01 |
| Ecopetrol ADR                       | EC     | 12.85  |
| Edenor ADR                          | EDN    | 4.28   |
| Edgewell Personal Care              | EPC    | 34.88  |
| Edison                              | EIX    | 61.99  |
| Edwards Lifesciences                | EW     | 90.32  |
| EL Investment Company LLC Pref      | ELU    | 25.08  |
| El Paso Energy Capital Trust I      | EP_pc  | 51.24  |
| Elanco Animal Health                | ELAT   | 48.05  |
| Elanco Animal Health                | ELAN   | 30.39  |
| Elastic                             | ESTC   | 144.94 |
| Eldorado Gold                       | EGO    | 13.26  |
| Electromed                          | ELMD   | 9.87   |
| Element Solutions                   | ESI    | 17.84  |
| Elevate Credit                      | ELVT   | 4      |
| ELF Beauty                          | ELF    | 25.32  |
| Eli Lilly                           | LLY    | 167.2  |
| Ellington Financial                 | EFC    | 14.94  |
| Ellington Financial                 | EFC_pa | 22.87  |
| Ellington Residential Mortgage      | EARN   | 12.89  |
| Ellomay Capital                     | ELLO   | 33.74  |
| Ellsworth Convertible Growth        | ECF    | 13.83  |
| Ellsworth Growth Pref A             | ECF_pa | 26.1   |
| eMagin                              | EMAN   | 1.64   |
| Embotelladora Andina                | AKOa   | 13.25  |
| Embraer ADR                         | ERJ    | 6.75   |



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|---|--------|-------|
| EMCOR   | EME    | 91.83 |
| Emerald Expositions                               | EEX    | 5.36  |
| Emergent Biosolutions                             | EBS    | 89.58 |
| Emerson   | EMR    | 79.96 |
| Emerson Radio                                     | MSN    | 1     |
| Empire State Realty                               | ESRT   | 9.21  |
| Empire State Realty OP LP                         | OGCP   | 9.5   |
| Empire State Realty OP LP 250                     | FISK   | 9.1   |
| Empire State Realty OP LP ES                      | ESBA   | 9.1   |
| Employers   | EIG    | 32.07 |
| Empower   | EMPW   | 10.05 |
| Empower   | EMPW_u | 10.41 |
| EMX Royalty                                       | EMX    | 3.25  |
| Enable Midstream Partners LP                      | ENBL   | 5.26  |
| Enbridge  | ENB    | 32.1  |
| Enbridge 6.375 Fixed to Floating Rate Subordinate | ENBA   | 26.63 |
| Encompass Health                                  | EHC    | 82.37 |
| Endava  | DAVA   | 76.19 |
| Endeavour Silver                                  | EXK    | 5.03  |
| Enel Americas ADR                                 | ENIA   | 8.13  |
| Enel Chile SA                                     | ENIC   | 3.85  |
| Energetica Minas Gerais Cemig C                   | CIGc   | 3.15  |
| Energizer   | ENR    | 42.24 |
| Energizer Holdings                                | ENR_pa | 90.55 |
| Energy Fuels Inc                                  | UUUU   | 4.35  |
| Energy Transfer                                   | ET     | 6.12  |
| Energy Transfer Operating LP                      | ETP_pe | 22.28 |
| Energy Transfer Operating LP                      | ETP_pc | 20.79 |
| Energy Transfer Pref D                            | ETP_pd | 21.45 |
| Energy Transfer Pref D                            | ETP_pd | 21.45 |
| Enerpac Tool Group                                | EPAC   | 22.55 |
| Enerplus  | ERF    | 3.15  |
| Enersys   | ENS    | 83.41 |
| ENI ADR   | E      | 20.65 |
| EnLink Midstream LLC                              | ENLC   | 3.71  |
| Ennis   | EBF    | 17.74 |
| Enova International Inc                           | ENVA   | 24.77 |
| Enpro Industries                                  | NPO    | 75.79 |
| Enservco Co                                       | ENSV   | 1.9   |
| Entercom  | ETM    | 2.5   |
| Entergy   | ETR    | 98.72 |
| Entergy Arkansas                                  | EAI    | 26.37 |
| Entergy Arkansas Deb 2052                         | EAB    | 25.14 |
| Entergy Arkansas Deb 2063                         | EAE    | 25.13 |
| Entergy Louisiana LLC                             | ELJ    | 25.27 |
| Entergy Louisiana Pref                            | ELC    | 26.82 |
| Entergy Mississippi LLC                           | EMP    | 27    |

|                                 |        |        |
|---------------------------------|--------|--------|
| Entergy New Orleans Inc Pref    | ENJ    | 25.47  |
| Entergy New Orleans Inc Pref    | ENJ    | 25.47  |
| Entergy New Orleans Pref        | ENO    | 25.7   |
| Entergy Texas                   | ETI_p  | 27.32  |
| Entergy Texas Inc Pref          | EZT    | 25.22  |
| Enterprise Products Partners LP | EPD    | 19.43  |
| Entravision                     | EVC    | 2.79   |
| Envela Corp                     | ELA    | 5.22   |
| Envestnet                       | ENV    | 81.29  |
| Envista Holdings                | NVST   | 33.53  |
| Enviva Partners LP              | EVA    | 45.49  |
| Enzo Biochem                    | ENZ    | 2.55   |
| EOG Resources                   | EOG    | 49.65  |
| EPAM Systems                    | EPAM   | 359.58 |
| EPR Properties                  | EPR    | 32.57  |
| EPR Properties                  | EPR_pg | 22.86  |
| EPR Properties                  | EPR_pg | 22.86  |
| EPR Properties Pc Pref          | EPR_pc | 23.08  |
| EPR Properties Pe Pref          | EPR_pe | 31.36  |
| EQT                             | EQT    | 12.69  |
| Equifax                         | EFX    | 190.67 |
| Equinor ADR                     | EQNR   | 16.47  |
| Equinox Gold                    | EQX    | 10.31  |
| Equitrans Midstream             | ETRN   | 7.73   |
| Equity Commonwealth             | EQC    | 27.03  |
| Equity Commonwealth Pd Pref     | EQC_pd | 29.86  |
| Equity Distribution Acquisition | EQD    | 10.31  |
| Equity Distribution Acquisition | EQD_u  | 10.79  |
| Equity Lifestyle                | ELS    | 62.46  |
| Equity Residential              | EQR    | 58.52  |
| Equus Total Return Closed Fund  | EQS    | 2.18   |
| Eros STX Global                 | ESGC   | 1.82   |
| ESCO Technologies               | ESE    | 103.15 |
| Espey Mfg&Electronics           | ESP    | 18.88  |
| Essent Group Ltd                | ESNT   | 43.05  |
| Essential Properties            | EPRT   | 20.92  |
| Essential Utilities             | WTRU   | 61.07  |
| Essex Property                  | ESS    | 234.31 |
| Estee Lauder                    | EL     | 264.93 |
| Ethan Allen Interiors           | ETH    | 20.19  |
| ETV California MBF              | EVM    | 11.73  |
| ETV California MIT              | CEV    | 13.67  |
| ETV Limited Duration            | EVV    | 12.49  |
| ETV MBF                         | EIM    | 13.54  |
| ETV New York MBF                | ENX    | 12.21  |
| ETV New York MIT                | EVY    | 13.99  |
| Euronav                         | EURN   | 7.95   |

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|-----------------------------------|----------|--------|
| European Equity Closed Fund       | EEA      | 10.54  |
| Evans Bancorp Inc                 | EVBN     | 27.45  |
| Eventbrite A                      | EB       | 18.27  |
| Evercore                          | EVR      | 110.4  |
| Everest                           | RE       | 231.24 |
| Evergy                            | EVRG     | 55.26  |
| Everi Holdings                    | EVRI     | 13.95  |
| Eversource Energy                 | ES       | 85.4   |
| Evertec Inc                       | EVTC     | 39.55  |
| EVI Industries                    | EVI      | 32.41  |
| Evolent Health Inc                | EVH      | 16.06  |
| Evolution Petroleum               | EPM      | 2.94   |
| Evoqua Water                      | AQUA     | 26.93  |
| Exantas Capital                   | XAN      | 3.98   |
| Exantas Capital Pref C            | XAN_pc   | 21.61  |
| Excellon Resources Inc.           | EXN      | 3.04   |
| Executive Network                 | ENPC_u   | 26.02  |
| Executive Network Partnering      | ENPC     | 25.26  |
| Express                           | EXPR     | 0.93   |
| Exterran Corp                     | EXTN     | 4.29   |
| Extra Space Storage               | EXR      | 114.21 |
| Exxon Mobil                       | XOM      | 41.09  |
| F.N.B. Corp ADR                   | FNB_pe   | 28.1   |
| Fabrinet                          | FN       | 76.69  |
| Facebank Group                    | FUBO_old | 12.2   |
| FactSet Research                  | FDS      | 330.42 |
| Fair Isaac                        | FICO     | 506.43 |
| Fang Holdings                     | SFUN     | 13.28  |
| Far Point Acquisition             | FPAC_u   | 10.38  |
| Far Point Acquisition             | FPAC_u   | 10.38  |
| Farfetch A                        | FTCH     | 63.39  |
| Farmland Partners                 | FPI      | 8.6    |
| Farmland Partners Pref            | FPI_pb   | 25.65  |
| FAST Acquisition                  | FST_u    | 11.17  |
| Fastly                            | FSLY     | 86.77  |
| FB Financial                      | FBK      | 35     |
| FBL                               | FFG      | 52.97  |
| Federal Agricult Mortgage Pa Pref | AGM_pa   | 25.24  |
| Federal Agricult Mortgage Pc Pref | AGM_pc   | 27.08  |
| Federal Agricultural Mortgage     | AGM      | 74.69  |
| Federal Agricultural Mortgage     | AGM_pe   | 26.86  |
| Federal Agricultural Mortgage     | AGM_pd   | 26.98  |
| Federal Agricultural Mortgage     | AGM_pf   | 27.05  |
| Federal Agricultural Mortgage A   | AGMa     | 65.82  |
| Federal Realty                    | FRT      | 84.37  |
| Federal Realty ADR C              | FRT_pc   | 26.08  |
| Federal Signal                    | FSS      | 33.1   |

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|-------------------------------------|--------|--------|
| Federated Investors B               | FHI    | 28.63  |
| Federated Premier Muniome Closed    | FMN    | 14.82  |
| FedEx                               | FDX    | 260.29 |
| Ferrari NV                          | RACE   | 228.62 |
| Ferro                               | FOE    | 14.85  |
| Fg New America                      | FGNA_u | 10.67  |
| Fg New America                      | FGNA_u | 10.67  |
| Fiat                                | FCAU   | 18.07  |
| Fidelity Financial                  | FNF    | 38.96  |
| Fidelity National Info              | FIS    | 140.52 |
| Fiduciary Claymore MLP Oppor Closed | FMO    | 5.77   |
| FinVolution Group                   | FINV   | 2.61   |
| First American                      | FAF    | 51.5   |
| First Bancorp                       | FBP    | 9.24   |
| First Commonwealth Financial        | FCF    | 10.88  |
| First Eagle Alternative Capital BDC | FCRW   | 25.33  |
| First Horizon National              | FHN    | 12.85  |
| First Horizon National Corp ADR     | FHN_pa | 26.56  |
| First Industrial RT                 | FR     | 41.64  |
| First Majestic Silver               | AG     | 13.5   |
| First Republic Bank                 | FRC    | 145.85 |
| First Republic Bank ADR H           | FRC_ph | 26.5   |
| First Republic Bank Pf ADR          | FRC_pf | 25.1   |
| First Trust Dynamic Europe Equityom | FDEU   | 11.79  |
| First Trust Energy                  | FEN    | 10.59  |
| First Trust Energy Infrastr Closed  | FIF    | 10.35  |
| First Trust Enhanced Equityo Closed | FFA    | 17.52  |
| First Trust Highome Long Sho Closed | FSD    | 14.7   |
| First Trust Intermed Duration Pref  | FPF    | 24.01  |
| First Trust MLP & Income Closed     | FEI    | 5.86   |
| First Trust New Opp. MLP & Energy   | FPL    | 4.26   |
| First Trust Senior Floating Rate II | FCT    | 11.55  |
| First Trust Specialty Finance Fin   | FGB    | 3.13   |
| First Trust/Aberdeen Emerging Oppor | FEO    | 14.35  |
| First Trust/Aberdeen Global Opportu | FAM    | 10.54  |
| FirstEnergy                         | FE     | 30.17  |
| FirstMark Horizon Acquisition       | FMAC   | 10.58  |
| Fisker                              | FSR    | 14.9   |
| Fitbit Inc                          | FIT    | 6.79   |
| Five Point                          | FPH    | 5.5    |
| Fiverr International                | FVRR   | 194    |
| Flagstar Bancorp                    | FBC    | 40.94  |
| Flaherty & Crumrine Preferred Oppty | PFO    | 13.05  |
| Flaherty & Crumrine Preferredome    | PFD    | 19.1   |
| Flaherty & Crumrine Total Return    | FLC    | 23.7   |
| Flaherty and Crumrine Dynamic Pref  | DFP    | 29.05  |
| Flaherty Crumrine Preferred Secur   | FFC    | 23.47  |

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|--|---------|--------|
| Flanigans Enterprises Inc                          | BDL     | 19.85  |
| FleetCor   | FLT     | 269.42 |
| FLEX LNG   | FLNG    | 8.71   |
| Flexible Solutions                                 | FSI     | 2.44   |
| Floor & Decor                                      | FND     | 93.31  |
| Flotek   | FTK     | 2.07   |
| Flowers Foods                                      | FLO     | 22.49  |
| Flowserve  | FLS     | 36.75  |
| Fluor  | FLR     | 16.31  |
| Fly Leasing  | FLY     | 10.03  |
| Flying Eagle Acquisition                           | FEAC_u  | 19.88  |
| FMC  | FMC     | 114.69 |
| FNB  | FNB     | 9.5    |
| Foley Trasimene                                    | BFT     | 15.27  |
| Foley Trasimene                                    | WPF_u   | 12.05  |
| Foley Trasimene                                    | WPF_u   | 12.05  |
| Foley Trasimene Acquisition                        | WPF     | 11.17  |
| Fomento Economico Mexicano                         | FMX     | 75.22  |
| Foot Locker  | FL      | 40.77  |
| Ford Motor   | F       | 8.8    |
| Ford Motor Co                                      | F_pc    | 26.75  |
| Ford Motor Co                                      | F_pb    | 26.8   |
| Ford Motor Co                                      | F_pb    | 26.8   |
| Foreign Trade Bank of Latin America                | BLX     | 15.63  |
| Forest Road Acquisition                            | FRX_u   | 10.43  |
| Forestar   | FOR     | 20.39  |
| Fortis Inc   | FTS     | 40.92  |
| Fortive  | FTV     | 69.88  |
| Fortress Transport Infracore                       | FTAI    | 23.13  |
| Fortress Transportation and Infrastructure Investo | FTAI_pa | 22.5   |
| Fortress Transportation and Infrastructure Investo | FTAI_pb | 22.53  |
| Fortress Value Acquisition                         | FAII    | 10.75  |
| Fortress Value Acquisition                         | FVAC_u  | 15.61  |
| Fortuna Silver                                     | FSM     | 8.2    |
| Fortune Brands                                     | FBHS    | 85.77  |
| Forum Energy                                       | FET     | 11.7   |
| Foundation Building                                | FBM     | 19.22  |
| Four Corners Property Trust Inc                    | FCPT    | 29.65  |
| Four Seasons Education                             | FEDU    | 1.08   |
| Franco-Nevada                                      | FNV     | 125.9  |
| Franklin Covey                                     | FC      | 22.12  |
| Franklin Resources                                 | BEN     | 24.72  |
| Franklin Street Properties                         | FSP     | 4.28   |
| Franklin Templeton                                 | FTF     | 9.35   |
| Franklin Universal Closed Fund                     | FT      | 7.42   |
| Freeport-McMoran                                   | FCX     | 26.23  |
| Fresenius Medical Care ADR                         | FMS     | 41.32  |

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|--|--------|--------|
| Fresh Del Monte Produce                      | FDP    | 23.77  |
| Friedman Industries                          | FRD    | 6.87   |
| Front Yard Residential                       | RESI   | 16.2   |
| Frontline                                    | FRO    | 6.19   |
| FS KKR Capital                               | FSKR   | 16.26  |
| FS KKR Capital                               | FSK    | 16.47  |
| FTI Consulting                               | FCN    | 110.39 |
| FTS International                            | FTSI   | 18.05  |
| Fubotv                                       | FUBO   | 28.17  |
| Fury Gold Mines                              | FURY   | 1.42   |
| Fusion Acquisition                           | FUSE   | 11.27  |
| Fusion Acquisition                           | FUSE_u | 12.5   |
| Fusion Acquisition                           | FUSE_u | 12.5   |
| FutureFuel                                   | FF     | 12.69  |
| Gabelli                                      | GLU    | 18.36  |
| Gabelli Convertible Income S Mutual          | GCV    | 6.28   |
| Gabelli Dividend &                           | GDV_ph | 27.15  |
| Gabelli Dividend & Income Closed             | GDV    | 21.39  |
| Gabelli Dividend &ome Trust Pa Pref          | GDV_pa | 25.34  |
| Gabelli Dividend Pref                        | GDV_pg | 25.88  |
| Gabelli Equity Trust                         | GAB_pk | 27.17  |
| Gabelli Equity Trust                         | GAB_pj | 26.09  |
| Gabelli Equity Trust Closed Fund             | GAB    | 6.26   |
| Gabelli Equity Trust Inc Pg Pref             | GAB_pg | 25.73  |
| Gabelli Equity Trust Inc Ph Pref             | GAB_ph | 25.9   |
| Gabelli Global Small and Mid Cap Value Trust | GGZ_pa | 26.24  |
| Gabelli Global Small Mid Cap Value           | GGZ    | 12.98  |
| Gabelli Global Utility                       | GLU_pb | 0      |
| Gabelli Global Utility Income Pref           | GLU_pa | 46.2   |
| Gabelli Go Anywhere Pref A                   | GGO_pa | 40.9   |
| Gabelli Go Anywhere Pref A                   | GGO_pa | 40.9   |
| Gabelli Healthcare WellnessRx Pb Pr          | GRX_pb | 25.37  |
| Gabelli Healthcare WellnessRx Trust          | GRX    | 11.86  |
| Gabelli MultiMedia Mutual Fund               | GGT    | 7.94   |
| Gabelli Multimedia Pref E                    | GGT_pe | 25.95  |
| Gabelli Multimedia Trust                     | GGT_pg | 26.45  |
| Gabelli Utility Closed Fund                  | GUT    | 8.1    |
| Gabelli Utility Trust                        | GUT_pc | 26.02  |
| Gabelli Utility Trust Pref                   | GUT_pa | 26.75  |
| Galiano Gold                                 | GAU    | 1.14   |
| Galileo Acq                                  | GLEO_u | 10.9   |
| Galileo Acquisition                          | GLEO   | 10.2   |
| GAMCO  | GGN    | 3.5    |
| Gamco  | GBL    | 17.88  |
| GAMCO Global Gold Natural Reso Pref          | GGN_pb | 25.52  |
| Gamco Natural Resources Gold &               | GNT_pa | 26.16  |
| GAMCO Natural Resources Gold Closed          | GNT    | 5.12   |

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|------------------------------------|---------|--------|
| GameStop Corp                      | GME     | 19.72  |
| Gannett                            | GCI     | 3.46   |
| Gap                                | GPS     | 20.41  |
| GAP ADR                            | PAC     | 110.84 |
| Gartner                            | IT      | 158.52 |
| GasLog                             | GLOG    | 3.65   |
| GasLog Ltd Pref                    | GLOG_pa | 23.79  |
| GasLog Partners LP                 | GLOP    | 2.77   |
| GasLog Partners Pref A             | GLOP_pa | 16     |
| GasLog Partners Pref B             | GLOP_pb | 14.75  |
| GasLog Partners Pref C             | GLOP_pc | 14.98  |
| Gates Industrial Corp              | GTES    | 12.76  |
| Gatos Silver                       | GATO    | 12.72  |
| GATX                               | GATX    | 83.85  |
| GATX                               | GMTA    | 26.12  |
| GCP Applied Tech                   | GCP     | 23.67  |
| GDL Closed Fund                    | GDL     | 8.71   |
| GDL Fund                           | GDL_pc  | 51     |
| GDL Fund                           | GDL_pc  | 51     |
| Genco Shipping Trading             | GNK     | 7.35   |
| Generac                            | GNRC    | 229.29 |
| General American Investors Closed  | GAM     | 37.08  |
| General American Investors Co Pref | GAM_pb  | 27.51  |
| General Dynamics                   | GD      | 148.04 |
| General Electric                   | GE      | 10.71  |
| General Employment Enterprises     | JOB     | 1      |
| General Mills                      | GIS     | 58.26  |
| General Moly                       | GMO     | 0.11   |
| General Motors                     | GM      | 41.48  |
| Genesco                            | GCO     | 29.92  |
| Genesis Energy LP                  | GEL     | 6.3    |
| Genesis Healthcare Inc             | GEN     | 0.5    |
| Genesis Park                       | GNPK_u  | 10.47  |
| Genie Energy                       | GNE     | 7.11   |
| Genie Energy Ltd Pref              | GNE_pa  | 8.78   |
| Genpact                            | G       | 41.28  |
| Genuine Parts                      | GPC     | 99.29  |
| Genworth                           | GNW     | 4      |
| Geo                                | GEO     | 8.76   |
| GeoPark Ltd                        | GPRK    | 12.74  |
| Georgia Power                      | GPJA    | 28.54  |
| Gerdau ADR                         | GGB     | 4.7    |
| Getty                              | GTY     | 27.36  |
| Gfl Environmental                  | GFL     | 28.97  |
| GFL Environmental                  | GFLU    | 69.71  |
| GigCapital2                        | GIX     | 10.82  |
| GigCapital2                        | GIX_u   | 13.5   |

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|-----------------------------------|---------|--------|
| Gigcapital3                       | GIK     | 13.43  |
| Gigcapital3                       | GIK_u   | 16.25  |
| Gildan Activewear                 | GIL     | 28.02  |
| Glatfelter                        | GLT     | 16.35  |
| Glaukos Corp                      | GKOS    | 74.98  |
| GlaxoSmithKline ADR               | GSK     | 36.56  |
| Global Blue Group Holding         | GB      | 13.19  |
| Global Medical                    | GMRE    | 12.9   |
| Global Medical REIT Pref A        | GMRE_pa | 26.63  |
| Global Net Lease                  | GNL_pb  | 25.45  |
| Global Net Lease                  | GNL     | 17.02  |
| Global Net Lease Pref A           | GNL_pa  | 26.03  |
| Global Partners                   | GLP     | 16.61  |
| Global Partners A                 | GLP_pa  | 25.35  |
| Global Payments                   | GPN     | 212.26 |
| Global Ship Lease                 | GSL     | 11.82  |
| Global Ship Lease Inc ADR         | GSL_pb  | 24.85  |
| Globalstar Inc                    | GSAT    | 0.34   |
| Globant SA                        | GLOB    | 217.89 |
| Globe Life                        | GL      | 94.5   |
| Globe Life                        | GL_pc   | 27.14  |
| Globus Medical                    | GMED    | 65.19  |
| Glowpoint                         | OBLG    | 5.5    |
| GMAC Capital Trust I Pref         | ALLY_pa | 26.87  |
| GMS Inc                           | GMS     | 30.8   |
| Go Acq                            | GOAC_u  | 10.65  |
| GO Acquisition                    | GOAC    | 10.18  |
| Godaddy Inc                       | GDDY    | 82.63  |
| Gol Linhas Aereas ADR             | GOL     | 9.77   |
| Gold Fields ADR                   | GFI     | 9.18   |
| Gold Resource                     | GORO    | 2.92   |
| Gold Standard                     | GSV     | 0.71   |
| Golden Falcon                     | GFX_u   | 10.38  |
| Golden Falcon                     | GFX_u   | 10.38  |
| Golden Minerals                   | AUMN    | 0.75   |
| Golden Star                       | GSS     | 3.66   |
| Goldfield                         | GV      | 7      |
| Goldman Sachs                     | GS      | 261.94 |
| Goldman Sachs BDC Closed End Fund | GSBD    | 19.07  |
| Goldman Sachs Group Inc Pa ADR    | GS_pa   | 24.35  |
| Goldman Sachs Group Inc Pc ADR    | GS_pc   | 25.07  |
| Goldman Sachs Group Inc Pd ADR    | GS_pd   | 25.15  |
| Goldman Sachs Group Inc Pj ADR    | GS_pj   | 27.33  |
| Goldman Sachs Group Inc Pk ADR    | GS_pk   | 29.15  |
| Goldman Sachs MLP                 | GMZ     | 8.09   |
| Goldman Sachs MLP & Energy        | GER     | 7.61   |
| GoldMining                        | GLDG    | 2.22   |



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|---|--------|--------|
| Goodrich Petroleum                            | GDP    | 10     |
| Gorman-Rupp                                   | GRC    | 32.45  |
| GP Strategies                                 | GPX    | 11.98  |
| Graco   | GGG    | 72.28  |
| Graf Industrial                               | GRAF_u | 32.05  |
| GrafTech                                      | EAF    | 10.57  |
| Graham  | GHM    | 15.16  |
| Graham Holdings                               | GHC    | 534.65 |
| Gran Tierra                                   | GTE    | 0.35   |
| Granite Construction                          | GVA    | 27.11  |
| Granite Point Mortgage                        | GPMT   | 9.95   |
| Granite REIT                                  | GRP_u  | 61.05  |
| Graphic Packaging                             | GPK    | 16.96  |
| Gray Television                               | GTN    | 17.86  |
| Gray Television A                             | GTNa   | 17.2   |
| Great Ajax Corp                               | AJX    | 10.46  |
| Great Panther Silver                          | GPL    | 0.85   |
| Great Western Bancorp Inc                     | GWB    | 20.81  |
| Green Dot                                     | GDOT   | 53.91  |
| Greenbrier                                    | GBX    | 36.67  |
| Greenhill&Co                                  | GHL    | 11.81  |
| GreenTree Hospitality                         | GHG    | 13.39  |
| Greif Bros                                    | GEF    | 46.79  |
| Greif Bros B                                  | GEFb   | 49.1   |
| Griffon                                       | GFF    | 20.47  |
| Group 1 Automotive                            | GPI    | 131.39 |
| Grubhub Inc                                   | GRUB   | 73.93  |
| Grupo Aeroportuario Sureste ADR               | ASR    | 161.43 |
| Grupo Aval                                    | AVAL   | 6.85   |
| Grupo Simec ADR                               | SIM    | 12.2   |
| Grupo Supervielle                             | SUPV   | 2.15   |
| Grupo Televisa ADR                            | TV     | 8.25   |
| GS Acquisition Holdings                       | GSAH   | 11     |
| GS Acquisition Holdings                       | GSAH_u | 11.6   |
| GS Capital I Securities-Backed Series 2004-6  | JBK    | 29.49  |
| GS Capital I Securities-Backed Series 2004-6  | JBK    | 29.49  |
| GSX Techedu A                                 | GSX    | 51.99  |
| GTT Com                                       | GTT    | 3.54   |
| Guangshen Railway                             | GSH    | 9.19   |
| Guess   | GES    | 22.61  |
| Guggenheim Credit Allocation Closed           | GGM    | 19.74  |
| Guggenheim Enhanced Equityom Closed           | GPM    | 6.49   |
| Guggenheim Strategic Opport Closed            | GOF    | 19.3   |
| Guggenheim Taxable Municipal Managed Duration | GBAB   | 25.26  |
| Guidewire                                     | GWRE   | 128.64 |
| Guild   | GHLD   | 17.45  |
| H B Fuller                                    | FUL    | 52.6   |

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|--|-------|--------|
| H&R Block  | HRB   | 15.6   |
| Haemonetics  | HAE   | 118.71 |
| Halcon Resources                                   | BATL  | 8      |
| Halliburton  | HAL   | 18.94  |
| Hamilton Beach A                                   | HBB   | 17.52  |
| Hanesbrands  | HBI   | 14.61  |
| Hanger   | HNGR  | 21.95  |
| Hannon Armstrong                                   | HASI  | 63.6   |
| Hanover Insurance Group                            | THGA  | 25.36  |
| Hanover Insurance Group                            | THGA  | 25.36  |
| Harbor Custom                                      | HCDI  | 4.56   |
| Harley-Davidson                                    | HOG   | 36.92  |
| Harmony Gold Mining                                | HMY   | 4.63   |
| Harsco   | HSC   | 17.69  |
| Hartford   | HIG   | 48.39  |
| Hartford Financial Services Group Fixed To Floatin | HGH   | 27.49  |
| Haverty Furniture                                  | HVT   | 28.1   |
| Haverty Furniture A                                | HVTa  | 29     |
| Hawaiian Electric Industries                       | HE    | 35.08  |
| HC2 Holdings Inc                                   | HCHC  | 3.25   |
| HCA  | HCA   | 163.52 |
| HCI Group Inc                                      | HCI   | 51.81  |
| HDFC Bank ADR                                      | HDB   | 71.83  |
| Healthcare of America                              | HTA   | 27.07  |
| Healthcare RT                                      | HR    | 29.21  |
| Healthpeak Properties                              | PEAK  | 29.75  |
| Hecla Mining                                       | HL    | 6.47   |
| Hecla Mining Co Pref                               | HL_pb | 58.6   |
| Heico  | HEI   | 132.84 |
| Heico A  | HEIa  | 117.21 |
| Helix  | HLX   | 4.29   |
| Helmerich Payne                                    | HP    | 23.48  |
| Herbalife  | HLF   | 47.74  |
| Herc Holdings                                      | HRI   | 67.03  |
| Hercules Capital                                   | HTGC  | 14.39  |
| Hercules Capital                                   | HCXZ  | 25.48  |
| Hercules Capital                                   | HCTX  | 26.8   |
| Heritage Insurance                                 | HRTG  | 10.3   |
| Hersha   | HT    | 7.78   |
| Hersha Hospitality Pref E                          | HT_pe | 18.77  |
| Hersha Hospitality Trust Pc Pref                   | HT_pc | 19.44  |
| Hershey  | HSY   | 150.1  |
| Hess   | HES   | 52.57  |
| Hess Midstream Partners                            | HESM  | 19     |
| Hewlett Packard                                    | HPE   | 11.82  |
| Hexcel   | HXL   | 48.5   |
| Hexo   | HEXO  | 3.71   |

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|----------------------------------|---------|--------|
| Highland                         | HFRO_pa | 26.16  |
| Highland Floating                | HFRO    | 10.28  |
| Highland Transcend               | HTPA_u  | 10.56  |
| HighPoint                        | HPR     | 9      |
| Highwoods Properties             | HIW     | 39.41  |
| Hill Intl                        | HIL     | 1.92   |
| Hillenbrand                      | HI      | 39.59  |
| Hillman Group Capital Trust Pref | HLM_p   | 29.67  |
| Hill-Rom                         | HRC     | 97.44  |
| Hilltop                          | HTH     | 27.56  |
| Hilton Grand Vacations           | HGV     | 31.38  |
| Hilton Worldwide                 | HLT     | 111.03 |
| HMG Courtland Properties         | HMG     | 11.28  |
| HNI                              | HNI     | 34.76  |
| Hoegh LNG Partners LP            | HMLP    | 14.29  |
| Hoegh LNG Pref A                 | HMLP_pa | 26.2   |
| Holly Energy Partners LP         | HEP     | 13.93  |
| HollyFrontier                    | HFC     | 25.8   |
| Home Depot                       | HD      | 264.79 |
| Honda Motor ADR                  | HMC     | 28.17  |
| Honeywell                        | HON     | 211.63 |
| Horace Mann Educators            | HMN     | 42.03  |
| Horizon Acq                      | HZON_u  | 10.84  |
| Horizon Acquisition              | HZAC_u  | 10.56  |
| Horizon Global Corp              | HZN     | 8.55   |
| Horizon Technology Finance       | HTFA    | 25.49  |
| Hormel Foods                     | HRL     | 46.04  |
| Houlihan Lokey Inc               | HLI     | 67.39  |
| Houston American Energy          | HUSA    | 1.73   |
| Hovnanian Enterprises            | HOV     | 32.45  |
| Howard Hughes                    | HHC     | 79.06  |
| Howmet                           | HWM     | 28.62  |
| Howmet Aerospace Pref            | HWM_p   | 78     |
| HP Inc                           | HPQ     | 24.48  |
| Hpx                              | HPX_u   | 10.51  |
| HPX                              | HPX     | 10.1   |
| HSBC ADR                         | HSBC    | 25.77  |
| HSBC Holdings PLC ADR            | HSBC_pa | 25.12  |
| Huami                            | HMI     | 11.93  |
| Huaneng Power                    | HNP     | 14.4   |
| Hubbell                          | HUBB    | 156.56 |
| HubSpot Inc                      | HUBS    | 397.93 |
| HudBay Minerals                  | HBM     | 7.12   |
| Hudson                           | HUD     | 7.69   |
| Hudson Pacific                   | HPP     | 23.75  |
| Humana                           | HUM     | 406.76 |
| Huntington Ingalls Industries    | HII     | 169.01 |

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|----------------------------------|---------|--------|
| Huntsman                         | HUN     | 25.36  |
| HUYA                             | HUYA    | 19.58  |
| Hyatt                            | H       | 73.89  |
| Hylete                           | HYLT    | 0.02   |
| Hyllion Holdings                 | HYLN    | 16.23  |
| Hyllion Holdings W               | HYLN_t  | 4.42   |
| Hyster-Yale Materials Handling   | HY      | 59.62  |
| IAA                              | IAA     | 65.03  |
| IAMGold                          | IAG     | 3.67   |
| Ibio                             | IBIO    | 1.06   |
| IBM                              | IBM     | 124.64 |
| ICE                              | ICE     | 113.84 |
| ICICI Bank ADR                   | IBN     | 14.77  |
| ICL Israel Chemicals             | ICL     | 5.11   |
| IDACORP                          | IDA     | 95     |
| IDEX                             | IEX     | 198.98 |
| IDT                              | IDT     | 12.27  |
| IFF                              | IFF     | 108.66 |
| IGT                              | IGT     | 17.01  |
| IHS Markit Ltd                   | INFO    | 89.27  |
| Ihuman                           | IH      | 17.65  |
| Illinois Tool Works              | ITW     | 202.33 |
| Imax                             | IMAX    | 18.35  |
| Impac Mortgage                   | IMH     | 3.09   |
| Imperial Oil                     | IMO     | 19.24  |
| Income Opportunity Realty        | IOR     | 11.8   |
| Independence                     | IHC     | 41.04  |
| Independence Contract Drilling   | ICD     | 2.93   |
| Independence Realty Trust Inc    | IRT     | 13.3   |
| India Closed Fund                | IFN     | 19.88  |
| India Globalization              | IGC     | 1.61   |
| Indonesia Energy                 | INDO    | 6.68   |
| Industrias Bachoco ADR           | IBA     | 44.27  |
| Infosys ADR                      | INFY    | 16.91  |
| InfuSystems                      | INFU    | 18.43  |
| ING ADR                          | ING     | 9.44   |
| Ingersoll Rand                   | IR      | 45.17  |
| Ingevity                         | NGVT    | 76.91  |
| Ingredion                        | INGR    | 77.74  |
| Innovative Industrial Properties | IIPR_pa | 35.42  |
| Innovative Industrial Properties | IIPR    | 182.38 |
| InnSuites Hospitality            | IHT     | 2.17   |
| Insight Select Income            | INSI    | 21.31  |
| Insperity                        | NSP     | 80.77  |
| Inspire Medical Systems          | INSP    | 189.79 |
| InspireMD                        | NSPR    | 0.34   |
| Installed Building               | IBP     | 101.98 |

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|-------------------------------------|--------|--------|
| Integer Hld                         | ITGR   | 81.22  |
| Integra Resources                   | ITRG   | 3.83   |
| Intelligent Systems                 | INS    | 40.25  |
| InterContinental ADR                | IHG    | 64.7   |
| InterCorp Financial Services        | IFS    | 31.05  |
| International Flavors & Fragrances  | IFFT   | 40.58  |
| International NV                    | FI     | 2.79   |
| International Paper                 | IP     | 49.57  |
| International Seaways               | INSW   | 16.68  |
| International Tower Hill            | THM    | 1.38   |
| Interprivate Acq                    | IPV_u  | 16.91  |
| InterPrivate Acquisition            | IPV    | 14.54  |
| inTest                              | INTT   | 6.08   |
| Intrepid Potash                     | IPI    | 24.34  |
| Inuvo                               | INUV   | 0.45   |
| Invacare                            | IVC    | 8.85   |
| Invesco                             | IVZ    | 17.39  |
| Invesco Advantage II                | VKI    | 11.32  |
| Invesco California Value Muni Inc   | VCV    | 13.25  |
| Invesco Dynamic Credit Opportunit   | VTA    | 10.69  |
| Invesco High Income Trust II        | VLT    | 13.59  |
| Invesco Mortgage                    | IVR    | 3.39   |
| Invesco Mortgage Capital Pa Pref    | IVR_pa | 25.02  |
| Invesco Mortgage Capital Pb Pref    | IVR_pb | 24.11  |
| Invesco Mortgage Pref               | IVR_pc | 24.02  |
| Invesco Municipal Opportunity Trust | VMO    | 12.88  |
| Invesco Municipal Trust             | VKQ    | 12.81  |
| Invesco Muniome Opportunities Close | OIA    | 7.84   |
| Invesco Pennsylvania Muniome Trust  | VPV    | 12.76  |
| Invesco Quality Municipalome Closed | IQI    | 13.03  |
| Invesco Senior Income Trust         | VVR    | 3.94   |
| Invesco Trust For Inv Grade Munis   | VGM    | 13.2   |
| Invesco Trust For Inv Grade NY Muni | VTN    | 13     |
| Invesco Value Municipalome Closed   | IIM    | 15.64  |
| Invesco Van Kampen Bond Fund        | VBF    | 21.62  |
| Investindustrial Acquisition        | IIAC_u | 10.32  |
| Investors Real Estate               | CSR    | 70.02  |
| Investors Real Estate Pref C        | CSR_pc | 26     |
| InVitae Corp                        | NVTA   | 42.05  |
| Invitation Homes                    | INVH   | 29.33  |
| Ion Acquisition                     | IACA   | 11.92  |
| Ion Geophysical                     | IO     | 2.47   |
| IPG                                 | IPG    | 23.49  |
| Iqvia                               | IQV    | 177.15 |
| Iron Mountain                       | IRM    | 29.24  |
| IRSA ADR                            | IRS    | 4.51   |
| IsoRay                              | ISR    | 0.44   |

|                                     |         |        |
|-------------------------------------|---------|--------|
| Issuer Direct Corp                  | ISDR    | 18     |
| istar Inc                           | STAR    | 14.88  |
| istar Inc Pd Pref                   | STAR_pd | 25.52  |
| istar Inc Pg Pref                   | STAR_pg | 25.16  |
| istar Inc Pi Pref                   | STAR_pi | 25     |
| IT Tech Packaging                   | ITP     | 0.55   |
| Itau CorpBanca ADR                  | ITCB    | 4.87   |
| Itau Unibanco                       | ITUB    | 6.1    |
| ITT                                 | ITT     | 77.04  |
| Ivy High Income Opportunities Fund  | IVH     | 13.17  |
| J Alexanders Holdings Inc           | JAX     | 7.28   |
| J&J                                 | JNJ     | 156.01 |
| J.Jill                              | JILL    | 3.7    |
| Jabil Circuit                       | JBL     | 42.72  |
| Jacobs Engineering                  | J       | 108.6  |
| James Hardie Industries ADR         | JHX     | 29.49  |
| Janus Henderson                     | JHG     | 32.5   |
| Japan Smaller Capitalization Closed | JOF     | 9.04   |
| Jaws Acquisition                    | JWS     | 13.5   |
| Jaws Acquisition                    | JWS_u   | 14.32  |
| JBG SMITH Properties                | JBGS    | 31.11  |
| Jefferies Financial                 | JEF     | 24.37  |
| Jeld-Wen Holding                    | JELD    | 26.15  |
| Jernigan Capital                    | JCAP_pb | 25.02  |
| Jernigan Capital Inc                | JCAP    | 17.22  |
| Jianpu Tech                         | JT      | 2.94   |
| JinkoSolar                          | JKS     | 62.39  |
| JM Smucker                          | SJM     | 114.49 |
| JMP                                 | JMP     | 3.91   |
| John Bean Tech                      | JBT     | 114.52 |
| John Hancock Finan Opportunities    | BTO     | 30.67  |
| John Hancock Hedged Equity & Income | HEQ     | 11.46  |
| John Hancock Investors Closed Fund  | JHI     | 17.29  |
| John Hancock Preferredom III Closed | HPS     | 17.14  |
| John Hancock Preferredome Closed    | HPI     | 19.56  |
| John Hancock Preferredome II Closed | HPF     | 18.89  |
| John Hancock Premium Dividend Fund  | PDT     | 14.03  |
| John Hancock Tax Advantaged Dividen | HTD     | 20.93  |
| John Hancock Tax Advantaged Global  | HTY     | 5.55   |
| John Hancockome Securities Closed   | JHS     | 16.18  |
| John Wiley&Sons                     | JWa     | 46.09  |
| John Wiley&Sons B                   | JWb     | 45.41  |
| Johnson Controls                    | JCI     | 46.36  |
| Jones Lang LaSalle                  | JLL     | 148.44 |
| Jp Morgan Gg Pref                   | JPM_pj  | 27.68  |
| JPMorgan                            | JPM     | 125.93 |
| JPMorgan Chase & Co Pg ADR          | JPM_pg  | 25.7   |

|                                  |        |        |
|----------------------------------|--------|--------|
| JPMorgan Chase & Co Ph ADR       | JPM_ph | 25.91  |
| JPMorgan Chase Pref EE           | JPM_pc | 28.63  |
| Jumia Tech                       | JMIA   | 40.87  |
| Juniper                          | JNPR   | 22.27  |
| Juniper Industrial               | JIH    | 10.75  |
| Juniper Industrial Holdings      | JIH_u  | 11.6   |
| Jupai Holdings Ltd               | JP     | 1.89   |
| Just Energy                      | JE     | 4.74   |
| Just Energy Pref A               | JE_pa  | 3.02   |
| K12                              | LRN    | 21.04  |
| Kadant                           | KAI    | 138.21 |
| Kaleyra                          | KLR    | 9.65   |
| Kaman                            | KAMN   | 56.93  |
| Kansas City Southern             | KSU    | 204.26 |
| Kansas City Southern Pref        | KSU_p  | 35.01  |
| KAR Auction Services             | KAR    | 18.66  |
| Kayne Anderson Midstream/Energy  | KMF    | 5.76   |
| Kayne Anderson MLP Invest Closed | KYN    | 5.74   |
| KB Financial                     | KB     | 39.51  |
| KB Home                          | KBH    | 33.85  |
| KBR                              | KBR    | 31.03  |
| Ke Hldg                          | BEKE   | 62.14  |
| Kellogg                          | K      | 61.51  |
| Kelso Technologies Inc           | KIQ    | 0.55   |
| Kemper                           | KMPR   | 76.57  |
| Kennametal                       | KMT    | 36.3   |
| Kennedy-Wilson                   | KW     | 17.84  |
| Kenon Holdings                   | KEN    | 29.9   |
| Kensington Capital Acquisition   | KCAC_u | 26.18  |
| KeyCorp                          | KEY    | 16.34  |
| KeyCorp ADR                      | KEY_pi | 29.39  |
| Keysight Technologies            | KEYS   | 131.27 |
| Kilroy                           | KRC    | 56.81  |
| Kimbell Royalty                  | KRP    | 7.87   |
| Kimberly-Clark                   | KMB    | 133.68 |
| Kimco                            | KIM    | 14.82  |
| Kimco Realty ADR                 | KIM_pl | 26.46  |
| Kinder Morgan                    | KMI    | 13.57  |
| Kingsway Financial               | KFS    | 4.75   |
| Kingswood                        | KWAC_u | 10.38  |
| Kinross Gold                     | KGC    | 7.4    |
| Kirby                            | KEX    | 52.59  |
| Kirkland Lake Gold               | KL     | 41.47  |
| Kite Realty                      | KRG    | 14.79  |
| KKR & Co                         | KKR_pa | 26.72  |
| KKR & Co                         | KKR_pb | 27.27  |
| KKR & Co                         | KKR    | 40.29  |

|                                    |        |        |
|------------------------------------|--------|--------|
| KKR Co                             | KKR_pc | 59.5   |
| KKR Income Opportunities Fund      | KIO    | 14.43  |
| KKR Real Estate                    | KREF   | 17.86  |
| Knight Transportation              | KNX    | 41.85  |
| Knoll                              | KNL    | 14.88  |
| KNOT Offshore Partners LP          | KNOP   | 14.95  |
| Knowles Cor                        | KN     | 18.48  |
| Kohls Corp                         | KSS    | 40.99  |
| Koninklijke Philips ADR            | PHG    | 54.13  |
| Kontoor Brands                     | KTB    | 40.82  |
| Koppers                            | KOP    | 31.24  |
| Korea Closed Fund                  | KF     | 40.03  |
| Korea Electric Power               | KEP    | 12.24  |
| Korn Ferry                         | KFY    | 43.39  |
| Kosmos Energy                      | KOS    | 2.4    |
| Kraton                             | KRA    | 28.32  |
| Kroger                             | KR     | 31.53  |
| Kronos Worldwide                   | KRO    | 14.92  |
| KT                                 | KT     | 10.98  |
| L Brands                           | LB     | 37.53  |
| L F Acq                            | LNFA_u | 10.42  |
| L3Harris Technologies              | LHX    | 187.86 |
| Laboratory America                 | LH     | 201.28 |
| Ladder Capital A                   | LADR   | 9.71   |
| Laird Superfood                    | LSF    | 47.86  |
| LAIX                               | LAIX   | 1.48   |
| Lamb Weston Holdings               | LW     | 77.92  |
| Lannett                            | LCI    | 6.4    |
| Laredo Petroleum                   | LPI    | 19.45  |
| Las Vegas Sands                    | LVS    | 59.45  |
| Lazard                             | LAZ    | 42.28  |
| Lazard Global TR Income Closed     | LGI    | 17.77  |
| La-Z-Boy                           | LZB    | 39.99  |
| LCI Industries                     | LCII   | 131.94 |
| Leaf Group                         | LEAF   | 4.6    |
| Lear                               | LEA    | 159.79 |
| Lee Enterprises                    | LEE    | 1.33   |
| Legacy Acquisition                 | LGC_u  | 8.9    |
| Legg Mason BW Globalome Opportunit | BWG    | 12.48  |
| Leggett&Platt                      | LEG    | 44.19  |
| Leidos                             | LDOS   | 104.45 |
| Leju Holdings                      | LEJU   | 2.26   |
| Lemonade                           | LMND   | 124.3  |
| LendingClub Corp                   | LC     | 10.45  |
| Lennar                             | LEN    | 76.79  |
| Lennar B                           | LENb   | 61.54  |
| Lennox                             | LII    | 272.46 |



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|-------------------------------------|--------|--------|
| Levi Strauss A                      | LEVI   | 20.23  |
| Lexington                           | LXP    | 10.54  |
| Lexington Realty Trust Pref         | LXP_pc | 59.01  |
| LG Display                          | LPL    | 8.48   |
| LGL Group                           | LGL    | 12.68  |
| LGL Systems Acquisition             | DFNS   | 10.27  |
| LGL Systems Acquisition             | DFNS_u | 11.1   |
| Liberty All Star Equity Closed Fund | USA    | 6.86   |
| Liberty All Star Growth Closed Fund | ASG    | 8.15   |
| Liberty Oilfield                    | LBRT   | 10.3   |
| Life Storage                        | LSI    | 117.98 |
| LightInTheBox                       | LITB   | 2.5    |
| Lightspeed POS                      | LSPD   | 70.48  |
| Lightspeed POS                      | LSPD   | 70.48  |
| Lincoln National                    | LNC    | 50.09  |
| Linde PLC                           | LIN    | 262.05 |
| Lindsay                             | LNN    | 127.49 |
| Line ADR                            | LN     | 51.98  |
| Linx                                | LINX   | 7.05   |
| Linx                                | LINX   | 7.05   |
| Lions Gate                          | LGFa   | 11.3   |
| Lions Gate                          | LGfb   | 10.33  |
| Lithia Motors                       | LAD    | 294.65 |
| Lithium Americas                    | LAC    | 12.38  |
| Live Nation Entertainment           | LYV    | 73.74  |
| Live Oak                            | LOAK_u | 29.21  |
| Live Oak                            | LOKB_u | 10.9   |
| Live Oak Acquisition                | DNMR   | 24.3   |
| Livent                              | LTHM   | 19     |
| Liveramp                            | RAMP   | 72.78  |
| Lloyds Banking ADR                  | LYG    | 1.95   |
| LMP Capital And Income Closed Fund  | SCD    | 11.6   |
| Lockheed Martin                     | LMT    | 353.57 |
| Loews                               | L      | 44.74  |
| Loma Negra ADR                      | LOMA   | 6.18   |
| Longview Acq                        | LGVW_u | 21.75  |
| Longview Acquisition                | LGVW   | 19.5   |
| Louisiana-Pacific                   | LPX    | 37.32  |
| Lowe's                              | LOW    | 159.7  |
| LS Starrett                         | SCX    | 4.23   |
| Lsb Industries                      | LXU    | 3.04   |
| LTC Properties                      | LTC    | 38.34  |
| Lubys                               | LUB    | 2.85   |
| Lufax                               | LU     | 14.21  |
| Lumber Liquidators                  | LL     | 31.27  |
| Lument Finance Trust                | LFT    | 3.3    |
| Luxfer                              | LXFR   | 16.11  |

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|-------------------------------------|-------|--------|
| Lydall                              | LDL   | 30.03  |
| LyondellBasell Industries           | LYB   | 92.32  |
| M&T Bank                            | MTB   | 126.17 |
| M/I Homes                           | MHO   | 44.8   |
| Macerich                            | MAC   | 10.55  |
| Mack-Cali                           | CLI   | 12.4   |
| Macquarie First Tr Global Infr Util | MFD   | 8.4    |
| Macquarie Global Infrastructure TR  | MGU   | 20.29  |
| Macquarie Infrastructure Co         | MIC   | 37.54  |
| Macro Bank                          | BMA   | 15.75  |
| Macy's Inc                          | M     | 11.5   |
| Madison Covered Call Equity Strateg | MCN   | 6.77   |
| Madison Square Garden Entertainment | MSGE  | 102.78 |
| Madison Square Garden Sports        | MSGGS | 183.56 |
| MAG Silver                          | MAG   | 20.38  |
| Magellan                            | MMP   | 41.97  |
| Magna Intl                          | MGA   | 71.34  |
| MagnaChip                           | MX    | 13.67  |
| Magnolia Oil                        | MGY   | 7.18   |
| Maiden Holdings                     | MHLA  | 20.58  |
| Maiden Holdings                     | MH_pc | 10.5   |
| Maiden Holdings Ltd Pref            | MH_pa | 10.98  |
| Maiden Holdings North Americ ELKS 1 | MHNC  | 21.85  |
| Maiden Pref                         | MH_pd | 10.47  |
| Main Street Capital                 | MAIN  | 32.49  |
| MainStay DefinedTerm Muni Opportun  | MMD   | 21.73  |
| Manchester United                   | MANU  | 16.52  |
| Manitowoc                           | MTW   | 13.26  |
| Manning&Napier                      | MN    | 6.18   |
| ManpowerGroup                       | MAN   | 90.16  |
| Manulife                            | MFC   | 17.82  |
| Marathon Oil                        | MRO   | 6.67   |
| Marathon Petroleum                  | MPC   | 41.72  |
| Marcus                              | MCS   | 13.53  |
| Marcus & Millichap                  | MMI   | 37.25  |
| Marine Products                     | MPX   | 14.83  |
| MarineMax                           | HZO   | 34.94  |
| Markel                              | MKL   | 1.02K  |
| Marriot Vacations Worldwide         | VAC   | 138.19 |
| Marsh McLennan                      | MMC   | 115.75 |
| Martin Marietta Materials           | MLM   | 284.26 |
| Masco                               | MAS   | 54.57  |
| Masonite Int                        | DOOR  | 99.26  |
| MasTec                              | MTZ   | 68.66  |
| Mastech                             | MHH   | 16.2   |
| Mastercard                          | MA    | 355.4  |
| Matador                             | MTDR  | 12.05  |

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|--------------------------------|--------|--------|
| Materion                       | MTRN   | 63.66  |
| Matinas BioPharma              | MTNB   | 1.35   |
| Matson                         | MATX   | 57.67  |
| Maui Land&Pineapple            | MLP    | 11.57  |
| Maverix Metals                 | MMX    | 5.51   |
| Maxar Tech                     | MAXR   | 39.41  |
| Maximus                        | MMS    | 73.21  |
| MaxLinear                      | MXL    | 38.57  |
| Mayville Engineering           | MEC    | 13.58  |
| MBIA                           | MBI    | 6.5    |
| McCormick & Comp               | MKCv   | 95.02  |
| McCormick&Co                   | MKC    | 94.37  |
| McDonald's                     | MCD    | 212.87 |
| McEwen Mining Inc.             | MUX    | 0.98   |
| McKesson                       | MCK    | 172.35 |
| MDC                            | MDC    | 49     |
| MDU Resources                  | MDU    | 26.01  |
| Mechel ADR                     | MTL    | 2.04   |
| Mechel Pref ADR                | MTL_p  | 0.49   |
| Medallia                       | MDLA   | 33.08  |
| Mediaalpha                     | MAX    | 38.5   |
| Medical Properties             | MPW    | 21.58  |
| MEDIFAST                       | MED    | 194.59 |
| Medley                         | MDLX   | 6.73   |
| Medley Capital                 | MCC    | 27.65  |
| Medley Capital                 | MCX    | 25.06  |
| Medley ELKS                    | MDLQ   | 7.5    |
| Medley ELKS                    | MDLQ   | 7.5    |
| Medley Management Inc          | MDLY   | 8.17   |
| Mednax                         | MD     | 24.02  |
| Medtronic                      | MDT    | 116.3  |
| Megalith Financial A           | MFAC   | 13.92  |
| Megalith Financial Acquisition | MFAC_u | 17.39  |
| Merck&Co                       | MRK    | 80.73  |
| Mercury General                | MCY    | 52.07  |
| Meredith                       | MDP    | 18.94  |
| Meritage                       | MTH    | 84.13  |
| Meritor                        | MTOR   | 27.89  |
| Merrill Lynch Depositor Inc IC | IPB    | 29.84  |
| Mesa Royalty Trust             | MTR    | 4.06   |
| Mesabi Trust                   | MSB    | 28.36  |
| Metalla Royalty Streaming      | MTA    | 11.59  |
| Methode Electronics            | MEI    | 38.17  |
| MetLife                        | MET    | 46.82  |
| Metlife Inc Pref               | MET_pa | 25.5   |
| Metropolitan Bank              | MCB    | 36.5   |
| Mettler-Toledo                 | MTD    | 1.13K  |

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|-------------------------------------|--------|--------|
| Mexco Energy                        | MXC    | 5.98   |
| Mexico Closed Fund                  | MXF    | 13.69  |
| Mexico Equity and Income Closed     | MXE    | 9.85   |
| MFA Financial                       | MFA    | 3.85   |
| MFA Financial                       | MFA_pc | 21.07  |
| MFA Financial Inc Pb Pref           | MFA_pb | 24.14  |
| MFS Charter Income Trust            | MCR    | 8.7    |
| MFS Government Marketsome Closed    | MGF    | 4.57   |
| MFS High Income Municipal Closed    | CXE    | 5.02   |
| MFS High Yield Municipal Closed     | CMU    | 4.39   |
| MFS Intermediate High Income Closed | CIF    | 2.56   |
| MFS Intermediate Income Trust       | MIN    | 3.81   |
| MFS Investment Grade Muni Trust     | CXH    | 9.8    |
| MFS Multimarket Income Trust        | MMT    | 6.23   |
| MFS Municipal Income Trust          | MFM    | 6.63   |
| MFS Special Value Trust             | MFV    | 5.76   |
| MGIC Investment                     | MTG    | 12.43  |
| MGM                                 | MGM    | 31.78  |
| MGM Growth Properties               | MGP    | 31.4   |
| Micro Focus ADR                     | MFGP   | 5.7    |
| Mid-America Apartment               | MAA    | 124.53 |
| Mid-America Apartment Pref I        | MAA_pi | 66.3   |
| Milestone Scientific                | MLSS   | 2.07   |
| Miller Industries                   | MLR    | 37.89  |
| Miller/Howard High Income Equity    | HIE    | 7.72   |
| Miller/Howard High Income Equity    | HIE    | 7.72   |
| Minerals Technologies               | MTX    | 62.76  |
| Miniso                              | MNSO   | 26.31  |
| Mistras                             | MG     | 7.64   |
| Mitsubishi UFJ Financial ADR        | MUFG   | 4.42   |
| Mix Telemats                        | MIXT   | 12.5   |
| Mizuho Financial ADR                | MFG    | 2.56   |
| Mobil'nye Telesistemy ADR           | MBT    | 8.96   |
| Model N Inc                         | MODN   | 35.37  |
| Modine Manufacturing                | MOD    | 12.5   |
| Moelis & Co                         | MC     | 46.63  |
| MOGU                                | MOGU   | 2.19   |
| Mohawk Industries                   | MHK    | 140.55 |
| Molina Healthcare                   | MOH    | 209.63 |
| Molson Coors Brewing A              | TAPa   | 62.7   |
| Molson Coors Brewing B              | TAP    | 45.04  |
| Monmouth RE                         | MNR    | 17.23  |
| Monmouth Real Estate Pref C         | MNR_pc | 25.07  |
| Montage Resources                   | MR     | 5.36   |
| Montrose                            | MEG    | 29.8   |
| Moodys                              | MCO    | 288.18 |
| Moog                                | MOGa   | 79.68  |

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|--|--------|--------|
| Moog B                                       | MOGb   | 79.87  |
| Morgan Stanley                               | MS     | 68.21  |
| Morgan Stanley China A Share Closed          | CAF    | 22.16  |
| Morgan Stanley EM Debt Closed                | MSD    | 9.21   |
| Morgan Stanley Emerging Markets Dom          | EDD    | 6.29   |
| Morgan Stanley India Invest Closed           | IIF    | 21.2   |
| Morgan Stanley Pa ADR                        | MS_pa  | 24.85  |
| Morgan Stanley Pe ADR                        | MS_pe  | 29.42  |
| Morgan Stanley Pf ADR                        | MS_pf  | 28.59  |
| Morgan Stanley Pi ADR                        | MS_pi  | 28.68  |
| Morgan Stanley Pref K                        | MS_pk  | 28.97  |
| Mosaic                                       | MOS    | 23.25  |
| Motorola                                     | MSI    | 168.34 |
| Movado                                       | MOV    | 16.77  |
| Mp Materials                                 | MP     | 31.65  |
| MPLX LP                                      | MPLX   | 21.58  |
| MRC Global                                   | MRC    | 6.64   |
| MSA Safety                                   | MSA    | 148.72 |
| MSC Industrial Direct                        | MSM    | 84.51  |
| MSCI   | MSCI   | 442.88 |
| MSG Networks Inc                             | MSGN   | 14.49  |
| Mueller Industries                           | MLI    | 35.2   |
| Mueller Water Products                       | MWA    | 12.35  |
| MultiPlan                                    | MPLN   | 8.07   |
| MuniVest                                     | MVF    | 9.21   |
| MuniYield Arizona                            | MZA    | 14.95  |
| Murphy Oil                                   | MUR    | 12.15  |
| Murphy USA Inc                               | MUSA   | 130.05 |
| MV Oil Trust                                 | MVO    | 3.06   |
| MVC Capital                                  | MVC    | 9.12   |
| Myers Industries                             | MYE    | 20.84  |
| Myomo  | MYO    | 6.45   |
| Myovant Sciences                             | MYOV   | 28.1   |
| Nabors Industries                            | NBR    | 58.6   |
| NACCO Industries                             | NC     | 26.47  |
| Nam Tai Property                             | NTP    | 5.75   |
| NanoViricides Inc                            | NNVC   | 2.92   |
| National Bank Holdings                       | NBHC   | 32.72  |
| National Fuel Gas                            | NFG    | 40.79  |
| National Grid ADR                            | NGG    | 58.72  |
| National Health Investors                    | NHI    | 68.94  |
| National HealthCare                          | NHC    | 66.95  |
| National Oilwell Varco                       | NOV    | 13.74  |
| National Presto Industries                   | NPK    | 86.8   |
| National Retail Properties                   | NNN    | 40.67  |
| National Retail Properties ADR               | NNN_pf | 25.88  |
| National Rural Utilities Cooperative Finance | NRUC   | 29.05  |

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|-------------------------------------|--------|-------|
| National Steel                      | SID    | 5.99  |
| National Storage Affiliates Trust   | NSA    | 35.58 |
| National Storage Affiliates Trust   | NSA_pa | 26.22 |
| Natura & Co                         | NTCO   | 19.8  |
| Natural Gas Services                | NGS    | 9.59  |
| Natural Grocers Vitamin             | NGVC   | 13.83 |
| Natural Resource LP                 | NRP    | 13.69 |
| Natuzzi                             | NTZ    | 12.77 |
| Natwest Group                       | NWG    | 4.52  |
| Nautilus                            | NLS    | 18.05 |
| Navidea Biopharma                   | NAVB   | 2.08  |
| Navigator Holdings                  | NVGS   | 11.18 |
| Navios Maritime                     | NNA    | 3.09  |
| Navios Maritime Holdings            | NM     | 2.19  |
| Navios Maritime Holdings Inc Pg ADR | NM_pg  | 4.7   |
| Navios Maritime Holdings Inc Ph ADR | NM_ph  | 4.86  |
| Navios Maritime Unit                | NMM    | 10.24 |
| Navistar                            | NAV    | 44    |
| Navistar International Corp Pref    | NAV_pd | 16.4  |
| NavSight Holdings,                  | NSH_u  | 10.55 |
| NavSight Holdings,                  | NSH_u  | 10.55 |
| NCR                                 | NCR    | 37.6  |
| Neenah Paper                        | NP     | 55.4  |
| Nelnet                              | NNI    | 69.97 |
| NeoPhotonics                        | NPTN   | 9.09  |
| Nesco Holdings                      | NSCO   | 7.3   |
| Netstreet                           | NTST   | 18.91 |
| Network-1 Technologies Inc          | NTIP   | 3.5   |
| Neuberger Berman                    | NBH    | 15.12 |
| Neuberger Berman California         | NBW    | 13.58 |
| Neuberger Berman Hi-Yield           | NHS    | 11.67 |
| Neuberger Berman New York           | NBO    | 12.37 |
| Neuberger Berman RE                 | NRO    | 4.4   |
| Nevro Corp                          | NVRO   | 173.3 |
| New America High Income Closed Fund | HYB    | 8.73  |
| New Beginnings Acquisition          | NBA    | 10.05 |
| New Concept Energy                  | GBR    | 2     |
| New England Realty                  | NEN    | 50.51 |
| New Frontier A                      | NFH    | 8.74  |
| New Germany Closed Fund             | GF     | 19.03 |
| New Gold                            | NGD    | 2.19  |
| New Home Co                         | NWHM   | 4.95  |
| New Ireland Closed Fund             | IRL    | 10.31 |
| New Oriental Education&Tech         | EDU    | 180.2 |
| New Relic Inc                       | NEWR   | 65.14 |
| New Residential Inv                 | NRZ    | 9.95  |
| New Residential Investment          | NRZ_pc | 21.25 |

|                                    |         |        |
|------------------------------------|---------|--------|
| New Residential Pref               | NRZ_pb  | 23.5   |
| New Residential Pref A             | NRZ_pa  | 24.57  |
| New Senior Investment Group        | SNR     | 5.17   |
| New York City REIT                 | NYC     | 8.18   |
| New York Community Capital Trust V | NYCB_pu | 45.82  |
| NewJersey Resources                | NJR     | 35.12  |
| NewMarket                          | NEU     | 396.55 |
| Newmont Goldcorp                   | NEM     | 59.77  |
| Newpark Resources                  | NR      | 1.89   |
| NewYork Community Bancorp          | NYCB    | 10.57  |
| NewYork Times                      | NYT     | 51.87  |
| Nexa Resources                     | NEXA    | 9.77   |
| NexGen Energy                      | NXE     | 2.76   |
| Nexpoint                           | NREF    | 16.33  |
| Nexpoint Real Estate Finance       | NREF_pa | 25.75  |
| Nexpoint Residential Trust Inc     | NXRT    | 41.58  |
| NextEra Energy                     | NEE     | 75.98  |
| Nextera Energy                     | NEE_po  | 58.37  |
| NextEra Energy Capital Holdings    | NEE_pn  | 28.54  |
| NextEra Energy Capital Holdings    | NEE_pi  | 25.24  |
| NextEra Energy Deb J               | NEE_pj  | 25.03  |
| NextEra Energy Deb J               | NEE_pj  | 25.03  |
| NextEra Energy Deb K               | NEE_pk  | 26.32  |
| NextEra Energy Deb K               | NEE_pk  | 26.32  |
| NextEra Energy Inc Unit            | NEE_pq  | 50.55  |
| Nextera Energy Partners LP         | NEP     | 66     |
| Nextera Energy Pref P              | NEE_pp  | 50.14  |
| Nextera Energy Pref P              | NEE_pp  | 50.14  |
| Nextier Oilfield Solutions         | NEX     | 3.41   |
| Ngl Energy                         | NGL_pc  | 12.3   |
| NGL Energy Partners LP             | NGL     | 2.4    |
| NGL Energy Pref Class B            | NGL_pb  | 11.55  |
| Niagara Mohawk Power Corp Pb Pref  | NMK_pb  | 102.36 |
| Niagara Mohawk Power Corp Pc Pref  | NMK_pc  | 105    |
| Nielsen                            | NLSN    | 20.61  |
| Nike                               | NKE     | 141.14 |
| Nine Energy                        | NINE    | 2.72   |
| Nio A ADR                          | NIO     | 48.94  |
| NiSource                           | NI      | 22.57  |
| NL Industries                      | NL      | 4.72   |
| Noah                               | NOAH    | 47.49  |
| Nokia ADR                          | NOK     | 3.91   |
| Nomad Foods                        | NOMD    | 25.16  |
| Nomura ADR                         | NMR     | 5.33   |
| Norbord                            | OSB     | 43.16  |
| Nordic American Offshore           | PSV     | 0.43   |
| Nordic American Tankers            | NAT     | 2.96   |

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|---|--------|--------|
| Nordstrom                                   | JWN    | 31.7   |
| Norfolk Southern                            | NSC    | 237.04 |
| North American Construction                 | NOA    | 9.74   |
| North European Oil Royalty Trust            | NRT    | 2.92   |
| Northern Dynasty Minerals                   | NAK    | 0.32   |
| Northern Genesis                            | NGA    | 17.44  |
| Northern Genesis                            | NGA_u  | 20.44  |
| Northern Genesis                            | NGA_u  | 20.44  |
| Northern Oil&Gas                            | NOG    | 8.67   |
| Northern Star                               | STIC_u | 16.5   |
| Northern Star Acquisition                   | STIC   | 15.15  |
| Northrop Grumman                            | NOC    | 301.79 |
| Northwest Natural Gas                       | NWN    | 45.1   |
| Norwegian Cruise Line                       | NCLH   | 25.56  |
| NovaBay Pharmaceuticals                     | NBY    | 0.7    |
| Novagold                                    | NG     | 9.75   |
| Novartis ADR                                | NVS    | 93.55  |
| Novo Nordisk ADR                            | NVO    | 69.52  |
| Now Inc                                     | DNOW   | 7.15   |
| NRG   | NRG    | 37.03  |
| NTN Buzztime                                | NTN    | 2.27   |
| Nu Skin                                     | NUS    | 54.25  |
| Nucor                                       | NUE    | 52.97  |
| Nustar                                      | NS     | 14.4   |
| NuStar Energy Pref A                        | NS_pa  | 20.23  |
| NuStar Energy Pref B                        | NS_pb  | 18.05  |
| NuStar Energy Pref C                        | NS_pc  | 21.02  |
| NuStar Energy Pref C                        | NS_pc  | 21.02  |
| Nutrien                                     | NTR    | 48.34  |
| Nuveen AMT Free Muni Credit                 | NVG    | 16.83  |
| Nuveen AMT Free Municipal Value             | NUW    | 16.44  |
| Nuveen AMT-Free Municipal                   | NEA    | 15.06  |
| Nuveen Arizona Qlty Muni                    | NAZ    | 16     |
| Nuveen Build America Bond Closed            | NBB    | 23.83  |
| Nuveen CA AMT Free Qlty Muni                | NKX    | 15.75  |
| Nuveen CA MVF 2                             | NCB    | 15.4   |
| Nuveen California Div Advantag Muni         | NAC    | 15.13  |
| Nuveen California Municipal Value           | NCA    | 10.83  |
| Nuveen California Select Tax Closed         | NXC    | 16.07  |
| Nuveen Core Equity Alpha Closed             | JCE    | 14.04  |
| Nuveen Credit Strategiesome Closed          | JQC    | 6.3    |
| Nuveen Diversified Dividend Closed          | JDD    | 8.69   |
| Nuveen Dividend Advantage Municipal         | NAD    | 15.34  |
| Nuveen Dow 30Sm                             | DIAX   | 15.14  |
| Nuveen Dynamic Municipal Opportunities Fund | NDMO   | 15.7   |
| Nuveen Enhanced Muni Value Closed           | NEV    | 16.43  |
| Nuveen Floating Rate Income Closed          | JFR    | 8.76   |



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|--|------|-------|
| Nuveen Floating Rateome Oppo Closed        | JRO  | 8.68  |
| Nuveen Georgia Qlty Muni                   | NKG  | 12.92 |
| Nuveen Global Highome Closed End           | JGH  | 15.44 |
| Nuveen High Income 2020 Target Term        | JHY  | 9.52  |
| Nuveen Intermediate Durat Muni Term        | NID  | 13.81 |
| Nuveen Intermediate Duration Qualit        | NIQ  | 14.73 |
| Nuveen MA Qlty Muni                        | NMT  | 14.65 |
| Nuveen Maryland Qlty Muni                  | NMY  | 14.12 |
| Nuveen Michigan Qlty Muni                  | NUM  | 14.75 |
| Nuveen Minnesota Qlty Muni                 | NMS  | 14.73 |
| Nuveen Minnesota Qlty Muni                 | NMS  | 14.73 |
| Nuveen Missouri Qlty Muni                  | NOM  | 13.95 |
| Nuveen Mortgage Opportunity Closed         | JLS  | 19.63 |
| Nuveen Multi Mrkt Income Fund              | JMM  | 7.16  |
| Nuveen Muni Credit                         | NZF  | 16.09 |
| Nuveen Municipal                           | NMCO | 12.95 |
| Nuveen Municipal High IOF                  | NMZ  | 14.4  |
| Nuveen Municipal Income Fund Inc           | NMI  | 11.26 |
| Nuveen Municipal Value Fund Inc            | NUV  | 11.13 |
| Nuveen New York Municipal Value Inc        | NNY  | 9.98  |
| Nuveen New York Select Tax Freeome         | NXN  | 14.21 |
| Nuveen NJ MVF                              | NJV  | 14    |
| Nuveen NJ Qlty Muni                        | NXJ  | 14.33 |
| Nuveen NY AMT Free Qlty Muni               | NRK  | 13.51 |
| Nuveen NY MVF 2                            | NYV  | 14.9  |
| Nuveen NY Qlty Muni                        | NAN  | 14.15 |
| Nuveen Ohio Qlty Muni                      | NUO  | 15.64 |
| Nuveen PA MVF                              | NPN  | 14.27 |
| Nuveen PA Qlty Muni                        | NQP  | 14.47 |
| Nuveen Preferred and Income 2022 Term Fund | JPT  | 24.4  |
| Nuveen Preferred Income Term Closed        | JPI  | 24.49 |
| Nuveen Preferredome Opportun Closed        | JPC  | 9.47  |
| Nuveen Prf Securites                       | JPS  | 9.7   |
| Nuveen Real Assetome Growth Closed         | JRI  | 13.55 |
| Nuveen Real Estate                         | JRS  | 8.33  |
| Nuveen S&P 500 Buy Write Income            | BXMX | 12.86 |
| Nuveen S&P 500 Dynamic Overwrite           | SPXX | 15.1  |
| Nuveen Select Maturities Municipal         | NIM  | 10.8  |
| Nuveen Select Tax Freeome Portfol 2        | NXQ  | 15.74 |
| Nuveen Select Tax Freeome Portfol 3        | NXR  | 17.51 |
| Nuveen Select Tax Freeome Portfolio        | NXP  | 17.75 |
| Nuveen Senior Income Fund                  | NSL  | 5.22  |
| Nuveen Short Duration Credit Opport        | JSD  | 13.26 |
| Nuveen Short Duration Credit Opport        | JSD  | 13.26 |
| Nuveen Tax Advantaged Div Gr Closed        | JTD  | 14.13 |
| Nuveen Tax Advantaged TR Str Closed        | JTA  | 9.42  |
| Nuveen Virginia Qlty Muni                  | NPV  | 16.09 |

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|--------------------------|--------|-------|
| Nuverra Environmental    | NES    | 2.11  |
| nVent Electric           | NVT    | 23.26 |
| NVR                      | NVR    | 4.08K |
| Oak Street Health        | OSH    | 60.82 |
| Oaktree Acquisition      | OAC    | 14.33 |
| Oaktree Acquisition      | OAC_u  | 15.48 |
| Oaktree Acquisition II   | OACB_u | 10.71 |
| Oaktree Capital          | OAK_pa | 27.55 |
| Oaktree Capital          | OAK_pb | 27.76 |
| Occidental               | OXY    | 17.51 |
| Oceaneering              | OII    | 8.02  |
| Ocwen                    | OCN    | 28.87 |
| OFG Bancorp              | OFG    | 18.37 |
| OFG Bancorp Pa Pref      | OFG_pa | 25.97 |
| OFG Bancorp Pb Pref      | OFG_pb | 25.5  |
| OFG Bancorp Pd Pref      | OFG_pd | 26.11 |
| OGE Energy               | OGE    | 31.6  |
| Oi ADR                   | OIBRc  | 2.04  |
| Oil States               | OIS    | 5.07  |
| Oil-Dri Of America       | ODC    | 33.85 |
| Old Republic             | ORI    | 19.54 |
| Olin                     | OLN    | 25.08 |
| Omega Healthcare         | OHI    | 36.35 |
| Omnichannel              | OCA_u  | 10.48 |
| Omnicom                  | OMC    | 62.1  |
| On Deck Capital Inc      | ONDK   | 1.83  |
| OncoCyte                 | OCX    | 2.4   |
| one                      | AONE_u | 11.38 |
| One Gas Inc              | OGS    | 75.68 |
| One Liberty              | OLP    | 19.64 |
| Oneconnect Fin           | OCFT   | 20.27 |
| OneMain Holdings         | OMF    | 48.44 |
| ONEOK                    | OKE    | 37.91 |
| OneSmart                 | ONE    | 3.72  |
| Onto Innovation          | ONTO   | 47.53 |
| Ooma Inc                 | OOMA   | 14.36 |
| Oppenheimer              | OPY    | 31.41 |
| Oracle                   | ORCL   | 64.2  |
| Oragenics                | OGEN   | 0.47  |
| Orange ADR               | ORAN   | 11.95 |
| Orchid Isla              | ORC    | 5.22  |
| Orion Engineered Carbons | OEC    | 17.35 |
| Orion Group              | ORN    | 5.03  |
| Orix                     | IX     | 77.17 |
| Orla Mining              | ORLA   | 5.4   |
| Ormat                    | ORA    | 89.55 |
| Oshkosh                  | OSK    | 86.1  |

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|-------------------------------------|--------|--------|
| Osisko Gold Ro                      | OR     | 12.67  |
| Osprey Tech                         | SFTW_u | 11.41  |
| Osprey Technology Acquisition       | SFTW   | 10.26  |
| Otis Worldwide                      | OTIS   | 67.01  |
| Outfront Media                      | OUT    | 19.36  |
| Overseas Shipholding                | OSG    | 2.17   |
| Ovintiv                             | OVV    | 14.42  |
| Owens Corning                       | OC     | 76.21  |
| Owens&Minor                         | OMI    | 27.08  |
| Owens-Illinois                      | OI     | 12     |
| Owl Rock Capital                    | ORCC   | 12.49  |
| Oxford Industries                   | OXM    | 66.79  |
| Pacific Gas and Electric Co Pa Pref | PCG_pa | 30.16  |
| Pacific Gas and Electric Co Pb Pref | PCG_pb | 27.76  |
| Pacific Gas and Electric Co Pc Pref | PCG_pc | 25.88  |
| Pacific Gas and Electric Co Pd Pref | PCG_pd | 25.27  |
| Pacific Gas and Electric Co Pe Pref | PCG_pe | 26     |
| Pacific Gas and Electric Co Pg Pref | PCG_pg | 24.55  |
| Pacific Gas and Electric Co Ph Pref | PCG_ph | 22.78  |
| Pacific Gas and Electric Co Pi Pref | PCG_pi | 23.68  |
| Pacific Gas&Electric                | PCG    | 12.46  |
| Packaging America                   | PKG    | 136.98 |
| Pagerduty                           | PD     | 41.67  |
| PagSeguro Digital                   | PAGS   | 56.95  |
| Palantir                            | PLTR   | 23.87  |
| Palatin                             | PTN    | 0.69   |
| Palo Alto Networks                  | PANW   | 355.13 |
| Pampa Energia ADR                   | PAM    | 13.8   |
| Panacea Acq                         | PANA_u | 12.9   |
| Panacea Acquisition                 | PANA   | 11.81  |
| Par Pacific Holdings                | PARR   | 14.09  |
| PAR Technology                      | PAR    | 63.03  |
| Paramount Gold Nevada               | PZG    | 1.18   |
| Paramount Group Inc                 | PGRE   | 8.9    |
| Park Electrochemical                | PKE    | 13.31  |
| Park Hotels & Resorts               | PK     | 17.34  |
| Park National                       | PRK    | 105.02 |
| Parker-Hannifin                     | PH     | 270.92 |
| Parsley Energy                      | PE     | 14.12  |
| Parsons                             | PSN    | 36.08  |
| PartnerRe G                         | PRE_pg | 25.62  |
| PartnerRe H                         | PRE_ph | 25.65  |
| PartnerRe Ltd Pf Pref               | PRE_pf | 25.27  |
| PartnerRe Pref I                    | PRE_pi | 25.56  |
| Parts Id                            | ID     | 5.75   |
| Party City Holdco Inc               | PRTY   | 6.13   |
| Paycom Soft                         | PAYC   | 447.46 |

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|--------------------------------------|--------|--------|
| PBF Energy                           | PBF    | 7.11   |
| PBF Logistics LP                     | PBFX   | 9.18   |
| Pcm Fund                             | PCM    | 10.74  |
| Peabody Energy                       | BTU    | 2.44   |
| Pearson ADR                          | PSO    | 9.22   |
| Pebblebrook Hotel                    | PEB    | 18.86  |
| Pebblebrook Hotel Pref D             | PEB_pd | 24.34  |
| Pebblebrook Hotel Trust              | PEB_pf | 24.07  |
| Pebblebrook Hotel Trust              | PEB_pf | 24.07  |
| Pebblebrook Hotel Trust              | PEB_pe | 24.37  |
| Pebblebrook Hotel Trust Pc Pref      | PEB_pc | 24.51  |
| PEDEVCO                              | PED    | 1.4    |
| Pembina Pipeline                     | PBA    | 23.76  |
| Pennsylvania RE                      | PEI    | 1      |
| Pennsylvania RE Pref D               | PEI_pd | 9.61   |
| Pennsylvania REIT Pref C             | PEI_pc | 10.07  |
| Pennsylvania REIT Pref Series B      | PEI_pb | 9.7    |
| PennyMac Financial                   | PFSI   | 64.9   |
| PennyMac Mortgage                    | PMT    | 17.56  |
| PennyMac Mortgage A                  | PMT_pa | 25.01  |
| PennyMac Mortgage Pref B             | PMT_pb | 24.98  |
| Penske Automotive                    | PAG    | 58.99  |
| Pentair                              | PNR    | 52.78  |
| Penumbra Inc                         | PEN    | 175.04 |
| Performance Food Group Co            | PFGC   | 47.73  |
| Peridot Acquisition                  | PDAC   | 10.36  |
| Peridot Acquisition                  | PDAC_u | 11.19  |
| PerkinElmer                          | PKI    | 142.37 |
| Permian Basin Royalty Trust          | PBT    | 3.4    |
| Permianville Royalty                 | PVL    | 0.73   |
| PermRock Royalty                     | PRT    | 2.8    |
| Perrigo                              | PRGO   | 44.22  |
| Pershing Square                      | PSTH_u | 22.1   |
| Pershing Square Tontine Holdings     | PSTH   | 27.64  |
| Pershing Square Tontine Holdings     | PSTH_t | 9.65   |
| Perspecta                            | PRSP   | 23.95  |
| PetroChina ADR                       | PTR    | 30.55  |
| Petroleo Brasileiro ADR Reptg 2 Pref | PBRa   | 11.02  |
| Petroleo Brasileiro Petrobras ADR    | PBR    | 11.2   |
| Pfenex Inc                           | PFNX   | 12.75  |
| Pfizer                               | PFE    | 36.35  |
| PG&E                                 | PCGU   | 121.37 |
| PGT Innovations                      | PGTI   | 20.46  |
| Philip Morris                        | PM     | 81.63  |
| Phillips 66                          | PSX    | 70.12  |
| Phillips 66 Partners LP              | PSXP   | 26.72  |
| Phoenix New Media                    | FENG   | 1.18   |

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|--------------------------------------|--------|--------|
| Phoenix Tree                         | DNK    | 3.02   |
| Phreesia                             | PHR    | 54.15  |
| PHX Minerals                         | PHX    | 2.31   |
| Physicians Realty Trust              | DOC    | 17.68  |
| Piedmont Office                      | PDM    | 16.05  |
| PIMCO California Municipal Income    | PCQ    | 17.87  |
| PIMCO California Municipalome II     | PCK    | 9.05   |
| PIMCO California Municipalome III    | PZC    | 10.32  |
| PIMCO Corporate & Income Opportunity | PTY    | 17.84  |
| PIMCO Dynamic Credit                 | PCI    | 20.96  |
| PIMCO Dynamic Income                 | PDI    | 26.34  |
| PIMCO Energy & Tactical Credit Opps  | NRGX   | 8.06   |
| PIMCO Global StocksPLUS & Income     | PGP    | 10.06  |
| PIMCO High Income Fund               | PHK    | 6.04   |
| Pimco Incm                           | PKO    | 25.21  |
| Pimco Income Strategy Closed Fund    | PFL    | 11.34  |
| Pimco Income Strategy II Closed      | PFN    | 9.92   |
| PIMCO Municipal Income Fund          | PMF    | 13.8   |
| PIMCO Municipal Income Fund II       | PML    | 14.24  |
| PIMCO Municipal Income Fund III      | PMX    | 11.88  |
| PIMCO New York Municipal Income      | PNF    | 11.64  |
| PIMCO New York Municipal Income II   | PNI    | 10.86  |
| PIMCO New York Municipal Income III  | PYN    | 8.93   |
| PIMCO Strategic Income               | RCS    | 6.9    |
| Pine Island                          | PIPP_u | 10.61  |
| Ping Identity Holding                | PING   | 28.66  |
| Pinnacle West                        | PNW    | 79.15  |
| Pinterest                            | PINS   | 66.55  |
| Pioneer Diversified                  | HNW    | 14.6   |
| Pioneer Floating Rate Trust          | PHD    | 10.3   |
| Pioneer High Income Closed Fund      | PHT    | 8.98   |
| Pioneer Muni Highome Advantag Trust  | MAV    | 11.73  |
| Pioneer Municipal High Income Trust  | MHI    | 12.44  |
| Pioneer Natural                      | PXD    | 113.33 |
| Piper Jaffray                        | PIPR   | 101.2  |
| Pitney Bowes                         | PBI    | 6.16   |
| Pitney Bowes Inc Pb ELKS             | PBI_pb | 21.61  |
| Pivotal Investment                   | PIC_u  | 21.2   |
| Pivotal Investment                   | PIC_u  | 21.2   |
| PJT Partners Inc                     | PJT    | 75.18  |
| Plains All American Pipeline         | PAA    | 8.33   |
| Planet Fitness Inc                   | PLNT   | 78     |
| Planet Green                         | PLAG   | 2.19   |
| Plantronics                          | PLT    | 26.8   |
| Platinum Group Metals                | PLG    | 4.53   |
| PlayAGS                              | AGS    | 7.26   |
| PLDT ADR                             | PHI    | 28.1   |

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| Plymouth Industrial                 | PLYM    | 14.74  |
| Plymouth Industrial REIT            | PLYM_pa | 26     |
| Pmco Crp&In                         | PCN     | 17.2   |
| Pmv Consumer                        | PMVC_u  | 10.5   |
| Pmv Consumer                        | PMVC_u  | 10.5   |
| PMV Consumer Acquisition            | PMVC    | 10.15  |
| PNC Financial                       | PNC     | 147.66 |
| PNC Financial Services Group Pp ADR | PNC_pp  | 26.8   |
| PNM Resources                       | PNM     | 48.56  |
| Polaris Industries                  | PII     | 95.99  |
| Polymet Mining                      | PLM     | 3.48   |
| Portland General Electric           | POR     | 42.38  |
| POSCO                               | PKX     | 62.08  |
| Post                                | POST    | 101.05 |
| Postal Realty                       | PSTL    | 16.31  |
| Power REIT                          | PW      | 26.74  |
| Power REIT Pref                     | PW_pa   | 26     |
| PPG Industries                      | PPG     | 144    |
| PPL                                 | PPL     | 27.79  |
| PPL Capital Funding Inc ELKS        | PPX     | 26.42  |
| PPLUS Trust Series GSC 2 Pref       | PYT     | 23.61  |
| PPLUS Trust Series GSC 2 Pref       | PYT     | 23.61  |
| Pplus Trust Series RRD 1 Pref       | PYS     | 19.66  |
| PQ                                  | PQG     | 14.42  |
| Precision Drilling                  | PDS     | 16.92  |
| Preferred Apt. Communities          | APTS    | 7.34   |
| Prestige Brand                      | PBH     | 34.68  |
| Pretium Resources                   | PVG     | 11.45  |
| Prime Impact Acquisition I          | PIAI    | 10.15  |
| Prime Impact I                      | PIAI_u  | 10.55  |
| Primerica                           | PRI     | 133.97 |
| Primo Water                         | PRMW    | 15.61  |
| Principal Real Estate Income Closed | PGZ     | 12.13  |
| Priority                            | PRIF_pa | 23.25  |
| Priority                            | PRIF_pf | 23.7   |
| Priority                            | PRIF_pd | 24.41  |
| Priority                            | PRIF_pc | 24.52  |
| ProAssurance                        | PRA     | 17.73  |
| Procter&Gamble                      | PG      | 137.61 |
| PROG Holdings                       | PRG     | 54.46  |
| Progressive                         | PGR     | 97.81  |
| Prologis                            | PLD     | 98.31  |
| ProPetro                            | PUMP    | 7.36   |
| PROS                                | PRO     | 50.49  |
| Prosight                            | PROS    | 13     |
| Prospect Capital                    | PBB     | 25.2   |
| Prospect Capital                    | PBC     | 25.67  |

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|-------------------------------------|--------|--------|
| Prospect Capital                    | PBC    | 25.67  |
| Prospect Capital ELKS               | PBY    | 25.55  |
| Prosperity Bancshares               | PB     | 69.24  |
| Protalix                            | PLX    | 3.49   |
| Proto Labs                          | PRLB   | 153.54 |
| Provident                           | PFS    | 18.01  |
| Prudential                          | PUK_pa | 28.75  |
| Prudential Financial                | PRU    | 77.8   |
| Prudential Financial                | PRS    | 28.49  |
| Prudential Financial Inc ELKS 1     | PRH    | 25.02  |
| Prudential Financial Inc Pref       | PJH    | 25.03  |
| Prudential Global Short Duration HY | GHY    | 14.43  |
| Prudential PLC Cap Sec              | PUK_p  | 28.17  |
| Prudential Public ADR               | PUK    | 36.87  |
| Prudential Short Duration HY Closed | ISD    | 14.93  |
| PS Business Parks                   | PSB    | 131.57 |
| PS Business Parks ADR X             | PSB_px | 26.41  |
| PS Business Parks Pw                | PSB_pw | 26.16  |
| Ptk Acq                             | PTK_u  | 11.2   |
| PTK Acquisition                     | PTK    | 10.18  |
| Public Service Enterprise           | PEG    | 57.44  |
| Public Storage                      | PSA    | 226.58 |
| Public Storage                      | PSA_pe | 26.12  |
| Public Storage ADR                  | PSA_pf | 26.81  |
| Public Storage ADR                  | PSA_pg | 27.12  |
| Public Storage Pw ADR               | PSA_pw | 25.01  |
| Public Storage Px ADR               | PSA_px | 25     |
| PulteGroup                          | PHM    | 43.29  |
| Pure Storage Inc                    | PSTG   | 22.69  |
| Putnam Highome Securities Closed    | PCF    | 8.6    |
| Putnam Managed Municipalome Closed  | PMM    | 7.99   |
| Putnam Master Intermediateome Trust | PIM    | 4.19   |
| Putnam Municipal Opportunit Trust   | PMO    | 13.63  |
| Putnam Premier Income Trust         | PPT    | 4.6    |
| Puxin                               | NEW    | 6.22   |
| PVH                                 | PVH    | 94.96  |
| Pzena Investment Management         | PZN    | 7.24   |
| Q2 Holdings                         | QTWO   | 126.37 |
| QEP Resources                       | QEP    | 2.41   |
| Qiagen                              | QGEN   | 52.81  |
| QTS Realty Trust                    | QTS_pb | 138.14 |
| QTS Realty Trust                    | QTS_pa | 28.48  |
| QTS REIT                            | QTS    | 61.13  |
| Quad Graphics                       | QUAD   | 3.85   |
| Quaker Chemical                     | KWR    | 253.13 |
| Quanex Building Products            | NX     | 22.26  |
| Quanta Services                     | PWR    | 71.9   |

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|----------------------------------|--------|--------|
| Quantumscape                     | QS     | 86.37  |
| Qudian Inc                       | QD     | 1.37   |
| Quest Diagnostics                | DGX    | 118.27 |
| Quotient Technology              | QUOT   | 9.48   |
| Qwest 6 75 Notes 2057            | CTDD   | 25.73  |
| Qwest Corp 6.125%                | CTY    | 25.05  |
| Qwest Corp 6.625%                | CTZ    | 25.19  |
| Qwest Corp 7%                    | CTAA   | 25.37  |
| Qwest ELKS                       | CTBB   | 25.39  |
| RA Medical Systems               | RMED   | 7.33   |
| Radian                           | RDN    | 20.09  |
| Radiant                          | RLGT   | 5.75   |
| Rafael B                         | RFL    | 23.53  |
| Ralph Lauren A                   | RL     | 104.32 |
| Ramco Gershenson Properties Pref | RPT_pd | 49.75  |
| Range Resources                  | RRC    | 6.68   |
| Ranger Energy Services           | RNGR   | 3.67   |
| Ranpak Holdings                  | PACK   | 13.36  |
| Raymond James Financial          | RJF    | 95.24  |
| Rayonier                         | RYN    | 29.35  |
| Rayonier Advanced Materials      | RYAM   | 6.61   |
| Raytheon Technologies            | RTX    | 71.46  |
| RBC                              | RY     | 82.42  |
| Re Max Holding                   | RMAX   | 36.01  |
| Ready Capital                    | RC     | 12.45  |
| Ready Capital                    | RCP    | 25.32  |
| Realogy                          | RLGY   | 13.33  |
| Realty Income                    | O      | 61.12  |
| Reaves Utility                   | UTG    | 32.75  |
| Red Lion Hotels                  | RLH    | 3.42   |
| Redball                          | RBAC_u | 11.6   |
| Redball                          | RBAC_u | 11.6   |
| RedBall Acquisition              | RBAC   | 10.88  |
| Redwood                          | RWT    | 8.73   |
| Regal Beloit                     | RBC    | 122.1  |
| Regional Health                  | RHE    | 3.57   |
| Regional Health Pref A           | RHE_pa | 2.3    |
| Regional Management              | RM     | 29.33  |
| Regions Financial                | RF     | 16.01  |
| Regions Financial Corp Pa ADR    | RF_pa  | 26     |
| Regions Financial Corp Pb ADR    | RF_pb  | 28.15  |
| Regions Financial Corp Pc        | RF_pc  | 28.9   |
| Regis                            | RGS    | 9.18   |
| Reinsurance Group of America     | RZB    | 28.94  |
| Reinsurance Group of America     | RZA    | 27.8   |
| Reinsurance Group of America     | RZA    | 27.8   |
| Reinsurance of America           | RGA    | 115.48 |



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|--|---------|--------|
| Reinvent Technology Partners                     | RTP_u   | 12.19  |
| Reliance Steel&Aluminum                          | RS      | 119.87 |
| Relx ADR   | RELX    | 24.58  |
| Renaissance                                      | RCG     | 1.67   |
| Renaissancere                                    | RNR     | 164.65 |
| Renaissancere Holdings Ltd Pe Pref               | RNR_pe  | 25.44  |
| ReneSola   | SOL     | 11.58  |
| Renren   | RENN    | 4.65   |
| Replay Acquisition                               | RPLA_u  | 11.43  |
| Replay Acquisition                               | RPLA    | 10.4   |
| Republic Services                                | RSG     | 95.84  |
| Resideo Tech                                     | REZI    | 21.28  |
| ResMed   | RMD     | 210.34 |
| Resolute Forest Products                         | RFP     | 6.53   |
| Restaurant Brands Int                            | QSR     | 61.75  |
| Retail Properties of America                     | RPAI    | 8.5    |
| Retail Value                                     | RVI     | 14.98  |
| Retractable                                      | RVP     | 10.74  |
| Rev Group  | REVG    | 8.81   |
| Revlon   | REV     | 11.81  |
| Revolve  | RVLV    | 31.35  |
| REX American Resources                           | REX     | 77.12  |
| Rexford Industrial Realty                        | REXR_pb | 26.24  |
| Rexford Industrial Realty                        | REXR_pc | 26.94  |
| Rexford Industrial Realty Pref                   | REXR_pa | 25.4   |
| Rexford Inl Rty                                  | REXR    | 48.67  |
| Rexnord  | RXN     | 39.58  |
| RH   | RH      | 453.16 |
| Ribbit LEAP                                      | LEAP    | 12.8   |
| Ribbit Leap                                      | LEAP_u  | 13.6   |
| Ring Energy Inc                                  | REI     | 0.66   |
| Ringcentral Inc                                  | RNG     | 381.01 |
| Rio Tinto ADR                                    | RIO     | 75.22  |
| Ritchie Bros Auctioneers                         | RBA     | 69.8   |
| Rite Aid   | RAD     | 15.85  |
| Rivernorth Opportunities                         | RIV     | 16.59  |
| Rivernorth Pref A                                | RMPL_p  | 25.4   |
| Rivernorth/Doubleline Strategic Opportunity Fund | OPP_pa  | 25     |
| RLI  | RLI     | 104.01 |
| RLJ Lodging                                      | RLJ     | 14.19  |
| RLJ Lodging Pref                                 | RLJ_pa  | 25.43  |
| Rmg Acquisition                                  | RMG_u   | 31.25  |
| RMG Acquisition A                                | RMG     | 27     |
| Robert Half                                      | RHI     | 62.13  |
| Rocket   | RKT     | 20.42  |
| Rockwell Automation                              | ROK     | 249.34 |
| Rogers   | ROG     | 157.34 |

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|-------------------------------------|--------|--------|
| Rogers Communications               | RCI    | 46.77  |
| Rollins                             | ROL    | 38.66  |
| Roper Technologies                  | ROP    | 426.67 |
| Rosetta Stone                       | RST    | 29.99  |
| Royal Caribbean Cruises             | RCL    | 75.06  |
| Royal Dutch Shell ADR               | RDSa   | 35.29  |
| Royal Dutch Shell B ADR             | RDSb   | 33.8   |
| Royce Global Value Trust Inc        | RGT    | 13.37  |
| Royce Micro Cap Closed Fund         | RMT    | 10.11  |
| Royce Value Closed Fund             | RVT    | 16.13  |
| RPC                                 | RES    | 3.22   |
| RPM                                 | RPM    | 91.14  |
| RPT Realty                          | RPT    | 8.49   |
| RR Donnelley & Sons                 | RRD    | 2.26   |
| Rtw Retailwinds                     | RTW    | 0.25   |
| RYB Education                       | RYB    | 2.37   |
| Ryder System                        | R      | 62.55  |
| Ryerson Holding                     | RYI    | 13.54  |
| Ryman Hospitality Properties        | RHP    | 67.96  |
| S&P Global                          | SPGI   | 325.71 |
| Sabesp ADR                          | SBS    | 8.48   |
| Sabine Royalty Trust                | SBR    | 28.39  |
| Sachem Capital                      | SACH   | 4.14   |
| Safe Bulkers                        | SB     | 1.3    |
| Safe Bulkers Inc Pc Pref            | SB_pc  | 19.7   |
| Safe Bulkers Inc Pd Pref            | SB_pd  | 19.8   |
| Safeguard Scientifics               | SFE    | 6.66   |
| Safehold                            | SAFE   | 72.13  |
| Sailpoint Tech                      | SAIL   | 53.2   |
| Salesforce.com                      | CRM    | 221.07 |
| Salient Midstream & MLP Closed Fund | SMM    | 4.23   |
| Sally Beauty                        | SBH    | 12.96  |
| San Juan Basin Royalty Trust        | SJT    | 2.57   |
| Sanchez Midstream                   | SNMP   | 0.59   |
| Sandbridge                          | SBG_u  | 10.77  |
| Sandridge Energy                    | SD     | 3.1    |
| Sandstorm Gold Ltd N                | SAND   | 7.17   |
| Santander ADR                       | SAN    | 3.06   |
| Santander Chile ADR                 | BSAC   | 19     |
| Santander Consumer USA Holdings Inc | SC     | 21.81  |
| Santander Mexico B ADR              | BSMX   | 5.1    |
| SAP ADR                             | SAP    | 129.87 |
| Saratoga Investment                 | SAF    | 25.3   |
| Saratoga Investment Corp            | SAR    | 21.2   |
| Sasol ADR                           | SSL    | 8.86   |
| Saul Centers                        | BFS    | 31.99  |
| SC Health                           | SCPE_u | 10.99  |

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|-----------------------------|---------|--------|
| SC Health                   | SCPE    | 10.19  |
| SCE Trust II Pref           | SCE_pg  | 25.11  |
| SCE Trust II Pref           | SCE_pg  | 25.11  |
| SCE Trust III Pref          | SCE_ph  | 24.38  |
| SCE Trust IV Pref           | SCE_pj  | 24.27  |
| SCE Trust V                 | SCE_pk  | 25.45  |
| SCE VI Pref                 | SCE_pl  | 24.14  |
| Schlumberger                | SLB     | 21.81  |
| Schneider National          | SNDR    | 20.45  |
| Schweitzer-Mauduit          | SWM     | 40.02  |
| SCI                         | SCI     | 48.93  |
| Science Applications        | SAIC    | 94.73  |
| Scorpio Bulkers             | SALT    | 16.62  |
| Scorpio Tankers             | STNG    | 11.23  |
| Scotts Miracle-Gro          | SMG     | 197.88 |
| Scully Royalty              | SRL     | 4.94   |
| Sculptor Capital            | SCU     | 15.22  |
| SCVX                        | SCVX    | 10.5   |
| Scvx                        | SCVX_u  | 11.55  |
| Sea                         | SE      | 197.58 |
| Seaboard                    | SEB     | 3.04K  |
| Seabridge Gold              | SA      | 21.02  |
| SEACOR                      | CKH     | 41.4   |
| SEACOR Marine               | SMHI    | 2.84   |
| Sealed Air                  | SEE     | 45.7   |
| Seaspan                     | ATCO    | 10.77  |
| Seaspan Pd Pref             | ATCO_pd | 25.32  |
| Seaspan Pe Pref             | ATCO_pe | 25.56  |
| Seaspan Pg                  | ATCO_pg | 25.64  |
| Seaspan Ph                  | ATCO_ph | 25.39  |
| Seaways Senior              | INSW_pa | 25.55  |
| SeaWorld Entertainment      | SEAS    | 31.28  |
| Select Energy Services      | WTTR    | 4.16   |
| Select Medical              | SEM     | 27.45  |
| Selectquote                 | SLQT    | 20.61  |
| Semiconductor Manufacturing | SMI     | 5.41   |
| Sempra Energy               | SRE     | 125.82 |
| Sempra Energy               | SREA    | 28.3   |
| Sempra Energy               | SREA    | 28.3   |
| Sempra Energy               | SRE_pa  | 99.1   |
| Sensata Tech                | ST      | 53.1   |
| Senseonics Holdings Inc     | SENS    | 0.87   |
| Sensient Technologies       | SXT     | 74.21  |
| Sequans Communications      | SQNS    | 6.05   |
| Seritage Growth Properties  | SRG     | 14.56  |
| Seritage Growth Properties  | SRG_pa  | 16.57  |
| ServiceNow Inc              | NOW     | 546.77 |

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|---------------------------------------|---------|--------|
| Servotronics                          | SVT     | 8.48   |
| SFLoration Ltd                        | SFL     | 6.29   |
| Shake Shack Inc                       | SHAK    | 84.68  |
| Shaw B                                | SJR     | 17.59  |
| Shell Midstream Partners LP           | SHLX    | 9.99   |
| Sherwin-Williams                      | SHW     | 732.98 |
| Shift4 Payments Inc                   | FOUR    | 74.89  |
| Shinhan                               | SHG     | 29.6   |
| Shopify Inc                           | SHOP    | 1.14K  |
| Shutterstock                          | SSTK    | 71.19  |
| Sibanye Gold ADR                      | SBSW    | 15.76  |
| Sierra Metals Inc.                    | SMTS    | 3.35   |
| SIFCO                                 | SIF     | 8.15   |
| Signet Jewelers                       | SIG     | 27.83  |
| SilverBow                             | SBOW    | 5.23   |
| Silvercorp Metals                     | SVM     | 6.7    |
| SilverCrest Metals                    | SILV    | 10.98  |
| Silvergate Cap                        | SI      | 73.28  |
| Simon Property                        | SPG     | 84.14  |
| Simon Property Group Inc Pref         | SPG_pj  | 70.55  |
| Simpson Manufacturing                 | SSD     | 93.36  |
| Sinopec Shanghai Petrochemical ADR    | SHI     | 20.81  |
| Site Centers                          | SITC    | 10.02  |
| Site Centers ADR Pref A               | SITC_pa | 24.29  |
| Site Centers ADR Pref K               | SITC_pk | 24.43  |
| Siteone Landscape Supply              | SITE    | 158.07 |
| Six Flags                             | SIX     | 34.07  |
| Sixth Street Specialty Lending        | TSLX    | 20.76  |
| SJW                                   | SJW     | 68.65  |
| SK Telecom ADR                        | SKM     | 24.41  |
| Skechers                              | SKX     | 36.18  |
| Skillz Platform                       | SKLZ    | 18.24  |
| Skyline                               | SKY     | 31.28  |
| SL Green                              | SLG     | 58.61  |
| SL Green Realty Corp Pref             | SLG_pi  | 25.22  |
| Slack                                 | WORK    | 42.16  |
| SM Energy                             | SM      | 6.05   |
| Smartsheet                            | SMAR    | 69.79  |
| Smith&Nephew SNATS                    | SNN     | 41.92  |
| Snap                                  | SNAP    | 50.15  |
| Snap-On                               | SNA     | 170.35 |
| Snowflake                             | SNOW    | 291.17 |
| Social Capital Hedosophia             | IPOC_u  | 17.55  |
| Social Capital Hedosophia Holdings    | IPOC    | 16.4   |
| Social Capital Hedosophia Holdings II | IPOB_u  | 33.77  |
| Sociedad Quimica y Minera             | SQM     | 49.55  |
| SOGO                                  | SOGO    | 8.17   |

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|---------------------------------------|--------|--------|
| Solaris Oilfield                      | SOI    | 8.21   |
| SolarWinds Corp                       | SWI    | 14.82  |
| Solitario Exploration&Royalty         | XPL    | 0.56   |
| Sonic Automotive                      | SAH    | 38.84  |
| Sonoco Products                       | SON    | 59.06  |
| Sony ADR                              | SNE    | 101.22 |
| Sos Ltd                               | SOS    | 1.44   |
| Source Capital Closed Fund            | SOR    | 39.78  |
| South Jersey Industries               | SJI    | 21.38  |
| South Jersey Industries               | SJIJ   | 25.75  |
| South Jersey Industries               | SJIU   | 35.87  |
| Southern                              | SOJB   | 26.9   |
| Southern                              | SO     | 60.69  |
| Southern California Edison Pb Pref    | SCE_pb | 25.52  |
| Southern California Edison Pc Pref    | SCE_pc | 25.85  |
| Southern California Edison Pd Pref    | SCE_pd | 28.9   |
| Southern California Edison Pe Pref    | SCE_pe | 25.9   |
| Southern Co                           | SOJC   | 27.45  |
| Southern Co                           | SOJD   | 27.5   |
| Southern Co                           | SOJD   | 27.5   |
| Southern Co                           | SOLN   | 51.14  |
| Southern Co ELKS                      | SOJA   | 25.02  |
| Southern Copper                       | SCCO   | 65.08  |
| Southwest Airlines                    | LUV    | 46.4   |
| Southwest Gas Hold                    | SWX    | 60.17  |
| Southwestern Energy                   | SWN    | 3      |
| Spark Networks                        | LOV    | 4.98   |
| Spartan Acquisition II                | SPRQ_u | 10.81  |
| Spartan Energy                        | SPAQ_u | 9.85   |
| Special Opportunities Closed Fund     | SPE    | 14.07  |
| Special Opportunities Fund            | SPE_pb | 25.48  |
| Spectrum Brands                       | SPB    | 78.52  |
| Spire                                 | SR     | 63.65  |
| Spirit Aerosystems                    | SPR    | 38.88  |
| Spirit Airlines                       | SAVE   | 24.77  |
| Spirit Realty                         | SRC    | 39.62  |
| Spirit Realty Pref                    | SRC_pa | 26.83  |
| Sports Entertainment Acquisition      | SEAH   | 10.19  |
| Spotify Tech                          | SPOT   | 314.43 |
| Sprague Resources LP                  | SRLP   | 18.75  |
| Sprott Inc.                           | SII    | 28.91  |
| Sprott Physical Gold and Silver Trust | CEF    | 19.26  |
| Sprott Physical Platinum Palladium    | SPPP   | 17.77  |
| SPX Corp                              | SPXC   | 54.65  |
| SPX FLOW Inc                          | FLOW   | 58.77  |
| Square Inc                            | SQ     | 216.7  |
| Sqz Bio                               | SQZ    | 28.5   |

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|-------------------------------------|---------|--------|
| St Joe                              | JOE     | 42.54  |
| STAG Industrial                     | STAG    | 31.14  |
| STAG Industrial                     | STAG_pc | 25.33  |
| Standard Motor Products             | SMP     | 40.13  |
| Standex                             | SXI     | 77.24  |
| Stanley Black & Decker              | SWT     | 110.43 |
| Stanley Black Decker                | SWK     | 178.11 |
| Stantec                             | STN     | 32.54  |
| Star Gas Partners LP                | SGU     | 9.4    |
| Star Peak Energy                    | STPK_u  | 21.83  |
| StarTek                             | SRT     | 7.63   |
| Starwood Property                   | STWD    | 19.21  |
| State Street                        | STT     | 72.17  |
| State Street Corp Pd ADR            | STT_pd  | 28.2   |
| State Street Pref                   | STT_pg  | 28.95  |
| Steel Partners Holdings LP          | SPLP    | 10.41  |
| Steel Partners Holdings Pref        | SPLP_pa | 19.8   |
| Steelcase                           | SCS     | 13.47  |
| Stellus Capital Investment          | SCM     | 11.01  |
| Stellus Capital Investment          | SCA     | 25.15  |
| Stepan                              | SCL     | 119.41 |
| Stereotaxis                         | STXS    | 4.98   |
| STERIS                              | STE     | 187.06 |
| Sterling Bancorp                    | STL     | 18.01  |
| Stewart Info Services               | STC     | 47.98  |
| Stifel                              | SF      | 50.56  |
| STMicroelectronics ADR              | STM     | 37.2   |
| Stone Harbor Emerging Markets Total | EDI     | 9.15   |
| Stone Harbor Emerging Marketsome    | EDF     | 8.18   |
| StoneMor Partners LP                | STON    | 2.51   |
| Stoneridge                          | SRI     | 30.47  |
| Store Capital Corp                  | STOR    | 33.7   |
| STRATS SM Trust for Allstate Secur  | GJT     | 20.7   |
| Strats SM Trust for Wal Mart Stores | GJO     | 23.65  |
| Strats SM Trust Procter Gamble Sec  | GJR     | 22.8   |
| STRATSSM Certificates series suppl  | GJS     | 20.93  |
| Structured Products Corts Tr Peco   | KTH     | 34.29  |
| Stryker                             | SYK     | 242.68 |
| Studio City                         | MSC     | 13.78  |
| Sturm Ruger&Company                 | RGR     | 65.06  |
| Suburban Propane Partners LP        | SPH     | 14.65  |
| Sumitomo Mitsui Financial ADR       | SMFG    | 6.16   |
| Summit Hotel Properties             | INN     | 8.95   |
| Summit Hotel Properties             | INN_pd  | 22.76  |
| Summit Hotel Properties             | INN_pe  | 22.33  |
| Summit Materials Inc                | SUM     | 19.97  |
| Summit Midstream Partners LP        | SMLP    | 12.7   |

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|---------------------------------------|---------|--------|
| Sun                                   | SUI     | 150.5  |
| Sun Life Financial                    | SLF     | 44.45  |
| SunCoke Energy                        | SXC     | 4.34   |
| Suncor Energy                         | SU      | 16.94  |
| Sunlands Online                       | STG     | 1.07   |
| SunLink                               | SSY     | 1.27   |
| Sunnova Energy                        | NOVA    | 45     |
| Sunoco LP                             | SUN     | 28.68  |
| Sunstone Hotel Investors              | SHO     | 11.32  |
| Sunstone Hotel Investors              | SHO_pe  | 24.45  |
| Sunstone Hotel Investors              | SHO_pf  | 23.6   |
| Superior Drilling Products Inc        | SDPI    | 0.61   |
| Superior Industries                   | SUP     | 3.99   |
| Supernova                             | SPNV_u  | 11.64  |
| Sustainable Opport                    | SOAC_u  | 11.81  |
| Sustainable Opportunities Acquisition | SOAC    | 10.89  |
| Suzano Papel ADR                      | SUZ     | 11.23  |
| Swiss Helvetia Closed Fund            | SWZ     | 8.99   |
| Switch                                | SWCH    | 16.12  |
| Switchback Energy Acquisition         | SBE_u   | 45.29  |
| Switchback Energy Acquisition         | SBE     | 40     |
| Synchrony Financial                   | SYF     | 34.62  |
| Synnex                                | SNX     | 81.65  |
| Synovus                               | SNV     | 32.35  |
| Synovus Financial                     | SNV_pe  | 26.71  |
| Synovus Financial                     | SNV_pd  | 26.57  |
| Synovus Financial                     | SNV_pd  | 26.57  |
| Synthetic Biologics                   | SYN     | 0.38   |
| Sysco                                 | SYX     | 73.4   |
| Systemax                              | SYX     | 36     |
| Tailwind                              | TWND_u  | 10.8   |
| Tailwind Acquisition                  | TWND    | 10.25  |
| Taiwan Closed Fund                    | TWN     | 25.67  |
| Taiwan Semiconductor                  | TSM     | 108.91 |
| Takeda Pharma ADR                     | TAK     | 18.16  |
| Takung Art                            | TKAT    | 1.48   |
| TAL Education                         | TAL     | 71.52  |
| Talos Energy                          | TALO    | 8.23   |
| Tanger Factory Outlet Centers         | SKT     | 9.99   |
| Tanzanian Royalty Exploration         | TRX     | 0.65   |
| Tapestry                              | TPR     | 31.49  |
| Targa Resources                       | TRGP    | 26.05  |
| Targa Resources Partners LP Pref      | NGLS_pa | 25.03  |
| Target                                | TGT     | 176.01 |
| Taro Pharma Industries                | TARO    | 72.45  |
| Taseko Mines                          | TGB     | 1.34   |
| Tata Motors ADR                       | TTM     | 12.57  |

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| Taubman Centers                                | TCO    | 42.99  |
| Taubman Centers Inc Pj Pref                    | TCO_pj | 25.03  |
| Taubman Centers Inc Pk Pref                    | TCO_pk | 25     |
| Taylor Morn Home                               | TMHC   | 26.01  |
| TC Energy                                      | TRP    | 40.68  |
| TC PipeLines LP                                | TCP    | 28.83  |
| TCW Strategic Income Closed Fund               | TSI    | 5.61   |
| TE Connectivity                                | TEL    | 120.68 |
| Team   | TISI   | 10.8   |
| TechnipFMC                                     | FTI    | 9.41   |
| Teck Resources B                               | TECK   | 18.49  |
| Teekay   | TK     | 2.15   |
| Teekay LNG Partners LP                         | TGP    | 11.41  |
| Teekay LNG Partners LP                         | TGP_pb | 24.97  |
| Teekay LNG Pref                                | TGP_pa | 25.88  |
| Teekay Tankers                                 | TNK    | 11.27  |
| Tegna  | TGNA   | 13.89  |
| Tejon Ranch                                    | TRC    | 14.31  |
| Tekla Healthcare Investors                     | HQH    | 23.2   |
| Tekla Healthcare Opportunities                 | THQ    | 20.17  |
| Tekla Life Sciences Investors                  | HQL    | 19.56  |
| Tekla World Healthcare Fund                    | THW    | 15.66  |
| Teladoc Inc                                    | TDOC   | 197.44 |
| Telecom Argentina ADR                          | TEO    | 6.74   |
| Teledyne Technologies                          | TDY    | 390.53 |
| Teleflex                                       | TFX    | 407.55 |
| Telefonica ADR                                 | TEF    | 4.03   |
| Telefonica Brasil ADR                          | VIV    | 8.82   |
| Telephone And Data Systems 7% Senior Notes Exp | TDJ    | 25.36  |
| Telephone&Data Systems                         | TDS    | 18.42  |
| Telkom Indonesia B ADR                         | TLK    | 23.45  |
| TELUS  | TU     | 19.88  |
| Templeton Dragon Closed Fund                   | TDF    | 23.4   |
| Templeton Emerging Market Closed               | TEI    | 7.78   |
| Templeton Emerging Markets Closed              | EMF    | 18.7   |
| Templeton Global Income Fund                   | GIM    | 5.5    |
| Tempur Sealy                                   | TPX    | 27.29  |
| Tenaris ADR                                    | TS     | 15.89  |
| Tencent Music Entertainment Group              | TME    | 19.2   |
| Tenet Healthcare                               | THC    | 40     |
| Tengasco                                       | TGC    | 1.39   |
| Tennant  | TNC    | 70.1   |
| Tenneco  | TEN    | 10.54  |
| Teradata                                       | TDC    | 22.33  |
| Terex  | TEX    | 35.21  |
| Terminix Global Holdings                       | TMX    | 51.13  |
| Ternium ADR                                    | TX     | 29.49  |



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| Terreno                                    | TRNO   | 57.75  |
| Tetra Technologies                         | TTI    | 0.86   |
| Teva ADR                                   | TEVA   | 9.47   |
| Texas Pacific Land Trust                   | TPL    | 725.74 |
| Textainer                                  | TGH    | 19.29  |
| Textron                                    | TXT    | 48.24  |
| TFI Intl                                   | TFII   | 51.86  |
| The AES                                    | AES    | 23.25  |
| The Hanover Insurance                      | THG    | 116.53 |
| Thermo Fisher Scientific                   | TMO    | 462.13 |
| Thermon                                    | THR    | 15.44  |
| Third Point Rens                           | TPRE   | 9.52   |
| Thomson Reuters                            | TRI    | 82     |
| Thor Industries                            | THO    | 94.86  |
| Tidewater                                  | TDW    | 8.86   |
| Tiffany&Co                                 | TIF    | 131.45 |
| Tiga Acquisition                           | TINV_u | 10.46  |
| Tillys                                     | TLYS   | 8.2    |
| TIM Participacoes                          | TIMB   | 13.9   |
| Timber Pharmaceuticals Inc                 | TMBR   | 0.73   |
| Timken                                     | TKR    | 77.68  |
| Timkensteel Corp                           | TMST   | 4.74   |
| Titan                                      | TWI    | 5.04   |
| TJX  | TJX    | 68.35  |
| Toll Brothers                              | TOL    | 44.15  |
| Tompkins                                   | TMP    | 71.28  |
| Tootsie Roll Industries                    | TR     | 29.35  |
| Topbuild Corp                              | BLD    | 186    |
| Toro                                       | TTC    | 94.8   |
| Toronto Dominion Bank                      | TD     | 56.71  |
| Tortoise Acquisition II                    | SNPR   | 10.77  |
| Tortoise Acquisition II                    | SNPR_u | 11.5   |
| Tortoise Energy Independence Closed        | NDP    | 13.26  |
| Tortoise Energy Infrastruct Closed         | TYG    | 20.02  |
| Tortoise Essential Assets Income Term Fund | TEAF   | 12.85  |
| Tortoise MLP Closed Fund                   | NTG    | 20.57  |
| Tortoise Pipeline And Energy Closed        | TTP    | 16.86  |
| Tortoise Pipeline And Energy Closed        | TTP    | 16.86  |
| Tortoise PowerEnergy Infraclosed           | TPZ    | 11.04  |
| Total ADR                                  | TOT    | 42.04  |
| Townsquare Media LLC                       | TSQ    | 6.69   |
| Toyota Motor ADR                           | TM     | 154    |
| Tpg Pace Beneficial                        | TPGY_u | 27.7   |
| TPG Pace Beneficial Finance                | TPGY   | 25.95  |
| Tpg Pace Tech                              | PACE_u | 11.5   |
| Tpg Pace Tech                              | PACE_u | 11.5   |
| Tpg Pace Tech Opportunities                | PACE   | 11.1   |

|                                  |         |        |
|----------------------------------|---------|--------|
| TPG RE Finance                   | TRTX    | 10.59  |
| Trane Technologies               | TT      | 144.44 |
| Trans Atlantic Petroleum         | TAT     | 0.25   |
| TransAlta Corp                   | TAC     | 7.59   |
| Transcontinental                 | TCI     | 25     |
| Transdigm                        | TDG     | 619.12 |
| Transenterix Inc                 | TRXC    | 0.63   |
| Transocean                       | RIG     | 2.37   |
| Transportadora Gas ADR           | TGS     | 5.37   |
| TransUnion                       | TRU     | 98.81  |
| Travelers                        | TRV     | 139.43 |
| Trebia Acquisition               | TREB_u  | 11.27  |
| Trebia Acquisition               | TREB    | 10.64  |
| Trecora Resources                | TREC    | 7      |
| Tredegar                         | TG      | 16.66  |
| Treehouse Foods                  | THS     | 41.45  |
| Trex                             | TREX    | 84.2   |
| Tri Continental Closed Fund      | TY      | 29.66  |
| TRI Pointe Homes                 | TPH     | 17.39  |
| Tri-Continental Pref             | TY_p    | 57.1   |
| Trilogy Metals                   | TMQ     | 2      |
| Trine Acquisition                | TRNE_u  | 27.68  |
| Trinet Grou                      | TNET    | 80.38  |
| Trinity Industries               | TRN     | 26.5   |
| Trinity Place Holdings Inc       | TPHS    | 1.25   |
| Trinseo SA                       | TSE     | 51.81  |
| Trio-Tech                        | TRT     | 3.99   |
| Triplepoint Venture              | TPVG    | 13.14  |
| Triplepoint Venture Growth BDC   | TPVY    | 25.3   |
| Triple-S Management              | GTS     | 21.28  |
| Triton Int                       | TRTN    | 48.56  |
| Triton International             | TRTN_pa | 27.25  |
| Triton International             | TRTN_pc | 27.19  |
| Triton International             | TRTN_pd | 26.71  |
| Triton International             | TRTN_pb | 27.07  |
| Triumph                          | TGI     | 12.61  |
| Tronox                           | TROX    | 14.87  |
| TrueBlue                         | TBI     | 18.59  |
| Truist Financial                 | TFC_pg  | 25.54  |
| Truist Financial Corp            | TFC     | 47.53  |
| Truist Financial Pref F          | TFC_pf  | 25.65  |
| Truist Financial Pref H          | TFC_ph  | 25.86  |
| Tsakos Energy                    | TNP     | 7.95   |
| Tsakos Energy Navigation Pc Pref | TNP_pc  | 25     |
| Tsakos Energy Navigation Pd Pref | TNP_pd  | 21.24  |
| Tsakos Energy Pref E             | TNP_pe  | 20.7   |
| Tsakos Energy Pref F             | TNP_pf  | 21.1   |

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|------------------------------|--------|--------|
| Tufin Software               | TUFN   | 12.13  |
| Tupperware Brands            | TUP    | 32.47  |
| Turkcell Iletisim Hizmetleri | TKC    | 5.4    |
| Turning Point Brands         | TPB    | 44.38  |
| Turquoise Hill Resources     | TRQ    | 12.54  |
| Tutor Perini                 | TPC    | 13.02  |
| Twilio                       | TWLO   | 340.65 |
| Twin River                   | TRWH   | 27.14  |
| Twitter Inc                  | TWTR   | 53.96  |
| Two Harbors                  | TWO    | 6.4    |
| Two Harbors Investment       | TWO_pe | 23.95  |
| Two Harbors Investment       | TWO_pc | 22.9   |
| Two Harbors Investment       | TWO_pd | 24.29  |
| Two Harbors Pref A           | TWO_pa | 24.89  |
| Two Harbors Pref B           | TWO_pb | 23.83  |
| Tyler Technologies           | TYL    | 432.11 |
| Tyson Foods                  | TSN    | 63.1   |
| U.S. Bancorp Pa ADR          | USB_pa | 955    |
| U.S. Bancorp Ph ADR          | USB_ph | 24.77  |
| U.S. Bancorp Pm ADR          | USB_pm | 26.72  |
| U.S. Bancorp Po ADR          | USB_po | 25     |
| Uber Tech                    | UBER   | 52.23  |
| Ubiquiti                     | UI     | 277.45 |
| UBS AG London Branch ELKS 1  | UCIB   | 15.08  |
| UBS AG London Branch ELKS 4  | BDCZ   | 16.12  |
| UBS AG London Branch ELKS 5  | AMUB   | 8.74   |
| UBS AG London Branch ELKS 6  | MLPB   | 12     |
| UBS AG London Branch ELKS 6  | MLPB   | 12     |
| UBS Group                    | UBS    | 14.07  |
| UGI                          | UGI    | 34.52  |
| Ultrapar Participacoes       | UGP    | 4.51   |
| UMH Properties               | UMH    | 14.82  |
| UMH Properties               | UMH_pd | 24.73  |
| UMH Properties               | UMH_pd | 24.73  |
| UMH Properties Inc Pb Pref   | UMH_pb | 25.26  |
| UMH Properties Pref C        | UMH_pc | 25.37  |
| Under Armour A               | UAA    | 17.34  |
| Under Armour C               | UA     | 14.98  |
| Unifi                        | UFI    | 17.85  |
| Unifirst                     | UNF    | 209.27 |
| Unilever ADR                 | UL     | 59.91  |
| Unilever NV ADR              | UN     | 60.5   |
| Union Pacific                | UNP    | 207.46 |
| Unique Fabricating Inc       | UFAB   | 5.51   |
| Unisys                       | UIS    | 19.68  |
| United Dominion              | UDR    | 37.9   |
| United Microelectronics      | UMC    | 8.41   |

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|------------------------------------|--------|--------|
| United Natural Foods               | UNFI   | 15.76  |
| United Parcel Service              | UPS    | 167.2  |
| United Rentals                     | URI    | 233.44 |
| United States Antimony             | UAMY   | 0.51   |
| United States Cellular             | USM    | 30.31  |
| United States Cellular Pref        | UZC    | 25.26  |
| United States Cellular Senior Note | UZA    | 25.24  |
| United States Steel                | X      | 16.89  |
| UnitedHealth                       | UNH    | 347.14 |
| UNITIL                             | UTL    | 43.76  |
| Unity Software                     | U      | 152.95 |
| Univar Inc                         | UNVR   | 19.21  |
| Universal                          | UVV    | 48.3   |
| Universal Health RI                | UHT    | 64.69  |
| Universal Health Services          | UHS    | 136.58 |
| Universal Insurance                | UVE    | 14.96  |
| Universal Security Instruments     | UUU    | 4.76   |
| Universal Technical Institute      | UTI    | 6.59   |
| Unum                               | UNM    | 22.86  |
| Unum Group                         | UNMA   | 27.47  |
| Unum Group                         | UNMA   | 27.47  |
| Ur Energy                          | URG    | 0.83   |
| Uranium Energy                     | UEC    | 1.85   |
| Urban Edge Properties              | UE     | 12.81  |
| Urstadt Biddle                     | UBP    | 11.95  |
| Urstadt Biddle Pref H              | UBP_ph | 25.19  |
| Urstadt Biddle Properties          | UBA    | 13.97  |
| Urstadt Biddle Properties          | UBP_pk | 24.99  |
| US Bancorp                         | USB    | 46.24  |
| US Foods                           | USFD   | 33.12  |
| US Physicalrapy                    | USPH   | 120.4  |
| US Silica                          | SLCA   | 7.05   |
| US Xpress                          | USX    | 6.77   |
| USA Compression Partners LP        | USAC   | 12.72  |
| USANA Health Sciences              | USNA   | 77.33  |
| USD Partners LP                    | USDP   | 3.41   |
| Utz Brands                         | UTZ    | 21.97  |
| Vaalco Energy                      | EGY    | 1.78   |
| Vail Resorts                       | MTN    | 278.26 |
| Vale ADR                           | VALE   | 16.79  |
| Valero Energy                      | VLO    | 56.72  |
| Valhi                              | VHI    | 15.2   |
| Valmont Industries                 | VMI    | 175.31 |
| Valvoline                          | VVV    | 23.28  |
| Vapotherm Inc                      | VAPO   | 26.32  |
| Varian                             | VAR    | 174.89 |
| Vector                             | VGR    | 11.56  |

|                                     |        |        |
|-------------------------------------|--------|--------|
| Vectrus Inc                         | VEC    | 49.59  |
| Vedanta Ltd                         | VEDL   | 8.76   |
| Veeva Systems A                     | VEEV   | 272.63 |
| Velocity Fin                        | VEL    | 6.15   |
| Venator Materials                   | VNTR   | 3.33   |
| Ventas                              | VTR    | 48.21  |
| Veoneer                             | VNE    | 21.31  |
| Vereit                              | VER    | 37.26  |
| Vereit Inc Pref                     | VER_pf | 25.14  |
| Veritiv Cor                         | VRTV   | 20.96  |
| Verizon                             | VZ     | 58.15  |
| Vermilion Energy                    | VET    | 4.46   |
| Verso                               | VRS    | 12.08  |
| Vertiv Holdings Co                  | VRT    | 18.68  |
| Vertiv Holdings Co                  | VERT_u | 21.36  |
| VF                                  | VFC    | 85.73  |
| Vg Acq                              | VGAC_u | 12.23  |
| VG Acquisition                      | VGAC   | 11.37  |
| Via Optronics                       | VIAO   | 13.31  |
| Viad                                | VVI    | 35.93  |
| VICI Properties                     | VICI   | 25.56  |
| Vince                               | VNCE   | 5.57   |
| Vipshop                             | VIPS   | 27.64  |
| Virgin Galactic Holdings            | SPCE   | 23.85  |
| VirnetX                             | VHC    | 5.01   |
| Virtus Global                       | ZTR    | 8.78   |
| Virtus Global Multi Sectorom Closed | VGI    | 11.97  |
| Visa A                              | V      | 217.25 |
| Vishay Intertechnology              | VSH    | 20.86  |
| Vishay Precision                    | VPG    | 31.27  |
| Vista Gold                          | VGZ    | 1.06   |
| Vista Oil Gas                       | VIST   | 2.55   |
| Vista Outdoor Inc                   | VSTO   | 23.95  |
| Vistra Energy                       | VST    | 19.49  |
| Vivint Smart Home                   | VVNT   | 20.98  |
| Vivint Solar Inc                    | VSLR   | 43.08  |
| VMware                              | VMW    | 140.59 |
| VOC Energy Trust                    | VOC    | 2.27   |
| Vocera                              | VCRA   | 42     |
| Volitionrx Ltd                      | VNRX   | 3.92   |
| Volt Information Sciences Inc       | VOLT   | 1.79   |
| Vontier                             | VNT    | 33.39  |
| Vornado                             | VNO    | 36.88  |
| Vornado Realty Trust                | VNO_pn | 26.25  |
| Vornado Realty Trust                | VNO_pn | 26.25  |
| Vornado Realty Trust                | VNO_pm | 26.15  |
| Vornado Realty Trust Pk Pref        | VNO_pk | 25.27  |

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|-------------------------------------|--------|--------|
| Vornado Realty Trust Pl Pref        | VNO_pl | 25.14  |
| Voya Asia Pacific High Div Equityom | IAE    | 8.64   |
| Voya Emerging Markets High Div Eq   | IHD    | 7.25   |
| Voya Financial Inc                  | VOYA   | 58.98  |
| Voya Global Advantage Premium Oppor | IGA    | 8.7    |
| Voya Global Equity Divid Premium Op | IGD    | 5.25   |
| Voya Infrastr Industrials Materials | IDE    | 10.42  |
| Voya International High Dividend Eq | IID    | 4.58   |
| Voya Natural Resources Equityome    | IRR    | 2.62   |
| Voya Prime Rate Trust               | PPR    | 4.49   |
| Vuela                               | VLRS   | 12.32  |
| Vulcan Materials                    | VMC    | 148.55 |
| Vy Global                           | VYGG_u | 10.94  |
| Vy Global Growth                    | VYGG   | 10.4   |
| W P Carey Inc                       | WPC    | 69.8   |
| W&T Offshore                        | WTI    | 2.17   |
| W. R. Berkley                       | WRB_pf | 26.85  |
| W. R. Berkley                       | WRB_pe | 27.79  |
| W. R. Berkley                       | WRB_pc | 25.41  |
| W. R. Berkley                       | WRB_pd | 25.57  |
| W. R. Berkley                       | WRB_pb | 25.33  |
| W. R. Berkley                       | WRB_pd | 25.57  |
| W. R. Berkley                       | WRB_pb | 25.33  |
| Wabash National                     | WNC    | 17.28  |
| Waddell&Reed Financial              | WDR    | 25.51  |
| Walker&Dunlop                       | WD     | 91.46  |
| Walmart                             | WMT    | 143.09 |
| Walt Disney                         | DIS    | 180.86 |
| Warrior Met Coal                    | HCC    | 21.27  |
| Washington Prime                    | WPG    | 6.63   |
| Washington Prime Pref H             | WPG_ph | 15.1   |
| Washington Prime Pref I             | WPG_pi | 14.05  |
| Washington RE Investment            | WRE    | 21.49  |
| Waste Connections                   | WCN    | 102.75 |
| Waste Management                    | WM     | 117.38 |
| Waters                              | WAT    | 247    |
| Watsco                              | WSO    | 225.56 |
| Watsco B Inc                        | WSOb   | 233.05 |
| Watts Water Technologies            | WTS    | 121.39 |
| Wayfair Inc                         | W      | 225.86 |
| Webster Financial                   | WBS    | 42.25  |
| WEC Energy                          | WEC    | 90.73  |
| Weidai                              | WEI    | 1.34   |
| Weingarten Realty                   | WRI    | 21.41  |
| Weis Markets                        | WMK    | 48.04  |
| Welbilt                             | WBT    | 13.25  |
| Wells Fargo & Co Pn ADR             | WFC_pn | 25.88  |

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|-------------------------------------|--------|--------|
| Wells Fargo & Co Po ADR             | WFC_po | 25.79  |
| Wells Fargo & Co Pp ADR             | WFC_pp | 25.85  |
| Wells Fargo & Co Pq ADR             | WFC_pq | 26.93  |
| Wells Fargo & Co Pt ADR             | WFC_pt | 25.02  |
| Wells Fargo & Co Pv ADR             | WFC_pv | 25     |
| Wells Fargo Pref A                  | WFC_py | 26.52  |
| Wells Fargo Pref L                  | WFC_pl | 1.51K  |
| Wells Fargo Pref R                  | WFC_pr | 29.11  |
| Wells Fargo Pref W                  | WFC_pw | 25.6   |
| Wells Fargo Pref X                  | WFC_px | 26.07  |
| Wells Fargo&Co                      | WFC    | 29.96  |
| Welltower                           | WELL   | 63.6   |
| WESCO                               | WCC    | 79.5   |
| West Pharmaceutical Services        | WST    | 281.27 |
| Western Alliance                    | WAL    | 60.18  |
| Western Alliance Bancorp            | WALA   | 25.57  |
| Western Asset                       | SBI    | 9.17   |
| Western Asset Claymore Infl Link Op | WIW    | 12.36  |
| Western Asset Corp                  | TLI    | 9.19   |
| Western Asset Emerging Markets Debt | EMD    | 13.92  |
| Western Asset Global Highome Closed | EHI    | 10.12  |
| Western Asset Globalorate Defined   | GDO    | 17.95  |
| Western Asset High Income II Closed | HIX    | 6.93   |
| Western Asset High Yield Defined Op | HYI    | 15.31  |
| Western Asset Highome Opport Closed | HIO    | 4.97   |
| Western Asset Income Fund           | PAI    | 15.94  |
| Western Asset Invest Grade Defined  | IGI    | 22.4   |
| Western Asset Managed Munis Closed  | MMU    | 12.81  |
| Western Asset Mortgage              | WMC    | 3.23   |
| Western Asset Mortgage Defined Opp  | DMO    | 14.16  |
| Western Asset Muni Defined Op Trust | MTT    | 20.79  |
| Western Asset Muni Highome Closed   | MHF    | 7.5    |
| Western Asset Municipal Partners    | MNP    | 14.99  |
| Western Asset Premier Bond Closed   | WEA    | 14.14  |
| Western Asset Variable Rate Closed  | GFY    | 16.29  |
| Western Asset/Claymore Infl Linked  | WIA    | 13.31  |
| Western Copper Gold                 | WRN    | 1.25   |
| Western Gas Equity Unit             | WES    | 13.87  |
| Western Union                       | WU     | 21.77  |
| Westinghouse Air Brake              | WAB    | 72.75  |
| Westlake Chemical                   | WLK    | 82.7   |
| Westlake Chemical Partners LP       | WLKP   | 23.85  |
| Westpac Banking ADR                 | WBK    | 14.9   |
| WestRock Co                         | WRK    | 43.52  |
| Westwood                            | WHG    | 14.6   |
| Wex                                 | WEX    | 203.87 |
| Weyerhaeuser                        | WY     | 33.38  |

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|--|------|--------|
| WF Global Dividend Opportunity                   | EOD  | 4.83   |
| WF Income Opportunities                          | EAD  | 8.13   |
| WF Multi Sector Income                           | ERC  | 12.14  |
| WF Utilities and High Income                     | ERH  | 12.72  |
| Wheaton Precious Metals                          | WPM  | 42.04  |
| Whirlpool  | WHR  | 181.41 |
| White Mountains Insurance                        | WTM  | 1000   |
| Whitestone                                       | WSR  | 7.9    |
| Whiting Petroleum                                | WLL  | 24.88  |
| WideOpenWest                                     | WOW  | 10.68  |
| Widepoint C                                      | WYY  | 9.77   |
| Williams   | WMB  | 19.95  |
| Williams-Sonoma                                  | WSM  | 102.72 |
| Winnebago Industries                             | WGO  | 61.18  |
| Wipro ADR  | WIT  | 5.64   |
| Wireless Telecom                                 | WTT  | 1.79   |
| WNS Holdings                                     | WNS  | 72.05  |
| Wolverine  | WWW  | 31.09  |
| Woori Financial                                  | WF   | 26.96  |
| Workiva Inc                                      | WK   | 90.5   |
| World Fuel Services                              | INT  | 31.38  |
| Worthington Industries                           | WOR  | 51.68  |
| WPP ADR  | WPP  | 54.26  |
| WPX Energy                                       | WPX  | 8.11   |
| WR Berkley                                       | WRB  | 66.17  |
| WR Grace&Co                                      | GRA  | 55.36  |
| WW Grainger                                      | GWW  | 406.59 |
| WWE  | WWE  | 48.33  |
| Wyndham  | WYND | 45.03  |
| Wyndham Hotels                                   | WH   | 59.03  |
| X Financial A                                    | XYF  | 1.98   |
| XAI Octagon Floating Rate & Alternative Income T | XFLT | 7.51   |
| Xenia Hotels & Resorts Inc                       | XHR  | 15.31  |
| Xerox  | XRX  | 23.01  |
| Xinyuan RE                                       | XIN  | 2.16   |
| XL Fleet   | XL   | 22.52  |
| Xpeng  | XPEV | 42.95  |
| XPO Logistics                                    | XPO  | 120.28 |
| Xtant Medical                                    | XTNT | 1.23   |
| Xylem  | XYL  | 101.38 |
| Yalla  | YALA | 14.67  |
| Yamana Gold                                      | AUY  | 5.71   |
| Yatsen   | YSG  | 16.94  |
| Yelp   | YELP | 32.65  |
| YETI   | YETI | 69.19  |
| Yext   | YEXT | 15.67  |
| Yirendai Ltd                                     | YRD  | 3.33   |



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|----------------------|-------|--------|
| Youdao               | DAO   | 26.78  |
| YPF Sociedad Anonima | YPF   | 4.71   |
| Yucaipa Acq          | YAC_u | 10.66  |
| Yucaipa Acquisition  | YAC   | 10.12  |
| Yum China Holdings   | YUMC  | 56.95  |
| Yum! Brands          | YUM   | 108.52 |
| Zedge                | ZDGE  | 5.59   |
| Zendesk Inc          | ZEN   | 142.46 |
| Zimmer Biomet        | ZBH   | 152.57 |
| Zoetis Inc           | ZTS   | 163.79 |
| Zomedica Pharma      | ZOM   | 0.25   |
| ZTO Express Cayman   | ZTO   | 28.96  |
| Zuora                | ZUO   | 13.78  |
| Zymeworks            | ZYME  | 47.7   |